

注意

- 电磁力 → 物理与数学 (机制)
- 电磁理论 辐射 ...
- 量子: 普朗克 → 量子: Compton (Inverse)
- 量子:  $f, \Delta f$  量子中的, 双光子分子的普朗克

- 教材: Rybicki & Lightman (推荐) follow 跟物理系教材
- Frank P. Shu <http://gen.lib.rus.ec/>

参考: 尤以讲义 (= 卷, 非常详细清楚)

- Grades: 30% 作业与考试, 有 solution 但不写 (一周, 随时交)
- 30% Quiz, 3-in-class
- 40% Final Exam } open, one page of formulae
- 同时, 或有作业自己考

Lecture 1 Fundamentals of radiation and radiation transfer

作业: 1.1, 1.2, 1.3, 1.4 下周

- 电磁辐射的类型:
  - 电磁辐射 (光子) ~ 电磁波
  - 引力波辐射 (引力子)
  - 中微子辐射 (中微子) 不成对, 引力子小
  - 宇宙线辐射 (高能粒子) 不是严格意义上的辐射, 但是传递信息的重要

Electromagnetic Spectrum 电磁辐射谱

Prism, Grating, Slit, ... 光谱

$c = \lambda \nu$  e.g.  $\lambda \times \nu = c$  波长与频率成反比

$E = h\nu$  光子能量

$T = \frac{E}{h\nu}$  光子数

中国望远镜 FAST, HXMT, LAMOST

天文望远镜 地面天文 (光学, 射电) 空间天文 (紫外, X, \gamma) 红外, 无线电

e.g. 2.1m 波段为 100 MW 太阳

(无线, 不同波段不同谱) 不一定全是电磁, 有引力波, quasar

1. Elementary properties of radiation

radiation flux

假设光线取直线传播 (忽略衍射等...)

Assume light travels in a straight line

Flux  $F = \frac{dE}{dt dA}$



$F \propto \frac{1}{r^2}$

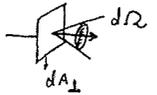
能量守恒与功率:  $F \cdot 4\pi r^2 = \frac{dE}{dt} = \text{power}$

对 power 守恒时,  $F \propto \frac{1}{r^2}$  (能量守恒与距离)

假设功率守恒, 则辐射通量与距离平方成反比 (与光源距离无关), F 不是恒定的

specific intensity (brightness), for individual rays 比亮度

$I_{\nu}(t, \mathbf{r}, \hat{n}, \nu) = \frac{dE}{dt dA d\Omega d\nu}$



比亮度与 dnu 无关, 没有 dnu 时称 intensity

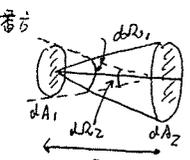
prove: constancy of I\_nu along rays in free space. 证明: 沿射线, I\_nu 守恒

$dE = I_{\nu_1} dt_1 dA_1 d\Omega_1 d\nu_1 = I_{\nu_2} dt_2 dA_2 d\Omega_2 d\nu_2$

$dt_1 = dt_2$  不变 (同时接收与发射)

$d\nu_1 = d\nu_2$  不变 (频率不变)

$\Rightarrow I_{\nu_1} = I_{\nu_2}, \text{ so } I_{\nu} = \text{constant}$



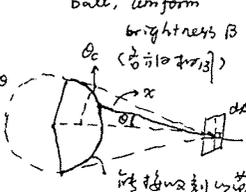
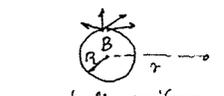
$dA_2 = \frac{dA_1}{r^2}$   
 $d\Omega_2 = \frac{d\Omega_1}{r^2}$

说明: 与平方反比律矛盾, 可以导出平方反比律 (证明它的一个前提) The inverse square law from the constancy of I\_nu

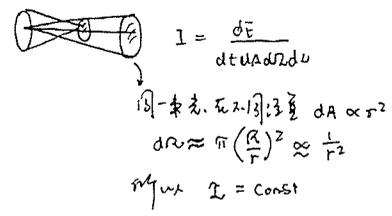
$\frac{dF}{d\Omega} = \int I \cos \theta dA = \int_0^{2\pi} \int_0^{\theta_0} I \cos \theta \sin \theta d\theta d\phi = 2\pi I \sin^2 \frac{\theta_0}{2} \cdot \frac{1}{2} = \pi I \left(\frac{R}{r}\right)^2 \propto \frac{1}{r^2}$

说明: 与平方反比律矛盾, 可以导出平方反比律 (证明它的一个前提) 大的球, 不是点光源, 而是有限大小, 但总是  $\frac{1}{r^2}$

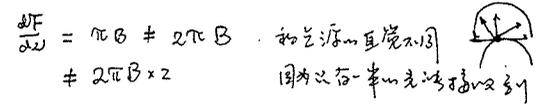
$I = \begin{cases} B & \text{if ray intersects with sphere} \\ 0 & \text{without} \end{cases}$



Note: (1) at large r, 可以简单地认为 constant  $I_{\omega}$  与  $r$  无关



(2) at the surface  $r=R$

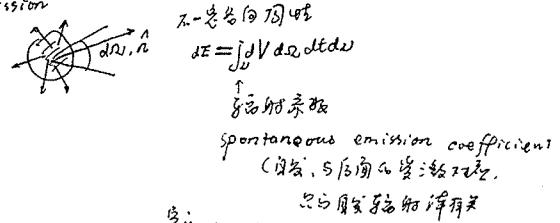


2. Radiative transfer

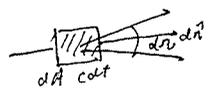
Along the ray,  $\frac{dI_{\omega}}{ds} = 0$  in free transfer  
 $ds = \text{differential element of length along the ray}$

而辐射的总量会改变吗? Emission, Absorption, scattering ...

Emission



For a ray traveling through the volume



沿射线传播, 辐射量  $dI_{\omega} = \frac{dE}{dt dA ds d\omega} = \int j_{\omega} ds dA dt d\omega = j_{\omega} ds$   
 或  $\frac{dI_{\omega}}{ds} = j_{\omega}$

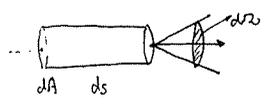
另外 emissivity (发射率) specify

$dE = \int_{\omega} \rho dV dt \frac{d\omega}{4\pi} d\omega$   
 the fraction of energy radiated into  $d\omega$

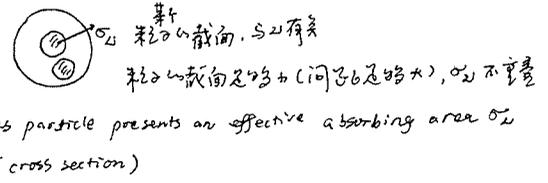
而  $j_{\omega} \in \omega$  的变量  $j_{\omega} = \frac{c \rho}{4\pi}$

Absorption

(自发) 发射与吸收有关  
 吸收与入射光有关, 也与吸收率有关



toy 模型 model: consider a beam of  $I_{\omega}$  travels through a cloud of gas with number density  $n$



number of absorber =  $n dA ds$   
 Total absorbing area =  $n \sigma_{\omega} dA ds$   
 Total energy absorbed  $dE = -I_{\omega} (n \sigma_{\omega} dA ds) ds dt d\omega$   
 粒子的总吸收面积

所以  $dE = dI_{\omega} \cdot dA \cdot dt \cdot ds \cdot d\omega$

combine of two  $\frac{dI_{\omega}}{ds} = -I_{\omega} \cdot n \sigma_{\omega}$   
 \* independent of incoming ray  
 \* only dep of gas  $\alpha_{\omega}$

In general, we define  $\alpha_{\omega}$  as absorption coefficient ( $[L^{-1}]$ )

$\frac{dI_{\omega}}{ds} = -\alpha_{\omega} I_{\omega}$   
 只与吸收率有关  
 在 toy model 是  $n \cdot \sigma_{\omega}$  有关  
 一般地,  $s.n.v.$  材料有关

Note: (1) assumption: (在 toy model 是 粒子间距足够大的粒子)  
 - linear scale of  $\sigma_{\omega} \ll$  mean interparticle distance

$\sqrt{\sigma_{\omega}} \ll d \sim n^{-1/3}$   
 $\left(\frac{\alpha_{\omega}}{n}\right)^{1/2} \cdot n^{1/3} \ll 1 \Rightarrow \frac{\alpha_{\omega}}{n} \cdot n^{2/3} = \alpha_{\omega} \cdot n^{-1/3} \sim \alpha_{\omega} \cdot d \ll 1$

- random distribution

(吸收和发射的随机分布, 对热平衡的系统一般满足)

(2) "Absorption" = true absorption (自发吸收) + stimulated emission (受激辐射)  
 有时可以有  $\alpha_{\omega} < 0$   
 (受激辐射, 也有同样的关系, 也被包含在内)

(3) 这里 no "Absorption" does NOT include scattering

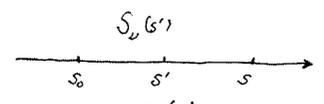
(因为吸收和散射的波数, 散射的波数, 不能用同样的传播来描述)

Radiation transfer equation (no scattering)

辐射传输方程

$$\frac{dI_\omega(s)}{ds} = j_\omega(s) - \alpha_\omega(s) I_\omega(s)$$

↑ emission      ↑ absorption



可以写为 \$j\_\omega\$, 但无源项, define optical depth  $d\tau_\omega(s) = \alpha_\omega(s) ds$  光深

(when  $\alpha_\omega(s) > 0$ ,  $\tau_\omega$  monotonically increasing along s.  $\tau_\omega(s) \leftrightarrow s$ )

$\tau_\omega(s) = \int_0^s \alpha_\omega(s') ds'$  (无量纲的数). 光深是一个积分量, 路径的累积, 与位置, 不只与某点的性质有关

与源项两通除  $\alpha_\omega$  外

$$\frac{dI_\omega}{d\tau_\omega} = \frac{dI_\omega}{\alpha_\omega ds} = \frac{j_\omega(s)}{\alpha_\omega} - I_\omega(s)$$

→  $S_\omega = \frac{j_\omega}{\alpha_\omega}$  source function

$$\Rightarrow \frac{dI_\omega}{d\tau_\omega} = -I_\omega(s) + S_\omega$$

与 Green 函数法?

求解  $\frac{d}{d\tau_\omega} (I_\omega e^{\tau_\omega}) = S_\omega e^{\tau_\omega}$  得  $I_\omega(s) e^{\tau_\omega(s)} = I_\omega(s_0) e^{\tau_\omega(s_0)} + \int_0^{\tau_\omega(s)} S_\omega(\tau_\omega') e^{\tau_\omega'} d\tau_\omega'$

也就是  $I_\omega(s) = I_\omega(0) e^{-\tau_\omega} + \int_0^{\tau_\omega} S_\omega(\tau_\omega') e^{-(\tau_\omega - \tau_\omega')} d\tau_\omega'$

↑ 入射亮度  
brightness at  $\infty$   
 $I_\omega(0) e^{-\tau_\omega}$  为入射的强度

↑ 散射  
 $S_\omega(s') e^{-(\tau_\omega - \tau_\omega')}$

[formal solution

若  $I_\omega \sim S_\omega$  关系, 而是  $I_\omega \sim \tau_\omega$  关系, 只是符号不同, 决定了  $\tau_\omega \sim S_\omega$  关系的不同

为源项的光被吸收后的量 (补充光吸收后, 在光线方向 tracing, 积分)

Note: (1) for case  $\tau_\omega > 1$ , optically thick or opaque  
 $\tau_\omega < 1$ , optically thin or transparent

(2) for only emission,  $\alpha_\omega = 0$  (没有光深)

$$\frac{dI_\omega}{ds} = j_\omega$$

$$I_\omega(s) = I_\omega(s_0) + \int_{s_0}^s j_\omega(s') ds'$$

(3) for only absorption  $j_\omega = 0, S_\omega = 0$

$$I_\omega(s) = I_\omega(s_0) e^{-\tau_\omega}$$

$\tau_\omega$  是积分量.

(4)  $S_\omega$  is a constant

$$I_\omega = I_\omega(0) e^{-\tau_\omega} + S_\omega (1 - e^{-\tau_\omega})$$

$$\text{also } I_\omega - I_\omega(0) = (S_\omega - I_\omega(0)) (1 - e^{-\tau_\omega})$$

有时, 观测到的光有背景光, 比如 21 cm, 背景光是  $I_\omega - I_\omega(0)$  background

(5) 辐射转移的近似情况

where  $S_\omega \neq 0$  only at a point (这+与位置有关, 是+位置的变化, 可证明, 如 21 cm 谱中)

$$I_\omega(s) - I_\omega(s_0) = (S_\omega(s) - I_\omega(s_0)) (1 - e^{-\tau_\omega})$$

往往只在光学薄的情况  $\tau_\omega \ll 1$ , 否则后面项还会为 0 发射,  $\ll 1$  时

$$I_\omega(s) - I_\omega(s_0) = [S_\omega(s) - I_\omega(s_0)] \cdot \tau_\omega$$

Mean free path (MFP)

有时以时, 光不可说无限传播; 在介质传播中, 光子平均自由程; mean free path is defined as the average distance a photon can travel thru an absorbing material w/o being absorbed.

(without)

$$I_\omega = I_\omega(0) e^{-\tau_\omega}$$

$$\text{mean } \langle \tau_\omega \rangle = \int_0^\infty \tau_\omega e^{-\tau_\omega} d\tau_\omega = 1$$

光子传播  $\tau_\omega$  的几率 probability of a photon travelling  $\tau_\omega$

homogeneous medium

$$\langle \tau_\omega \rangle \equiv \alpha_\omega l_\omega = 1$$

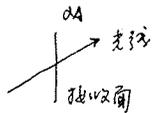
$$\Rightarrow \text{MFP } l_\omega = \frac{1}{\alpha_\omega}$$

在  $\tau_\omega > 1$  的介质中, 而是交车地量 local MFP  $l_\omega = \frac{1}{\alpha_\omega}$  at the point in an inhomogeneous medium

注: 往往用来估计光子穿过厚度, 不同尺度的近似 (如再电离光子)

4 Some more definitions

(与方向有关)



$$dF_{\omega} = \frac{dE}{dt dA d\Omega} = I_{\omega} \cos\theta d\Omega$$

如某次在单位面积上的总的辐射, 不论方向, 则这 net flux  

$$F_{\omega} = \int I_{\omega} \cos\theta d\Omega$$
 all direction

mean intensity (不论方向 intensity 的方向)

$$J_{\omega} = \frac{1}{4\pi} \int I_{\omega} d\Omega$$

Radiation energy density

$$dE = I_{\omega} dt \cdot dA \cdot d\Omega \cdot dV$$

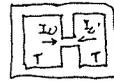
$$= u_{\omega}(\hat{n}) \cdot \underbrace{\cos\theta \cdot dA \cdot d\Omega \cdot dV}_{dV}$$

则有  $u_{\omega}(\hat{n}) = \frac{I_{\omega}}{c}$

同样对方向积分  $u_{\omega} = \int u_{\omega}(\hat{n}) d\Omega = \frac{4\pi}{c} J_{\omega}$ , 称为 Radiation energy density

在热平衡时, 有的叫法可能不一样, 请仔细 check

Proof for  $I_{\omega} = I_{\omega}(T, \omega)$



if  $I_{\omega} \neq I'_{\omega}$ , energy flows

but T is the same, no flows (2nd law)

⇒ So that  $I_{\omega}$  is indep of  $\theta, \hat{n}$   
 即在任意方向任意位置, 任意方向

Thermal Radiation

辐射与热平衡, 所以  $I_{\omega} = B_{\omega}(T)$

于是 source function  $S_{\omega} = \frac{j_{\omega}}{\alpha_{\omega}} = B_{\omega}(T)$  (Kirchhoff's law)

描述辐射平衡, 吸收与发射

Proof for  $S_{\omega} = B_{\omega}(T)$

(是平衡, 完全吸收)

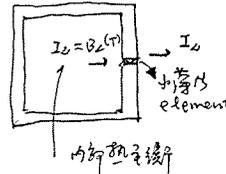
Assume  $T \rightarrow \infty$  at the element

$$I_{\omega} = 0$$

$$\text{if } S_{\omega} \neq B_{\omega} \Rightarrow I_{\omega} \neq B_{\omega}$$

which is impossible, because for the element absorption must equal to radiation.

由于平衡可以证明, 所以  $S_{\omega} = B_{\omega}(T)$  是整个平衡中成立的



Planck Spectrum  $B_{\omega}(T) = \frac{2hc^2 \omega^2}{e^{hc/\omega T} - 1}$  (Planck's law)

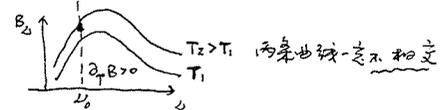
Properties (1)  $hc \ll kT$ , Rayleigh-Jeans law (typically radio radiation)

$$I_{\omega}(T) = \frac{2\omega^2}{c^2} kT \sim \text{与频率无关}$$

(2)  $hc \gg kT$ , Wien's law

$$I_{\omega}(T) = \frac{2hc^2}{\omega^2} e^{-hc/\omega T}$$

$$\frac{\partial B_{\omega}(T)}{\partial T} > 0$$

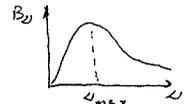


(4) 黑体谱是 omega 的平滑函数  $\frac{\partial B_{\omega}}{\partial \omega}(\omega_{max}) = 0$

$$\frac{hc}{kT} = 2.82$$

$$\omega_{max} = 5.88 \times 10^{10} \text{ Hz} \cdot \text{K}^{-1}$$

所以  $\omega_{max}$  与温度成正比



(5)  $F = \int F_{\omega} d\omega = \sigma T^4$ ,  $\sigma = \frac{2\pi^5 k^4}{15c^2 h^3}$

Lecture 2 0305/2018 六教 GB211

Review: Fundamentals of radiations

$$I_{\omega} = \frac{dE}{dt dA_{\perp} d\Omega d\omega} \quad (\text{单位面积单位立体角中不透明的 } I_{\omega})$$

$$\frac{dI_{\omega}}{ds} = j_{\omega} - \alpha_{\omega} I_{\omega} \quad \text{净辐射} \quad (\text{转移方程})$$

↑ 发射 ↓ 吸收/反射

$$T_{\omega} = \int_{s_0}^s j_{\omega} ds \quad (\text{总流}) \Rightarrow \frac{dI_{\omega}}{ds} = -I_{\omega} + S_{\omega}$$

$$\text{所以净流 } I_{\omega} = I_{\omega}(s) e^{-\tau_0} + \int_0^{\tau_0} S_{\omega} e^{-(\tau_0 - \tau')} d\tau'$$

→ 在热平衡时

{ Black body radiation is a radiation which is itself in thermal equilibrium.  $I_{\omega} = B_{\omega}(T)$

{ Thermal radiation is a radiation from some material which is at thermal equilibrium with itself.

发射与吸收平衡 (but not necessarily with the radiation)

$$S_{\omega} = B_{\omega}(T) \quad (\text{only at } T \gg 1)$$

Blackbody spectrum

$$I_{\omega} = B_{\omega}(T) \quad \text{Planck function}, \quad I_{\omega} = I_{\omega}(\omega, \hat{n}, T) = I_{\omega}(\omega, T)$$

" $I_{\omega}(T, \omega, \hat{n})$ " 由于热平衡, 与  $\hat{n}$  无关

Brightness temperature <sup>亮度温度</sup> 对辐射来说亮度

For any value of  $I_\nu$ , we can fit  $I_\nu = B_\nu(T_b)$

Radio Astronomy  $T_b = \frac{c^2}{20^2 k} I_\nu$  (for  $h\nu \ll kT$ )

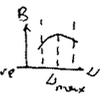
即  $n_1, n_2, n_3, n_4$ . 总是可以以温度表示各态分布

The transfer function equation:

$$\frac{dI_\nu}{d\tau} = -I_\nu + S_\nu \Rightarrow \frac{dT_b}{dT} = -T_b + T$$

temperature of the material

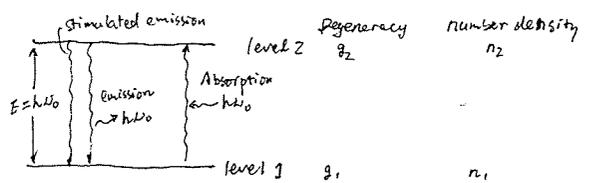
有时, 不能测到每个波段, 只能测到  $\Delta \nu_{max}$  波段  
由  $\Delta \nu_{max}$  给定  $\Delta \nu_{max}$  波段, 称为 color temperature



有时, 只能测到辐射总量  $F$ , 即  $F = \sigma T_{eff}^4$ , 称为 effective temperature.  
 $T_b, T_{eff}$  depend only on the magnitude of the intensity, but  $T_c$  depend on the shape.

Einstein Coefficient

$$\frac{j_\nu}{\alpha_\nu} = S_\nu = B_\nu(T) \rightarrow j_\nu = \alpha_\nu B_\nu(T), \text{ Einstein Consider}$$



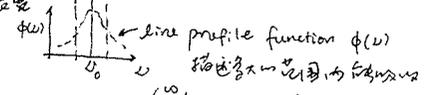
Spontaneous emission: occurs even in the absence of radiation

(由自发发射)  
 $A_{21}$ : transition probability per unit time for spontaneous emission  
 $[A_{21}] = sec^{-1}$

Absorption:

probability  $\propto$  photon density or mean intensity  $J_\nu$  at  $\nu_0$

但事实上, 辐射场中  $\nu_0$  附近辐射密度



Assume, if  $J_\nu$  changes slow over  $\Delta \nu$   
 $\int_0^\infty \phi(\nu) d\nu = 1$ , 类似  $\delta$ -function  
 $\phi(\nu) \sim \delta(\nu - \nu_0)$

$B_{12} \bar{J}$  = transition probabilities per unit time for absorption  
 $\bar{J} = \int_0^\infty J_\nu \phi(\nu) d\nu$   $\phi(\nu) = \delta(\nu - \nu_0) \rightarrow \bar{J} = J_{\nu_0}$

Stimulated emission:

$B_{21} \bar{J}$  = transition probability per unit time for stimulated emission

由于这些系数描述原子在相邻能级之间跃迁速率, 所以这些系数之间应该有相互关系  
(应可推知原子跃迁速率, 实际上 Einstein 从热力学导出)

Relations between Einstein A, B Coefficients

做如系统处于热平衡, 由 Detailed balance relation:

$$n_1 B_{12} \bar{J} = n_2 A_{21} + n_2 B_{21} \bar{J}$$

↑ absorption rate      ↑ spontaneous emission      ↑ stimulated emission

$$\Rightarrow \bar{J} = \frac{n_2 A_{21}}{n_1 B_{12} - n_2 B_{21}} = \frac{A_{21} / B_{21}}{\frac{n_1}{n_2} \frac{B_{12}}{B_{21}} - 1}$$

In thermal equilibrium

$$\frac{n_1}{n_2} = \frac{g_1}{g_2} \frac{e^{-E_{11}}}{e^{-E_{22} - h\nu}} = \frac{g_1}{g_2} e^{h\nu/kT}$$

代入  $\bar{J}$  的表达式

$$\bar{J} = \frac{A_{21} / B_{21}}{\frac{g_1 B_{12}}{g_2 B_{21}} e^{h\nu/kT} - 1} \quad (\text{从系统的平衡条件})$$

In thermal equilibrium

$J_\nu = B_\nu, J_\nu = B_\nu$ , 所以  $\bar{J} = B_\nu = \frac{2h\nu^3/c^2}{e^{h\nu/kT} - 1}$  (as black-body law)

在热平衡条件下, 辐射场成为黑体辐射

$$\left. \begin{aligned} g_1 B_{12} &= g_2 B_{21} \\ A_{21} &= \frac{2h\nu^3}{c^2} B_{21} \end{aligned} \right\} \text{Einstein relations}$$

- ① irrelevant with T
- ② irrelevant / holds whether or not in thermal equilibrium  
意思是热平衡与热力学导出, 但白体辐射本身性质, 在热平衡条件下也成立。

- ③ 所以, 为了给出 Planck function, 必须与辐射场自身过程  
stimulated emission is required to get Planck function.  
在热平衡条件下,  $n_1 > n_2$ , 所以自发发射本身应该得到 Wien law.

Absorption and emission Coefficients:

spontaneous emission: amount of energy

$$dE = \int_V dV \int_{4\pi} d\Omega \int_{\omega_0} d\omega = n_2 \cdot dV \cdot A_{21} \cdot dt \cdot \phi(\omega) d\omega \cdot \frac{d\Omega}{4\pi} \cdot h\nu_0$$

自各方向射出的 isotropic 的  
每个光子  
的能量

所以自各方向射出的

$$j_{\omega} = \frac{h\nu_0}{4\pi} n_2 A_{21} \phi(\omega)$$

Absorption: Total energy absorbed

$$h\nu_0 \cdot n_1 B_{12} \cdot \bar{J} \cdot dt \cdot dV = h\nu_0 n_1 B_{12} \int_{4\pi} d\Omega \int_{\omega_0} d\omega \phi(\omega) I_{\omega} \times dt \cdot dV$$

光子数密度 × 吸收系数 × 时间 × 体积  
↓  
光子数密度 × 吸收系数 × 时间 × 体积  
↓  
光子数密度 × 吸收系数 × 时间 × 体积  
↓  
stimulated emission

$$\alpha_{\omega} I_{\omega} dt dA ds \sin \theta d\theta = \int_{4\pi} n_2 d\Omega \cdot dV \cdot dt \cdot d\omega \cdot d\omega \cdot \frac{h\nu_0}{4\pi} (n_1 B_{12} - n_2 B_{21}) \phi(\omega) I_{\omega}$$

所以自各方向射出的

$$\alpha_{\omega} = \frac{h\nu_0}{4\pi} \phi(\omega) (n_1 B_{12} - n_2 B_{21})$$

Source function

$$S_{\omega} = \frac{j_{\omega}}{\alpha_{\omega}} = \frac{n_2 A_{21}}{n_1 B_{12} - n_2 B_{21}} = \frac{A_{21} B_{21}}{\frac{n_1}{n_2} \frac{B_{12}}{B_{21}} - 1}$$

光子数密度 × 吸收系数 × 时间 × 体积  
↓  
光子数密度 × 吸收系数 × 时间 × 体积  
↓  
光子数密度 × 吸收系数 × 时间 × 体积  
↓  
stimulated emission

由于 A、B 系数与温度无关，所以 \$j\_{\omega}\$ 与 A、B 的乘积是一常数。所以自各方向射出的光子数

所以 Planck 系数是 - 33

在 thermal equilibrium,  $\frac{n_1}{n_2} = \frac{g_1}{g_2} e^{\frac{h\nu_0}{kT}}$

代入 \$S\_{\omega}\$ 的公式中即得  $S_{\omega} = \frac{2h\nu_0^3 c^2}{e^{\frac{h\nu_0}{kT}} - 1}$  (Planck function)

所以此系数为

Generalized Kirchhoff's law

在热平衡下，辐射为  $S_{\omega} = B_{\omega}$

Thermal emission (LTE)

if  $\frac{n_1}{n_2} = \frac{g_1}{g_2} e^{\frac{h\nu_0}{kT}}$ , then  $S_{\omega} = B_{\omega}(T)$

No thermal emission,  $\frac{n_1}{n_2} \neq \frac{g_1}{g_2} e^{\frac{h\nu_0}{kT}}$

$$S_{\omega} = \frac{2h\nu_0^3 c^2}{\frac{n_1 g_2}{n_2 g_1} - 1} \neq B_{\omega}(T)$$

所以，是否热平衡辐射取决于 Kirchhoff's law 是否成立

Normally,  $\frac{n_1}{g_1} > \frac{n_2}{g_2}$ , if existing inverted population  $\frac{n_1}{g_1} < \frac{n_2}{g_2}$ . 所以  $\alpha_{\omega} \propto (n_1 B_{12} - n_2 B_{21}) < 0$

光子数密度反常

So we have  $\alpha_{\omega} \begin{cases} > 0 & \text{normal} \\ < 0 & \text{inverted population (masers)} \end{cases}$

↑ Absorption    ↑ stimulated emission

Maxwell's equations (Gaussian units)

$$\begin{aligned} \nabla \cdot \vec{D} &= 4\pi\rho & \nabla \cdot \vec{B} &= 0 \\ \nabla \times \vec{E} &= -\frac{1}{c} \frac{\partial \vec{B}}{\partial t} & \nabla \times \vec{H} &= \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{\partial \vec{D}}{\partial t} \end{aligned}$$

↑  
法拉第定律

↑  
安培定律

↑  
高斯定律

↑  
磁化方程

↑  
why?

↑  
dielectric constant

↑  
magnetic permeability

极化电荷:  $\rho = \lim_{\Delta V \rightarrow 0} \frac{1}{\Delta V} \sum_i q_i$

$\vec{j} = \lim_{\Delta V \rightarrow 0} \frac{1}{\Delta V} \sum_i q_i \vec{v}_i$

Lorentz force  $\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$

$\vec{f} = \rho \vec{E} + \frac{1}{c} \vec{j} \times \vec{B}$   
force density

Energy Conservation

$\vec{j} \cdot \vec{E} + \frac{\partial u_{em}}{\partial t} = -\nabla \cdot \vec{S}$

EM momentum per unit volume

$\vec{P}_{em} = \frac{1}{4\pi c} \vec{E} \times \vec{B} = \frac{\vec{S}}{c^2}$

为什么  $\vec{P}_{em}$  和  $\vec{S}$  是平行的? 因为  
它们是同方向的?

energy-momentum tensor

$T_{\mu\nu} = \begin{pmatrix} 1 & \text{energy flux} \\ \text{momentum flux} & \end{pmatrix}$

momentum Conservation

$\frac{\partial \vec{P}_{em}}{\partial t} - \nabla \cdot \vec{S} + \rho \vec{E} + \vec{j} \times \vec{B} = 0$

用四时方程可以证明  $\vec{P}_{em}$  和  $\vec{S}$  是平行的

Charge Conservation  $\frac{\partial \rho}{\partial t} + \nabla \cdot \vec{j} = 0$

(可从 \*4 节  $\vec{j} = \nabla \times \vec{A}$  导出)

力做功率  $\frac{dW_{mech}}{dt} = \lim_{\Delta V \rightarrow 0} \frac{1}{\Delta V} \sum_i \vec{f}_i \cdot \vec{v}_i$

$= \lim_{\Delta V \rightarrow 0} \frac{1}{\Delta V} \sum_i q_i (\vec{E} + \vec{v}_i \times \vec{B}) \cdot \vec{v}_i$

$= \vec{j} \cdot \vec{E}$

$u_{mech}$  = mechanical energy per unit volume

$\nabla \cdot \vec{j} \cdot \vec{E} + \frac{\partial u_{mech}}{\partial t} = -\nabla \cdot \vec{S}$

( $\vec{S} = \frac{c}{4\pi} \vec{E} \times \vec{H}$  Poynting vector  
~ electromagnetic flux vector)

$\nabla \cdot \vec{j} \cdot \vec{E} = \frac{1}{4\pi} \left( c (\nabla \times \vec{H}) \cdot \vec{E} - \vec{E} \cdot \frac{\partial \vec{D}}{\partial t} \right)$

$\nabla \cdot (\vec{E} \times \vec{H}) = -\vec{E} \cdot (\nabla \times \vec{H}) + \vec{H} \cdot (\nabla \times \vec{E})$

$-\nabla \cdot \left( \frac{c}{4\pi} \vec{E} \times \vec{H} \right) = \vec{j} \cdot \vec{E} + \frac{1}{4\pi} \left( \vec{E} \cdot \frac{\partial \vec{D}}{\partial t} + \vec{H} \cdot \frac{\partial \vec{B}}{\partial t} \right)$

if  $\epsilon$  and  $\mu$  indep of time  
use  $\vec{D} = \epsilon \vec{E}$ ,  $\frac{\partial \vec{D}}{\partial t} = \epsilon \frac{\partial \vec{E}}{\partial t}$

$\vec{j} \cdot \vec{E} + \frac{1}{8\pi} \frac{\partial}{\partial t} \left( \epsilon E^2 + \frac{B^2}{\mu} \right) = -\nabla \cdot \left( \frac{c}{4\pi} \vec{E} \times \vec{H} \right)$

$\frac{\partial u_{mech}}{\partial t}$  EM energy density  $\vec{S}$  energy flux

$u_{em} = \frac{1}{8\pi} \left( \epsilon E^2 + \frac{B^2}{\mu} \right)$

Plane waves

In vacuum,  $\rho=0, \vec{j}=0, \epsilon=\mu=1$

$$\begin{cases} \nabla \cdot \vec{E} = 0, \nabla \times \vec{B} = 0 \\ \nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}, \nabla \times \vec{B} = \frac{1}{c} \frac{\partial \vec{E}}{\partial t} \end{cases}$$

(linear, coupled, first-order partial differential equations)

it is invariant under  $\vec{E} \rightarrow \vec{B}, \vec{B} \rightarrow -\vec{E}$   
(有互易性)

平面波:

$\nabla \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{B}}{\partial t} \rightarrow \nabla^2 \vec{E} - \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = 0$   
同样  $\nabla^2 \vec{B} - \frac{1}{c^2} \frac{\partial^2 \vec{B}}{\partial t^2} = 0$

Plane wave solution:  $\vec{E} = \hat{a}_1 E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$   
 $\vec{B} = \hat{a}_2 B_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$

$E_0, B_0$  are complex  
monochromatic, linearly polarized  
同频率

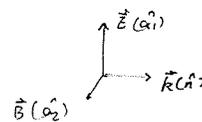
传播方向:  $\vec{k} = k \hat{n}$ ,  $\hat{n}$  = direction of propagation  
 $\omega$  = circular frequency  
圆频率

实际:  $E$  is the real part of  $\vec{E}$ !

波矢:  $\nabla \rightarrow i\vec{k}, \frac{\partial}{\partial t} \rightarrow -i\omega$

平面波满足 Maxwell eq.

$\textcircled{1} i\vec{k} \cdot \hat{a}_1 E_0 = 0, \textcircled{2} i\vec{k} \cdot \hat{a}_2 B_0 = 0$   
 $\textcircled{3} i\vec{k} \times \hat{a}_1 E_0 = \frac{1}{c} \omega \hat{a}_2 B_0, i\vec{k} \times \hat{a}_2 B_0 = -\frac{1}{c} \omega \hat{a}_1 E_0$



$\textcircled{1} \textcircled{2} \rightarrow \vec{k} \perp \hat{a}_1, \vec{k} \perp \hat{a}_2$

$\textcircled{3} \textcircled{4} \rightarrow \hat{a}_1, \hat{a}_2$  相差  $\pm \frac{\pi}{2}$  或  $\pi$

波阻抗  $k B_0 = \frac{\omega}{c} B_0, k E_0 = \frac{\omega}{c} E_0 \Rightarrow Z_0 = \left( \frac{\omega}{kc} \right)^2 Z_0$

所以  $Z_0 = B_0$  ( $B, E$  同频率, 同进)

Phase velocity  $v_{ph} = \frac{\omega}{k} = c$

Group velocity  $v_g = \frac{\partial \omega}{\partial k} = c$

信息传播速度. 不大于  $c$ .

能量密度  $\vec{S} = \frac{c}{4\pi} \vec{E} \times \vec{B}$

$Z_0 = B_0$

平均功率  $\langle \vec{S} \rangle = \frac{c}{4\pi} \langle \text{Re} \vec{E} \times \text{Re} \vec{B} \rangle = \frac{c}{4\pi} |E_0|^2$

同理:  $A(t) = A e^{i\omega t}$   
 $B(t) = B e^{i\omega t}$  (同频率)

$\langle u \rangle = \frac{1}{8\pi} [ \langle \text{Re} \vec{E} \cdot \text{Re} \vec{E} \rangle + \langle \text{Re} \vec{B} \cdot \text{Re} \vec{B} \rangle ]$

$\Rightarrow \langle \text{Re} A \text{Re} B \rangle$

$= \frac{1}{16\pi} [ |E_0|^2 + |B_0|^2 ] = \frac{1}{8\pi} |Z_0|^2 = \frac{\langle \vec{S} \rangle}{c}$

$= \frac{1}{2} \text{Re} (A B^*)$

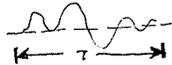
$= \frac{1}{2} \text{Re} (A^* B)$

上面都是在真空中而言; 若在介质中,  $\epsilon, \mu$  就不再是  $\omega$  的函数.  
平面波

实际的波不可以是单色平面波, 或是有空间局限  
或是有时间局限 (对/在光波的波数/频率有不确定性)

$\Delta \omega \Delta t > 1$ , for any wave theory of light.

光的波动由 Maxwell 方程的线性性决定,



$\vec{E}(t)$  can only have two independent components  $\perp \vec{k}$

联系着  $m_0 = 0$  (光子质量为0) 如果波是相干的, 则可以变成光子, 光子是粒子  
联系着  $\text{spin} = 1$  在波传播方向上没有波, 可以有三个分量.

联系着 (helicity)

parity conservation for EM interaction. (在右螺旋性)

既然光有两个独立分量, 可以证明-1, 然后有0

光的一维傅里叶分解

$\tilde{E}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} E(t) e^{i\omega t} dt$

$E(t) = \int_{-\infty}^{\infty} \tilde{E}(\omega) e^{-i\omega t} d\omega$

↑ real ↑ complex

$\tilde{E}(-\omega) = \tilde{E}^*(\omega)$  i.e. negative frequencies are NOT indep modes  
sym. 可以证明厄米共轭, 厄米共轭  
(一般不证明厄米共轭)

能量流  $S = \frac{dW}{dt dA} = \frac{c}{4\pi} E^2(t)$

那么, 在波传播方向  $\frac{dW}{d\omega dA} = ?$  energy per unit area per unit frequency. for the entire pulse  
对脉冲波的能量

利用 Parseval's thm.  $\frac{1}{2\pi} \int_{-\infty}^{\infty} f(t)g^*(t) dt = \int_{-\infty}^{\infty} \tilde{f}(\omega)\tilde{g}^*(\omega) d\omega$

$\frac{dW}{dA} = \frac{c}{4\pi} \int_{-\infty}^{\infty} E^2(t) dt = \frac{c}{4\pi} 2\pi \int_{-\infty}^{\infty} |\tilde{E}(\omega)|^2 d\omega = c \int_{-\infty}^{\infty} |\tilde{E}(\omega)|^2 d\omega$

$\frac{dW}{dA d\omega} = c |\tilde{E}(\omega)|^2$  (for the entire pulse)

"power spectrum" 功率谱

功率谱与功率谱密度  $\rho(\omega) = |\tilde{E}(\omega)|^2$ , 这其实是功率谱

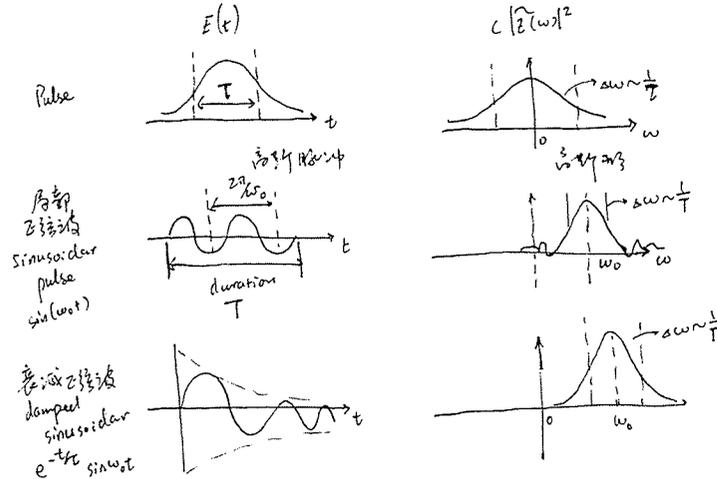
功率谱密度  $\frac{dW}{dt dA d\omega}$ ? 不行, 因为  $\Delta \omega \Delta t > 1$   
连续.

BUT, formally, 对某种形式的波  $\frac{dW}{dt dA d\omega} := \frac{1}{T} \frac{dW}{dA d\omega} = \frac{c}{T} |\tilde{E}(\omega)|^2$  if a long signal has some period over its entire length.

在长的时间内有某些特性

在t对  $\frac{dW}{dt dA d\omega} = c \lim_{T \rightarrow \infty} \frac{1}{T} |\tilde{E}_T(\omega)|^2$  indep of T for large T.

傅里叶的波与 Fourier 变换



generally, for a pulse with time extent T

→ the width  $\Delta \omega \approx \frac{1}{T}$  of the first feature

(sinusoidal) time dependence

→ Spectrum concentrated at  $\omega_0$

• Polarization 极化

$\vec{E} = \hat{e}_1 E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$

linearly polarized  
i.e.  $\vec{E} \parallel \hat{e}_1$



由波动的两个独立分量, 还可以有另一个分量, 比如  $\vec{r} = 0$ . 看对时间的依赖

光沿 z 方向的传播

$\hat{x} E_x e^{i(\omega t)} + \hat{y} E_y e^{-i(\omega t)} = \vec{E}_0 e^{-i\omega t}$

$E_x, E_y$  是 complex, 且

可以有相反数的相位. e.g.  $E_1 = E_1 e^{i\phi_1}, E_2 = E_2 e^{i\phi_2}$

$E_x(t) = \text{Re}(E_1 e^{i\phi_1} e^{-i\omega t}) = E_1 \cos(\omega t - \phi_1)$

$E_y(t) = \text{Re}(E_2 e^{i\phi_2} e^{-i\omega t}) = E_2 \cos(\omega t - \phi_2)$

总电场  $\vec{E}(t) = E_1 \cos(\omega t - \phi_1) \hat{x} + E_2 \cos(\omega t - \phi_2) \hat{y}$   
 $= \cos \omega t (E_1 \cos \phi_1 \hat{x} + E_2 \cos \phi_2 \hat{y}) + \sin \omega t (E_1 \sin \phi_1 \hat{x} + E_2 \sin \phi_2 \hat{y})$

所以  $E_0$  和  $\beta$  s.t.  $E_0 \cos \beta \cos \phi = E_1 \cos \phi_1, E_0 \sin \beta \sin \phi = E_1 \sin \phi_1$   
 $E_0 \cos \beta \sin \phi = E_2 \cos \phi_2, E_0 \sin \beta \cos \phi = E_2 \sin \phi_2$

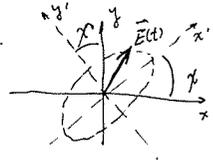
$\vec{E}(t) = \cos \omega t E_0 \cos \beta \hat{x}' - \sin \omega t E_0 \sin \beta \hat{y}'$  (沿着传播方向极化)

立即可得  $(\frac{E_x}{\cos\beta \cdot E_0})^2 + (\frac{E_y}{E_0 \sin\beta})^2 = 1$

这是一个椭圆为  $E_0 \cos\beta, E_0 \sin\beta$  为长短轴 (ellipse of principal axes)

所以, 当  $E_x, E_y$  有固定相位差时, 一般为椭圆  $E$  的端迹为椭圆。

- linear polarized  $\beta = 0, \pm \frac{\pi}{2}$
- circular polarized  $\beta = \pm \frac{\pi}{4}$
- general case, elliptically polarized



$0 < \beta \leq \frac{\pi}{2}$  clockwise = right-handed = negative helicity  
 $-\frac{\pi}{2} \leq \beta < 0$  counter clockwise = left-handed = positive helicity

如果相位差与  $\beta$  无关 (如  $\beta_1, \beta_2$  无关), 则是无偏振的。

之前是椭圆参数  $(E_1, E_2, \phi_1, \phi_2) \leftrightarrow$  现在用三个参数  $(E_0, \beta, \gamma)$

相差才有意义

与物理量无关不奇怪, 且注意不是  $\beta$  引向 Stoke's parameters for monochromatic waves.

$I \equiv E_1^2 + E_2^2 = E_0^2 \propto$  total energy flux

$Q \equiv E_1^2 - E_2^2 = E_0^2 \cos 2\beta \cos 2\gamma$   
 $U \equiv 2E_1 E_2 \cos(\phi_1 - \phi_2) = E_0^2 \sin 2\beta \sin 2\gamma$   
 $V \equiv 2E_1 E_2 \sin(\phi_1 - \phi_2) = E_0^2 \sin 2\beta$

orientation of the ellipse

$I^2 = Q^2 + U^2 + V^2$  (只有  $\beta$  是独立变量)

$E_0 = \sqrt{I}$   
 $\sin 2\beta = \frac{V}{I}$   
 $\tan 2\gamma = \frac{U}{Q}$

$\beta$ : V 标志椭圆圆度 circularity parameter

Electromagnetic potentials

vector potential  $\vec{A} = \nabla \times \vec{B}$  s.t.  $\nabla \cdot \vec{B} = 0$

scalar potential  $\phi$  s.t.  $\nabla \times \vec{E} + \frac{1}{c} \frac{\partial \vec{A}}{\partial t} = 0$   
 $\nabla \times (\vec{E} + \frac{1}{c} \frac{\partial \vec{A}}{\partial t}) = 0 \rightarrow \vec{E} = -\nabla \phi - \frac{1}{c} \frac{\partial \vec{A}}{\partial t}$

现在, 我们想对  $\vec{A}$  和  $\phi$  有约束  $\nabla \cdot \vec{E} = 4\pi\rho \Rightarrow \nabla^2 \phi + \frac{1}{c} \frac{\partial (\nabla \cdot \vec{A})}{\partial t} = -4\pi\rho$

我们希望电流守恒  $\nabla \times \vec{H} = \nabla \times (\nabla \times \vec{A}) = -\nabla^2 \vec{A} + \nabla(\nabla \cdot \vec{A}) \Rightarrow \nabla^2 \vec{A} - \frac{1}{c} \frac{\partial^2 \vec{A}}{\partial t^2} - \nabla(\nabla \cdot \vec{A} + \frac{1}{c} \frac{\partial \phi}{\partial t}) = -\frac{4\pi\vec{j}}{c}$

Gauge invariance

$\vec{A} \rightarrow \vec{A} + \nabla\psi$  译作  $\vec{B} \rightarrow \vec{B}$  所以,  $\vec{A}, \phi$  的总共有定规范由序  
 $\phi \rightarrow \phi - \frac{1}{c} \frac{\partial \psi}{\partial t}$  译作  $\vec{E} \rightarrow \vec{E}$

So, free to choose the gauge

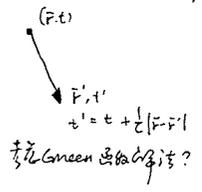
choose Lorenz gauge  $\nabla \cdot \vec{A} + \frac{1}{c} \frac{\partial \phi}{\partial t} = 0 \rightarrow \begin{cases} \nabla^2 \phi - \frac{1}{c} \frac{\partial^2 \phi}{\partial t^2} = -4\pi\rho \\ \nabla^2 \vec{A} - \frac{1}{c} \frac{\partial^2 \vec{A}}{\partial t^2} = -\frac{4\pi\vec{j}}{c} \end{cases}$

(是 Lorenz 不变条件)

而 Lorenz gauge condition 是 Lorenz 不变条件

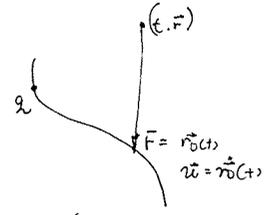
上述方程的解  $\phi(\vec{r}, t) = \int \frac{\rho(\vec{r}', t')}{|\vec{r} - \vec{r}'|} d^3r'$   
 $\vec{A}(\vec{r}, t) = \int \frac{j(\vec{r}', t')}{|\vec{r} - \vec{r}'|} d^3r'$   
 称为 retarded potential (推迟势)

其中  $\rho = \rho(\vec{r}', t - \frac{|\vec{r} - \vec{r}'|}{c})$   
 $j = \vec{j}(\vec{r}', t - \frac{|\vec{r} - \vec{r}'|}{c})$



例: Radiation from moving charges

$P = \int d\Omega \dot{\vec{r}} \cdot \dot{\vec{r}}(t')$   
 $\vec{j} = q \vec{v}(t) \delta(\vec{r} - \vec{r}_0(t))$



同例  $\phi(\vec{r}, t) = \int d^3r' \frac{\rho(\vec{r}', t - \frac{|\vec{r} - \vec{r}'|}{c})}{|\vec{r} - \vec{r}'|}$   
 $= \int d^3r' \int dt' \delta(t' - t + \frac{|\vec{r} - \vec{r}'|}{c}) \frac{\rho(\vec{r}', t' - \frac{|\vec{r} - \vec{r}'|}{c})}{|\vec{r} - \vec{r}'|} = \int d^3r' \frac{\rho(\vec{r}', t' - \frac{|\vec{r} - \vec{r}'|}{c})}{|\vec{r} - \vec{r}'|}$   
 $= q \int dt' \frac{\delta(t' - t + \frac{|\vec{r} - \vec{r}_0(t')|}{c})}{|\vec{r} - \vec{r}_0(t')|} = q \int dt' \frac{R^{-1}(t') \delta(t' - t + \frac{R(t')}{c})}{|\vec{r} - \vec{r}_0(t')|}$

类似  $\vec{A}(\vec{r}, t) = \frac{q}{c} \int dt' \vec{v}(t') R^{-1}(t') \delta(t' - t + \frac{R(t')}{c})$

定义  $t'' = t' - t + \frac{R(t')}{c}$ ,  $dt'' = dt' + \frac{R'(t')}{c} dt' = (1 + \frac{R'(t')}{c}) dt'$

初用关系  $R^2(t') = \vec{R}(t') \cdot \vec{R}(t')$

$2R(t') R'(t') = 2\vec{R}(t') \cdot \dot{\vec{R}}(t') = -2R(t') \dot{\hat{n}}(t')$   
 $= -2R(t') \dot{\hat{n}}(t')$   
 $\dot{\hat{n}}(t') = -\hat{n}(t') \cdot \dot{\vec{r}}(t')$   
 $= -R(t') \hat{n} \cdot \dot{\vec{r}}(t')$

所以  $dt'' = R(t') dt'$ , 其中  $R(t') \equiv |-\frac{1}{c} \dot{\vec{r}}(t') \cdot \vec{r}(t')|$

所以, scalar potential

$\phi(\vec{r}, t) = q \int dt'' R^{-1}(t'') R^{-1}(t'') \delta(t'')$  when  $t'' = 0, t' = t_{ret}$   
 s.t.  $c(t_{ret} - t) = R(t_{ret})$   
 $= \frac{q}{4\pi c(t_{ret}) R(t_{ret})} = \left[ \frac{q}{4\pi R} \right]$  (Liénard-Wiechart potential)

同例  $\vec{A}(\vec{r}, t) = \frac{q \dot{\vec{r}}(t_{ret})}{c R(t_{ret}) R(t_{ret})} = \left[ \frac{q \dot{\vec{r}}}{c R} \right]$

[...] 注意  $\dot{\vec{r}}(t_{ret})$  是  $t_{ret}$  时的速度  
 $R$  是  $t$  时刻  $t_{ret}$  的距离  $c(t - t_{ret})$  和  $t_{ret}$  的关系  
 代表时间

Note 1.  $\dot{\vec{r}} \sim \frac{1}{R}$  static case  $\dot{\vec{r}} \sim \frac{1}{R^2}$

Now  $\dot{\vec{r}} \sim \frac{1}{R}$  at  $t_{ret}$ , implicitly depend on  $R$

$\dot{\vec{r}}$  falls slower than  $\frac{1}{R^2}$ , i.e.  $\dot{\vec{r}} \neq 0$  at  $r = \pm \infty$  (EM waves cases)

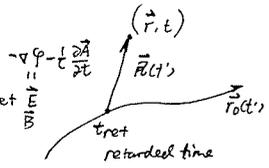
2.  $\alpha = 1 - \frac{\dot{\vec{r}} \cdot \hat{n}}{c}$   $\dot{\vec{r}} \sim \frac{1}{R}$  (极远时  $\dot{\vec{r}} \sim \frac{1}{R}$ ) (注意  $\dot{\vec{r}} \cdot \hat{n}$  是  $\dot{\vec{r}}$  沿  $\hat{n}$  的分量, 称 "beaming effect", where  $\beta = \frac{v}{c} \rightarrow 1$   $\alpha \rightarrow 0$  along it.  $\alpha \rightarrow 1$   $\dot{\vec{r}} \cdot \hat{n}$ ,  $\phi$  is concentrated into a narrow cone along  $\hat{n}$ .)

Summary: define  $\vec{R}(t') \equiv \vec{r} - \vec{r}_0(t')$

$c(t - t_{ret}) = R(t_{ret})$

Solution  $\phi(\vec{r}, t) = \left[ \frac{q}{4\pi R} \right]$   $\vec{A}(\vec{r}, t) = \left[ \frac{q\vec{v}}{4\pi R c} \right] \Rightarrow$  to get  $\vec{E}$

$\kappa(t) = 1 - \frac{\hat{n} \cdot \vec{v}(t)}{c}$ ,  $\kappa(t') = 1 - \frac{\hat{n} \cdot \vec{v}(t')}{c}$   
[...] denotes the evaluation at  $t_{ret}$   
 $\hat{n}(t') = \frac{\vec{R}(t')}{|\vec{R}(t')|}$



$\vec{E}(\vec{r}, t) = \frac{q}{4\pi R^2} \left[ \frac{\hat{n} - \vec{\beta}}{\kappa^3} \right] + \frac{q}{4\pi R^2} \left[ \frac{\hat{n} \times (\dot{\hat{n}} \times \vec{\beta})}{\kappa^3} \right]$

velocity field (dept. on  $\vec{\beta}$ )      acceleration field (dept. on  $\vec{\beta}, \dot{\vec{\beta}}$ )

$\nabla \cdot \vec{E} = \rho$ ,  $\nabla \times \vec{E} = -\dot{\vec{B}}$ ,  $\nabla \times \vec{B} = \mu_0 \vec{j} + \mu_0 \epsilon_0 \dot{\vec{E}}$

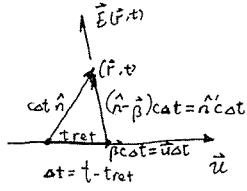
$E_a \propto \frac{1}{R}$ ,  $S \propto \frac{1}{R^2}$ ,  $P \propto \int S \cdot d\vec{A} \propto \text{const}$   
 $\Rightarrow$  RADIATION FIELD  
 $\vec{E}(\vec{r}, t) \perp \hat{n}(\vec{r}, t)$

$\vec{B}(\vec{r}, t) = \hat{n} \times \vec{E}(\vec{r}, t)$

$\kappa(t') = 1 - \frac{1}{c} \hat{n}(t') \cdot \vec{v}(t')$

Case 1. particles with constant velocity

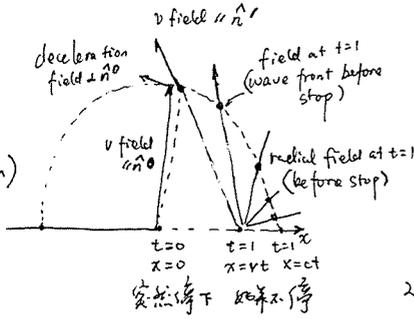
$\vec{E}(\vec{r}, t) = \frac{q(1-\beta^2)\hat{n}'}{4\pi R'^2}$ ,  $\hat{n}' = \hat{n} - \vec{\beta}$  (Not a unit vector)



- $\vec{E}(\vec{r}, t) \parallel \hat{n}'$ , i.e. 'radial' to  $\hat{n}'$ , along the line toward the current position of the charge.
- When  $\beta \ll 1$ ,  $\vec{E} \rightarrow$  Coulomb's law
- $E \propto \frac{1}{R^2}$ , so  $|E| \propto \frac{1}{R^2}$ , or  $\int S \cdot d\vec{A} \propto \frac{1}{R^2} \rightarrow 0$  as  $R \rightarrow \infty$   
i.e. No radiation at this case. (away to infinity)  
eg. 手电筒, 手电筒光束

Example: Charged particle moving at uniform velocity (in +x direction) is stopped at  $x=0$  and  $t=0$ .

$\nabla \cdot \vec{E} = 0$ , no source,  $\vec{E}$  preserve flux



power spectrum  $\frac{dW}{d\omega dA} = c |\tilde{E}(\omega)|^2$

$dA = R^2 d\Omega$   
 $\Rightarrow \frac{dW}{d\omega dR} = c |R \tilde{E}(\omega)|^2$ ,  $\tilde{E}(\omega) = \frac{1}{2\pi} \int \vec{E}(t) e^{i\omega t} dt$   
 $= \frac{c}{4\pi^2} \left| \int R \tilde{E}(\omega) e^{i\omega t} dt \right|^2 = \frac{q^2}{4\pi^2 c} \left| \int [\hat{n} \times (\hat{n} - \vec{\beta}) \times \dot{\vec{\beta}}] \kappa^{-3} e^{i\omega t} dt \right|^2$

because  $t' = t - \frac{R(t')}{c}$ ,  $t = t' + \frac{R(t')}{c}$ ,  $dt = dt' \left( 1 + \frac{\dot{R}(t')}{c} \right) = \kappa(t') dt'$

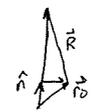
$R^2 = \vec{R} \cdot \vec{R} \rightarrow R \dot{R} = \vec{R} \cdot \dot{\vec{R}} = -\vec{R} \cdot \vec{v} \rightarrow \dot{R} = -\hat{n} \cdot \vec{v}$

$e^{i\omega t}$  can be written to  $e^{i\omega t} = e^{i\omega(t' + \frac{R(t')}{c})}$

H.W  
R.L.  
3-1 3-2 3-3

For large distance  $|\vec{r}_0| \ll |\vec{r}|$

range of motion of charge  
So  $e^{i\omega t} = e^{i\omega(t' + \frac{R(t')}{c})} = e^{i\omega t'} + \frac{i\omega R(t')}{c} e^{i\omega t'}$   
 $= e^{i\omega \frac{|\vec{r}'|}{c}} \times e^{i\omega(t' - \frac{\hat{n} \cdot \vec{r}_0(t')}{c})}$



Substitute  $e^{i\omega t}$  into  $\frac{dW}{d\omega dR}$

$\frac{dW}{d\omega dR} = \frac{q^2}{4\pi^2 c} \left| \int \hat{n} \times (\hat{n} - \vec{\beta}) \times \dot{\vec{\beta}} \kappa^{-2} e^{i\omega(t' - \frac{\hat{n} \cdot \vec{r}_0(t')}{c})} dt' \right|^2$

where  $e^{i\omega \frac{|\vec{r}'|}{c}}$  is canceled after  $|\vec{r}'|^2$  just a phase!

Use identity  $\frac{d}{dt'} [\kappa^{-1} \hat{n} \times (\hat{n} \times \dot{\vec{\beta}})] = \hat{n} \times \{ (\dot{\hat{n}} - \dot{\vec{\beta}}) \times \dot{\vec{\beta}} \} \kappa^{-2} \dots$

$\frac{dW}{d\omega dR} = \frac{q^2}{4\pi^2 c} \left| \int_{-\infty}^{\infty} \kappa^{-1} \hat{n} \times (\hat{n} \times \dot{\vec{\beta}}) e^{i\omega(t' - \frac{\hat{n} \cdot \vec{r}_0(t')}{c})} dt' \right|^2$   
 $\kappa = \frac{d}{dt'} \left( 1 - \frac{\hat{n} \cdot \vec{r}_0(t')}{c} \right)$

Proof for (41)

$\hat{n}$  fixed, LHS =  $\kappa^{-2} \left[ (\hat{n} \cdot \dot{\vec{\beta}}) \hat{n} \times (\hat{n} \times \dot{\vec{\beta}}) + \kappa \hat{n} \times (\hat{n} \times \dot{\vec{\beta}}) \right] = \kappa^{-2} \left\{ (\hat{n} \cdot \dot{\vec{\beta}}) [(\hat{n} \cdot \dot{\vec{\beta}}) \hat{n} - \dot{\vec{\beta}}] + (1 - \hat{n} \cdot \dot{\vec{\beta}}) [(\hat{n} \cdot \dot{\vec{\beta}}) \hat{n} - \dot{\vec{\beta}}] \right\}$   
 $= \kappa^{-2} \left\{ (\hat{n} \cdot \dot{\vec{\beta}}) [(\hat{n} \cdot \dot{\vec{\beta}}) \hat{n} - \dot{\vec{\beta}}] + \dots \right\} = \kappa^{-2} \left\{ (\hat{n} \cdot \dot{\vec{\beta}}) (\hat{n} \cdot \dot{\vec{\beta}}) - \dot{\vec{\beta}} \cdot (1 - \hat{n} \cdot \dot{\vec{\beta}}) \right\} = \text{RHS}$

Gen B: Radiation from non-relativistic system of particles.

$\frac{E_{rad}}{E_{vel}} \approx \frac{R \dot{v}}{c^2} \approx \frac{R \omega v}{c^2} = \beta \frac{R}{\lambda}$ ,  $\beta = \frac{v}{c}$

If there exists a characteristic frequency of oscillation  $\omega$ ,  $v \sim \omega R$

"Near Zone"  $R \lesssim \lambda$ ,  $E_{vel} \gg E_{rad}$ ,  $\frac{E_{vel}}{E_{rad}} \propto \beta^{-1}$   
"Far Zone"  $R \gg \lambda$ ,  $E_{rad} \gg E_{vel}$ ,  $\frac{E_{rad}}{E_{vel}} \propto R$

Larmor's Formula: for a single accelerated charge

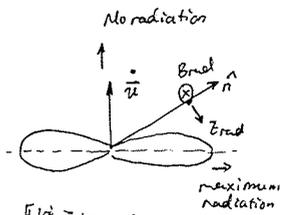
$\vec{E}_{rad} = \frac{q}{4\pi R^2} \hat{n} \times (\hat{n} \times \dot{\vec{v}})$   
using for non-relativistic case  $\kappa \rightarrow 1, |\vec{\beta}| \ll 1, \vec{\beta} \times \dot{\vec{\beta}} \rightarrow 0$

$\vec{B}_{rad} = \hat{n} \times \vec{E}_{rad}$

$\vec{S} = \frac{c}{4\pi} \vec{E} \times \vec{B}$ ,  $S = \frac{c}{4\pi} \left( \frac{q}{4\pi R^2} \dot{v} \sin\theta \right)^2 = \frac{dW}{dt dA} = \frac{dW}{dt dR R^2}$

$\frac{dW}{dt dR} = \frac{c}{4\pi} \left( \frac{q}{c^2} \dot{v} \sin\theta \right)^2 = \frac{q^2 \dot{v}^2 \sin^2\theta}{4\pi c^3}$

$\frac{dW}{dt} = \int \frac{dP}{dR} dR = \frac{2q^2 \dot{v}^2}{3c^3}$  (Larmor's Formula),  $\frac{dP}{dR} \propto \dot{v}^2 \sin^2\theta$ ,  $P \propto (q \dot{v})^2$   
辐射功率



note:  $\int_0^\pi \sin^3\theta d\theta = \frac{8}{3}$

Dipole approximation

2. 电偶极子  $\rightarrow$  电荷差  $\Delta q$ , 电荷分布  $\rho(\vec{r}, t)$

3. 电偶极矩  $\vec{d} = \int \vec{r} \rho(\vec{r}, t) d^3r$

The radiation field

$$\vec{E}_{rad} = \frac{2}{c^2} \frac{d^2 \vec{d}}{dt^2} \frac{1}{R_2} \quad (\text{at } r_{ret})$$

for 'far zone' radiation  $R_2 = R_0 / R_1 \ll 1, R_1 \rightarrow R_0$

$$\vec{E}_{rad}^{(k)} = \frac{\hat{n} \times (\hat{n} \times \dot{\vec{d}})}{c^2 R_0} \quad (\text{like single particle } qR \rightarrow d)$$

The radiation power

$$\frac{dP}{d\Omega} = \frac{2}{4\pi c^3} |\dot{\vec{d}}|^2 \sin^2 \theta$$

$$P = \frac{2}{3c^3} |\ddot{\vec{d}}|^2 \quad (\text{dipole approximation})$$

The dipole can be decomposed to Fourier Component

$$d(t) = \int_{-\infty}^{\infty} e^{-i\omega t} \tilde{d}(\omega) d\omega$$

$$\dot{d}(t) = \int_{-\infty}^{\infty} -i\omega \tilde{d}(\omega) e^{-i\omega t} d\omega = \mathcal{F}[\dot{d}(t)]$$

$$\text{So as the field } \vec{E}(\omega) = \frac{\sin \theta}{c^2 R_0} \mathcal{F}[\dot{d}(t)] = \frac{-\omega^2}{c^2 R_0} \tilde{d}(\omega) \sin^2 \theta$$

$$\frac{dW}{d\omega d\Omega} = c^2 R_0^2 |\vec{E}(\omega)|^2 = \frac{1}{c^3} \omega^4 |\tilde{d}(\omega)|^2 \sin^2 \theta$$

$$\frac{dW}{d\omega} = \frac{8\pi \omega^4}{3c^3} |\tilde{d}(\omega)|^2$$

辐射的方向性  $\rightarrow$  偶极子辐射  $\rightarrow$  偶极子近似  $\rightarrow$  偶极子辐射  $\rightarrow$  偶极子辐射

form: 如果有相位不近  $\rightarrow$  偶极子辐射, 他们之间的  $r_{ret}$  是偶极子  $\rightarrow$  (相位差), 需要修正, 然后  $P$ .

Thomson Scattering (Electron Scattering): A free charge radiates in response to an incident EM wave in a non-relativistic limit.

Suppose  $\vec{E} = \hat{e} E_0 e^{i(\vec{k}\cdot\vec{r} - \omega t)}$  is the incident field, the equation

of motion  $m\ddot{\vec{r}} = \vec{F} = e\hat{e} E_0 \sin \omega t$  (at  $\vec{r} = \vec{0}$ )

$$\vec{d} = e\vec{r}, \quad \ddot{\vec{d}} = e\ddot{\vec{r}} = \frac{e^2 E_0}{m} \sin \omega t$$

$$\vec{d}(t) = -\left(\frac{e^2 E_0}{m\omega^2}\right) \sin \omega t \hat{e} = -d_0 \sin \omega t \quad \text{where } d_0 = \frac{e^2 E_0}{m\omega^2}$$

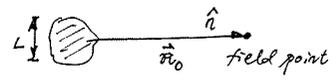
The scattering EM field

$$\frac{dP}{d\Omega} = \frac{1}{4\pi c^3} \left(\frac{e^2 E_0}{m}\right)^2 \sin^2 \theta \sin^2 \theta$$

$$\text{or } \left\langle \frac{dP}{d\Omega} \right\rangle = \frac{e^4 E_0^2}{8\pi m^2 c^3} \sin^2 \theta$$

$$\text{or total power } \langle P \rangle = \frac{e^4 E_0^2}{3m^2 c^3}$$

$\left\{ \vec{r}(t, \vec{r}), z(t) \right\}$  不是  $\rightarrow$  retarded 有延迟  $\rightarrow$  But for the case  $r \gg \frac{L}{c} \rightarrow L = \text{typical size of system}$  typical time scale for changes in  $\vec{E}_{rad}$



We may neglect the difference in  $r_{ret}$ .

$$\tau \sim \frac{L}{c} \sim \lambda_c$$

The condition can be written  $\lambda \gg L$

$$\tau \sim \frac{L}{v} \rightarrow \text{orbit } \frac{L}{v} \gg \frac{L}{c} \rightarrow \frac{v}{c} \ll \frac{L}{L} < 1$$

The condition can be written  $v \ll c$  (non-relativistic)

Define the differential cross section  $\frac{d\sigma}{d\Omega} = \frac{\langle dP/d\Omega \rangle}{\langle S \rangle} = \frac{e^4 \sin^2 \theta}{m^2 c^4} = r_0^2 \sin^2 \theta$

the probability of the incident EM wave being scattered by the point source charge.

where  $r_0 = \frac{e^2}{mc^2}$  denote the 'size' of the point charge ( $\frac{e^2}{r_0} = mc^2$ )

The total cross section  $\sigma = \frac{8\pi}{3} r_0^2$ . If  $r_0^2$  is larger, so is the  $\sigma$ .

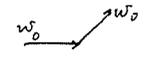
So for electron and proton,  $r_{e0}^2 \sim 10^3, \sigma_{e0}^2 \sim 10^6$

在 CM 系中, 电荷分布  $\rightarrow$  电荷分布  $\rightarrow$  电荷分布  $\rightarrow$  电荷分布

$$r_0 \approx 2.82 \times 10^{-13} \text{ cm}$$

$$\sigma_T = 0.665 \times 10^{-24} \text{ cm}^2$$

Note: 1. 弹性散射  $\rightarrow$  弹性散射  $\rightarrow$  弹性散射  $\rightarrow$  弹性散射

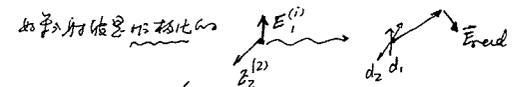


This is elastic scattering.

This is valid for sufficient low  $\omega$ , such that  $h\omega \ll mc^2 = 0.511 \text{ MeV}$  for electron non relativistic

Valid for sufficiently low intensity of radiation field. Otherwise the charge may move relativistically, the dipole approx fails.

2. 辐射场  $\rightarrow$  辐射场  $\rightarrow$  辐射场  $\rightarrow$  辐射场



$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{unpol}} = \frac{1}{2} \left(\frac{d\sigma}{d\Omega}\right)_{\text{pol}} + \left(\frac{d\sigma}{d\Omega}\right)_{\text{pol}} = \frac{1}{2} r_0^2 (1 + \sin^2 \theta) = \frac{1}{2} r_0^2 (1 + \cos^2 \theta)$$

$$\sigma_{\text{unpol}} = \frac{8}{3} \pi r_0^2 = \sigma_{\text{pol}}$$

But here are 2 polarized direction, degree of polarization  $\Pi = \frac{1 - \cos^2 \theta}{1 + \cos^2 \theta} = \begin{cases} 0\% & \theta = 0 \\ 100\% & \theta = \frac{\pi}{2} \end{cases}$  That means unpolarized incident wave produces a partially polarized outgoing wave.

对于 CM 系, 散射是弹性的, Thomson 散射, 电荷分布  $\rightarrow$  电荷分布  $\rightarrow$  电荷分布  $\rightarrow$  电荷分布

$$\vec{k}\cdot\vec{x} - \omega t = \eta_{\mu\nu} k^\mu x^\nu$$

where  $k^\mu = (\frac{\omega}{c}, \vec{k})$   
 $x^\nu = (ct, \vec{x})$

Thus  $k^\mu$  should be a 4-vector, and  
 $k^2 = -(\frac{\omega}{c})^2 + \vec{k}^2 = 0$   
 $\omega = c|\vec{k}|$  for plane-wave  
 i.e.  $k^\mu$  is a null-vector.

### Relativistic Covariance and Kinematics

Contra-variant Vector  $x^\mu = (ct, x, y, z)$ ,  $\mu = 0, 1, 2, 3$

$$\eta^{\mu\nu} = \eta_{\mu\nu} = \begin{pmatrix} -1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}$$

Covariant Vector  $x_\mu = \eta_{\mu\nu} x^\nu$

Invariant  $S^2 = x_\mu x^\mu$  under  $K \rightarrow K'$

Lorentz transformation along X-axis

$$\Lambda^\mu{}_\nu = \begin{pmatrix} \gamma & -\beta\gamma & & \\ -\beta\gamma & \gamma & & \\ & & 1 & \\ & & & 1 \end{pmatrix} \quad \beta = \frac{v}{c}$$

$$\gamma = (1 - \beta^2)^{-1/2}$$

Contra-variant Under  $\Lambda$   $x^\mu \rightarrow x'^\mu = \Lambda^\mu{}_\nu x^\nu$

Invariant  $S^2 = \eta_{\mu\nu} x^\mu x^\nu = \eta_{\sigma\tau} x'^\sigma x'^\tau = \eta_{\sigma\tau} \Lambda^\sigma{}_\mu \Lambda^\tau{}_\nu x^\mu x^\nu$

$S^2$  is invariant for arbitrary  $x^\mu$ , only if  $\eta_{\mu\nu} = \Lambda^\sigma{}_\mu \Lambda^\tau{}_\nu \eta_{\sigma\tau}$

$$\text{or } \eta = \Lambda^T \eta \Lambda = (\Lambda^T)^\sigma{}_\mu \eta_{\sigma\tau} (\Lambda)^\tau{}_\nu$$

$$\text{or } \det \eta = (\det \Lambda)^2 \det \eta \Rightarrow \det \Lambda = \pm 1$$

- +1: proper Lorentz transformation
- 1: reflection  $\vec{x} \rightarrow -\vec{x}$

Covariant Vector  $x_\mu \rightarrow x'_\mu = \tilde{\Lambda}^\nu{}_\mu x_\nu$

it can also put constraint on  $\eta_{\mu\nu}$ :

$$S^2 = x'_\mu x'^\mu = \tilde{\Lambda}^\sigma{}_\mu \Lambda^\mu{}_\tau x_\sigma x^\tau = \delta^\sigma{}_\tau x_\sigma x^\tau = x_\sigma x^\sigma$$

$$\Rightarrow \tilde{\Lambda}^\sigma{}_\mu \Lambda^\mu{}_\tau = \delta^\sigma{}_\tau$$

$$\Rightarrow \tilde{\Lambda} = \Lambda^{-1}$$

We conclude the transformation of 4-vector satisfies

$$\begin{cases} \text{Contra-variant } A^\mu \rightarrow A'^\mu = \Lambda^\mu{}_\nu A^\nu & A_\mu = \eta_{\mu\nu} A^\nu \\ \text{Covariant } A_\mu \rightarrow A'_\mu = \tilde{\Lambda}^\nu{}_\mu A_\nu & A^\mu = \eta^{\mu\nu} A_\nu \end{cases}$$

for any  $A^\mu, B_\mu$ , their inner product  $A^\mu B_\mu$  is invariant. (4-scalar).

four velocity  $\underline{u}^\mu \equiv \frac{dx^\mu}{d\tau}$ ,  $\underline{u}^0 = \frac{dx^0}{d\tau} = \frac{cdt}{d\tau} = \gamma c$

$$= \gamma c \underline{u}^i$$

$$\gamma_\mu = (1 - v^2/c^2)^{-1/2}, \quad \frac{dx^i}{d\tau} = \frac{dx^i}{dt} \gamma$$

和  $\gamma = (1 - \frac{v^2}{c^2})^{-1/2}$

$$\underline{u}^i = \frac{dx^i}{d\tau} = \gamma \frac{dx^i}{dt} = \gamma v^i$$

### Tensor analysis

zero<sup>th</sup>-rank tensor = Lorentz scalar (invariant)

1<sup>st</sup>-rank tensor = 4-vector

$$2^{\text{nd}}\text{-rank tensor } T^{\mu\nu} \rightarrow T'^{\mu\nu} = \Lambda^\mu{}_\sigma \Lambda^\nu{}_\tau T^{\sigma\tau}$$

$$T_{\mu\nu} = \eta_{\mu\sigma} \eta_{\nu\tau} T^{\sigma\tau}$$

$$T_{\mu\nu} \rightarrow T'_{\mu\nu} = \tilde{\Lambda}^\sigma{}_\mu \tilde{\Lambda}^\tau{}_\nu T_{\sigma\tau}$$

Tensor Satisfies:

- Addition:  $A^\mu \pm B^\mu$  is a tensor of the same rank with  $A^\mu, B^\mu$
- Multiplication:  $A^\mu B^\nu G_{\sigma\tau}$  is a 4<sup>th</sup>-rank tensor

3. Raising and lowering indices using  $\eta^{\mu\nu}, \eta_{\mu\nu}$ , respectively

4. Contraction: e.g.  $A^\mu A_\mu$  is a scalar,  $T^{\mu\nu}{}_\mu$  is a 4-vector

5. Gradients: if  $\lambda$  is a 4-scalar:

$$\partial_\mu \lambda \equiv \frac{\partial \lambda}{\partial x^\mu} = \lambda_{,\mu} \text{ is a covariant vector.}$$

Similarly for tensor  $T^{\mu\nu}$ :

$$T^{\mu\nu}{}_{,\sigma} \equiv \frac{\partial T^{\mu\nu}}{\partial x^\sigma} \text{ is a 3<sup>rd</sup> order tensor.}$$

tensor 的阶数是. 可以变化

地构建变量;

为什么在4维时空坐标必须 tensor equation. if it is true in frame  $K$  then it is true in all frames  $K'$   
That means the LHS, RHS should have same rank.

Maxwell equations in covariant form

Charge conservation:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \vec{j} = 0 \Rightarrow j^\mu = (\rho c, \vec{j})$$

$$\partial_\mu j^\mu = 0$$

Gauge potential form of Maxwell equation

$$\nabla^2 \vec{A} - \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} = -\frac{4\pi}{c} \vec{j} \quad A^\mu = (\phi, \vec{A})$$

$$\nabla^2 \phi - \frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} = -4\pi \rho \Rightarrow \partial_\alpha A^\mu = \gamma^\alpha \beta_\nu \partial_\beta A^\mu = \left(-\frac{1}{c^2} \frac{\partial^2}{\partial t^2} + \nabla^2\right) A^\mu = -\frac{4\pi}{c} j^\mu$$

under Lorentz gauge  $\nabla \cdot \vec{A} + \frac{1}{c} \frac{\partial \phi}{\partial t} = 0 \Rightarrow \partial_\mu A^\mu = 0$

What about  $\vec{E}$  and  $\vec{B}$ ?

$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  is a 2nd-rank tensor

$$= \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & B_z & -B_y \\ E_y & -B_z & 0 & B_x \\ E_z & B_y & -B_x & 0 \end{pmatrix}$$

Maxwell equations

$$\partial^\nu F_{\mu\nu} = \frac{4\pi}{c} j_\mu$$

e.g. Use  $\partial^\nu F_{\mu\nu} = \frac{4\pi}{c} j_\mu$

$$\partial^\mu \partial^\nu F_{\mu\nu} = \frac{4\pi}{c} \partial^\mu j_\mu \Rightarrow \partial^\mu j_\mu = 0$$

Symmetric  $\mu < \nu$   
anti-symmetric  $\mu < \nu$

Transformation under  $\Lambda$

$$F_{\mu\nu} \rightarrow F'_{\mu\nu} = \tilde{\Lambda}^\alpha{}_\mu \tilde{\Lambda}^\beta{}_\nu F_{\alpha\beta}$$

For a boost along  $\vec{v} = c\hat{v}$

$$\vec{E}'_{\parallel} = \vec{E}_{\parallel}, \quad \vec{B}'_{\parallel} = \vec{B}_{\parallel}$$

$$\vec{E}'_{\perp} = \gamma(\vec{E}_{\perp} + \vec{\beta} \times \vec{B}), \quad \vec{B}'_{\perp} = \gamma(\vec{B}_{\perp} - \vec{\beta} \times \vec{E})$$

e.g.  $\partial_\sigma F_{\mu\nu} + \partial_\nu F_{\sigma\mu} + \partial_\mu F_{\nu\sigma} = 0$  automatically satisfied for antisymmetric  $F_{\mu\nu}$

$$\nabla \cdot \vec{B} = 0$$

$$\nabla \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} = 0$$

在 Lorentz 变换下，洛伦兹力不变。且 boost 是 Lorentz 变换的逆。

imp. A pure E and/or B field is NOT Lorentz invariant.

Useful invariant

$$\textcircled{1} F^{\mu\nu} F_{\mu\nu} = 2(\vec{B}^2 - \vec{E}^2)$$

Lorentz invariant  
不变量

$\textcircled{2}$  For any 2<sup>nd</sup>-rank tensor  $A_{\mu\nu}$ , its determinant  $\det A$  is a scalar

$$\det A'_{\alpha\beta} = \det(\tilde{\Lambda}^\mu{}_\alpha \tilde{\Lambda}^\nu{}_\beta A_{\mu\nu}) = \det(\tilde{\Lambda}^\mu{}_\alpha A_{\mu\nu} (\tilde{\Lambda}^\nu{}_\beta)) = \det(\tilde{\Lambda} A \tilde{\Lambda}^T) = (\det \tilde{\Lambda})^2 \det A = \det A$$

For EM field

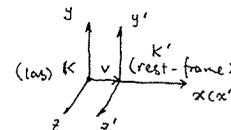
$$\det F_{\mu\nu} = (\vec{E} \cdot \vec{B})^2 \text{ is invariant}$$

$$\vec{E} \cdot \vec{B} \text{ is also invariant.}$$

Example: fields of a uniformly moving charge

In the Rest frame  $\vec{B} = 0$

$$\begin{cases} z'_x = z_x / \gamma \\ z'_y = z_y / \gamma \\ z'_z = z_z / \gamma \end{cases} \quad r' = (x'^2 + y'^2 + z'^2)^{1/2}$$



In the lab frame

$$z_x = z_x / \gamma, \quad B_x = 0$$

$$\vec{E}_{\perp} = \gamma \vec{E}'_{\perp}, \quad \vec{B}_{\perp} = \gamma \vec{\beta} \times \vec{E}'_{\perp}$$

$$\begin{cases} z_x = \frac{z_x}{\gamma} (x-vt) \\ z_y = z_y / \gamma \\ z_z = z_z / \gamma \end{cases}$$

$$\left\{ \begin{aligned} B_x &= -2\gamma \beta z_y / r^3 \\ B_y &= 2\gamma \beta z_x / r^3 \end{aligned} \right\} \vec{B} = \frac{2\gamma \vec{\beta} \times \vec{r}}{r^3}$$

$$r = \sqrt{x^2 + y^2 + z^2 + v^2 t^2}$$

• 50% 的电荷集中在 z=0 处，另外 50% 分布在 z=vt 处。

Relativistic Mechanics

4-momentum  $p^\mu = m_0 u^\mu = (\frac{E}{c}, \vec{p})$

$$p^0 = c m_0 \gamma, \quad p^i = m_0 \gamma v^i$$

$$p^2 = p^\mu p_\mu = -m_0^2 \gamma^2 (-c^2 + v^2) = -m_0^2 c^2 \Rightarrow |\vec{p}|^2 c^2 + m_0^2 c^4 = E^2$$

For photons,  $p^\mu = (\frac{E}{c}, \vec{p}) = (\frac{h\nu}{c}, h\vec{k}) = h\nu \omega$

$$w = c/|\vec{k}|$$

4-acceleration  $a^\mu = \frac{dU^\mu}{d\tau}$

4-force  $F^\mu = m_0 a^\mu = \frac{dP^\mu}{d\tau}$

Lorentz force  $\vec{F} = e(\vec{E} + \vec{v} \times \vec{B})$

$$F^\mu = \frac{e}{c} F^{\mu\nu} U_\nu \quad \text{or} \quad a^\mu = \frac{e}{m_0 c} F^{\mu\nu} U_\nu$$

Lorentz force      anti-symmetric tensor

$$F^\mu U_\mu = \frac{e}{c} F^{\mu\nu} U_\nu U_\mu = 0$$

anti-sym.      sym.      zero      zero

For  $\mu=0$   $\frac{dW}{dt} = e \vec{E} \cdot \vec{v}$

power

For  $\mu=i$   $\frac{dP_i}{dt} = e \left( E_i + (\vec{v} \times \vec{B})_i \right)$

Emission From Relativistic Particles

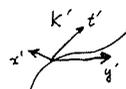
非相对论情况——求取 Larmor 公式并讨论

非相对论情况——求取 Larmor 公式 (思路: 在静止系下用 Larmor 公式, 再变换回来)

· 瞬时静止系

Instantaneous rest frame  $K'$ : In  $K'$ , particle has zero velocity at a certain time.

For infinitesimally neighboring time the particle moves NON-relativistic



⇒ we can use Larmor's (or dipole) formula in  $K'$

In  $K'$ , total amount of energy  $dW'$

$K$  (lab frame) moves  $-\vec{v}$  w.r.t  $K'$ ,  $dW = \gamma dW'$  (from the transformation of  $p^\mu$ )  
 $dt = \gamma dt'$

这是洛伦兹变换的逆变换

$P = \frac{dW}{dt} = P'$  (this is the Lorentz transformation of  $\frac{dW}{dt}$ )

From Larmor's formula

$P' = \frac{2q^2}{3c^3} |\dot{\vec{a}}'|^2$

洛伦兹变换的逆变换

Easy to show  $a_\mu u^\mu = \frac{d}{dt} (u_\mu u^\mu) = \frac{1}{2} \frac{d}{dt} (u_\mu u^\mu) = \frac{1}{2} \frac{d}{dt} (-c^2) = 0$

In  $K'$ ,  $u'^\mu = (c, \vec{0})$

$\frac{d u'^\mu}{dt} = \dot{a}'_\mu (c, \vec{a}')$

$a'_\mu u'^\mu = a'_0 c = 0 \Rightarrow a'_0 = 0$

$a'_\mu a'^\mu = a'_i a'^i = |\vec{a}'|^2$

So the Power  $P = \frac{2q^2}{3c^3} a^\mu a_\mu$  (Covariant form)

In  $K'$ ,  $a'_\parallel = \gamma^3 a_\parallel$

$\Rightarrow P = \frac{2q^2}{3c^3} \vec{a}' \cdot \vec{a}' = \frac{2q^2}{3c^3} \gamma^4 (a_\perp^2 + \gamma^2 a_\parallel^2)$

(textbook p140)

· 辐射的角分布

Angular distribution of emitted and received power.

In  $K'$ ,  $d\Omega' = \sin\theta' d\theta' d\phi'$

$\mu' = \cos\theta'$

$\frac{dW'}{dt'} \left( 1 + \beta c \frac{d\mu'}{dt'} \frac{d\Omega'}{d\Omega} \right)$

Since 4-momentum  $p^\mu$  is a 4-vector,  $dW = \gamma (dW' + v d p'_x) = \gamma dW' (1 + \beta \mu')$

$\mu = \frac{\mu' + \beta}{1 + \beta \mu'}$  (see R.L. eq 4.8b)  $\Rightarrow \sin^2\theta = \frac{\sin^2\theta'}{\gamma^2 (1 + \beta \mu')^2}$

and  $d\mu = \frac{d\mu'}{\gamma^2 (1 + \beta \mu')^2}$

$d\phi = d\phi'$

$\Rightarrow d\Omega = \frac{d\Omega'}{\gamma^2 (1 + \beta \mu')^2}$

$\frac{dW}{d\Omega} = \gamma^3 (1 + \beta \mu')^3 \frac{dW'}{d\Omega'}$

To divide at

$\rightarrow dt = \gamma dt'$  emitted power

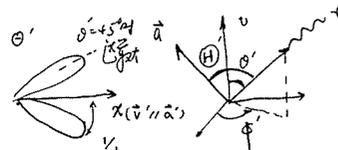
$\rightarrow dt_A = \gamma (1 + \beta \mu') dt'$  the time interval of the radiation as received by stationary observer in  $K$ .

$\vec{v} \cdot \vec{p} = \frac{dW}{dt}$ , so  $\frac{dP}{d\Omega} = \frac{2q^2}{4\pi c^3} \frac{(1 + a'_\parallel^2 + a'_\perp^2)}{(1 - \beta \mu')^4} \sin^2\theta'$

received power 辐射角分布

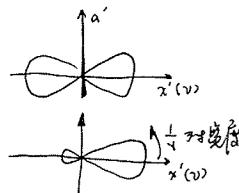
Case 1. acceleration  $\vec{a}' \parallel \vec{v}$  velocity,  $\theta = \theta'$

$\frac{dP'}{d\Omega'} = a'^2 \frac{\sin^2\theta'}{(1 - \beta \mu')^4} \times \text{const.}$



Case 2. acceleration  $\perp$  velocity,  $\cos\theta = \beta + \sin\theta' \cos\phi'$

$\frac{dP}{d\Omega} = \frac{1}{(1 - \beta \mu')^4} \left[ 1 - \frac{\sin^2\theta' \cos^2\phi'}{\gamma^2 (1 - \beta \mu')^2} \right] \times \text{const.}$



辐射角分布在  $K'$  系中

$\beta \mu \sim 1$   
 $\Rightarrow \frac{dP}{d\Omega} \sim \frac{1}{(1 - \beta \mu)^4}$

$\frac{1}{(1 - \beta \mu)^4} \approx \left( \frac{2\gamma}{1 + \sqrt{1 - \beta^2}} \right)^4$   
 width  $\sim \frac{1}{\gamma}$ , peaked at 0.

Chapter 5.2  
 可理解为A4从双面  
 网络学管与电学学  
 (电学)与电波(电学)同  
 类(电学)

From Coulomb's law  $\ddot{V} = -\frac{Ze^2}{mR^2} \hat{n}$

$\ddot{V}_\perp = -\frac{Ze^2}{mR^3} \hat{b}$

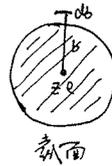
$|\Delta \vec{V}| = \int_{-\infty}^{\infty} \frac{Ze^2 b}{m(b^2 + v^2 t^2)^{3/2}} dt = \frac{Ze^2 b}{m v b^2} \int_{-\infty}^{\infty} \frac{d(\frac{vt}{b})}{[1 + (\frac{vt}{b})^2]^{3/2}} = \frac{Ze^2}{m v b}$

Small-scale small-angle scattering regime

$\frac{dW(b)}{d\omega} = \begin{cases} \frac{8Z^2 e^6}{3\pi c^3 m^2 v^2 b^2}, & b \lesssim \frac{v}{\omega} \\ 0, & \text{otherwise} \end{cases}$

For a flux of electrons  $\frac{dN_e}{dAdt} = n_e v$ , incident on 1 ion

$\frac{dW}{d\omega dV dt} = \int_{b_{min}}^{\infty} \frac{dW}{d\omega} n_e v \cdot 2\pi b db \times n_i = \int_{b_{min}}^{\infty} n_e n_i 2\pi v \frac{dW(b)}{d\omega} b db$



$= n_e n_i 2\pi v \int_{b_{min}}^{b_{max}} \frac{8Z^2 e^6}{3\pi c^3 m^2 v^2} \frac{db}{b} = \frac{16e^6}{3c^3 m^2 v} n_e n_i Z^2 \ln \frac{b_{max}}{b_{min}}$

$b_{max}$  is the Debye length  $b_{max} \approx \frac{v}{\omega}$   
 $b_{min}$  is the distance of closest approach

① Straight-line approximation  $\Delta v \ll v$

$b \gtrsim \frac{Ze^2}{m v^2}, b_{min} = \frac{Ze^2}{m v^2}$   
 书里问多3-4个因子,  $b_{min} = \frac{Ze^2}{m v^2} \left(\frac{Z}{\pi}\right)?$

② Uncertainty principle  $\Delta x \Delta p \gtrsim \hbar/2$

$\Delta x \sim b, \Delta p \sim m v$   
 If  $b_{min} \gg \frac{\hbar}{m v}$   $b_{min} = \frac{\hbar}{m v}$

classical treatment is valid,  $b_{min} = b_{min}$

$\frac{Ze^2}{m v^2} \gg \frac{\hbar}{m v} \Leftrightarrow v \ll \frac{Ze^2}{\hbar}$   
 $\Leftrightarrow \frac{1}{2} m v^2 \ll Z^2 \frac{me^4}{2\hbar^2} = 4Z^2 R_y$   
 where  $R_y = \frac{me^4}{2\hbar^2} \approx 13.6 \text{ eV}$

Rydberg energy for Hydrogen

$\frac{1}{2} m v^2 \gg Z^2 R_y$

Alternative by  $\frac{1}{2} m v^2 \gg Z^2 R_y$   
 $b_{min} = b_{min}^{(2)}$   
 Quantum limit

自由电子在恒定电场中加速(自由电子的辐射) ~ Free-Free emission  
 经典电动力学辐射的讨论

Bremsstrahlung (free-free) 轫致辐射

自由电子的辐射  $\vec{d} = \frac{2}{3} \frac{e^2}{c^3} \ddot{\vec{r}}$   
 if like-ions  $\vec{d} = \frac{2}{3} \frac{e^2}{c^3} \ddot{\vec{r}}$   
 像电子是像电子(像电子)

No bremsstrahlung for like particles (e.g. e-e, p-p)

e-ion Bremsstrahlung (e.g. p-e,  $m_e \ll m_p$ )  
 电子在重离子库仑场中运动, 电子运动在固定的库仑场中

Emission from single-speed electrons

$\vec{d} = -e\ddot{\vec{R}}$   
 $\ddot{\vec{d}} = -e\ddot{\dot{\vec{v}}}$

Fourier transformation  $\frac{d}{dt} \rightarrow -i\omega$

$-\omega^2 \tilde{d}(\omega) = \frac{-e}{2\pi} \int_{-\infty}^{\infty} \dot{\vec{v}} e^{i\omega t} dt$

Collision time (碰撞时间, 相互作用时间为  $\tau$ )  
 $\sim \tau = \frac{b}{v}$

for  $\omega\tau \gg 1, e^{i\omega t}$  oscillates rapidly for  $|t| \leq \tau$   
 $(b \gg \frac{v}{\omega})$

$\int \dot{\vec{v}} e^{i\omega t} dt \approx 0$

for  $\omega\tau \ll 1, e^{i\omega t} \approx 1$

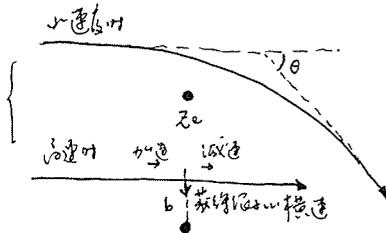
$\int \dot{\vec{v}} e^{i\omega t} dt \approx \int \dot{\vec{v}} dt = \Delta \vec{v}$

Combine these

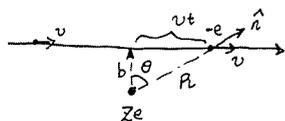
$\tilde{d}(\omega) = \begin{cases} \frac{e}{\omega^2} \Delta \vec{v}, & b \ll \frac{v}{\omega} \\ 0, & \text{otherwise} \end{cases}$

In dipole approximation, power spectrum

$\frac{dW}{d\omega} = \frac{8\pi\omega^4}{3c^3} |\tilde{d}(\omega)|^2 = \begin{cases} \frac{2e^2}{3\pi c^3} |\Delta \vec{v}|^2, & b \ll \frac{v}{\omega} \\ 0, & \text{otherwise} \end{cases}$



讨论自由电子辐射



In general

$$\frac{dW}{d\omega dV dt} = \frac{16\pi e^6}{3\sqrt{3} c^3 m^2 \omega} n_e n_i z^2 g_{eff}(\nu, \omega)$$

where Gaunt factor  $g_{eff}(\nu, \omega) = \frac{\sqrt{3}}{\pi} \ln \frac{b_{max}}{b_{min}}$

• Thermal Bremsstrahlung emission

Average the single-speed expression over a thermal distribution of speeds.

非热分布是Maxwell分布  
热分布是Maxwell分布

Thermal: Maxwell distribution  
Non-thermal: some distribution of speeds.

Maxwell probability  $dp \propto e^{-\frac{v^2}{2kT}} d^3v \propto v^2 e^{-\frac{mv^2}{2kT}} dv$

$$\frac{dW(\nu, \omega)}{d\omega dV dt} = \frac{\int_{v_{min}}^{\infty} \frac{dW(\nu, \omega)}{d\nu dV dt} v^2 e^{-\frac{mv^2}{2kT}} dv}{\int_0^{\infty} v^2 e^{-\frac{mv^2}{2kT}} dv}$$

$$= \frac{16\pi e^6 n_e n_i z^2}{3\sqrt{3} c^3 m^2} \frac{1}{\sqrt{\pi} \left(\frac{m}{2kT}\right)^{3/2}} \int_{v_{min}}^{\infty} g_{eff}(\nu, \omega) v e^{-\frac{mv^2}{2kT}} dv$$

$$= \frac{2k\nu}{m} e^{-\frac{h\nu}{kT}} \left( \int_0^{\infty} g_{eff}(\nu, \omega) e^{-\frac{h\nu}{kT} x} x dx \right)$$

$$= \frac{32\pi e^6}{3 m c^3} \left(\frac{2\pi}{3kT}\right)^{1/2} T^{-1/2} z^2 n_e n_i e^{-\frac{h\nu}{kT}} \bar{g}_{eff}$$

define  $\epsilon_{eff} = \frac{dW}{d\nu dV dt} = \frac{\partial \pi}{\partial \nu} \frac{dW}{d\nu dV dt} = 6.8 \times 10^{-38} z^2 n_e n_i T^{-1/2} e^{-\frac{h\nu}{kT}} \bar{g}_{eff}$   
( $\omega = 2\pi\nu$ )  
 $d\omega = 2\pi d\nu$

Gaunt factor  $\bar{g}_{eff} \sim \begin{cases} 0(1) & \text{for } \frac{h\nu}{kT} \sim 1 \\ 1 \sim 5 & \text{for } 10^{-4} < \frac{h\nu}{kT} < 1 \end{cases}$

Total power per volume

$$\frac{dW}{dt dV} = \int \frac{dW}{dt dV d\omega} d\omega = \left(\frac{2\pi kT}{3m}\right)^{1/2} \frac{32\pi e^6}{3 m c^3} z^2 n_e n_i \bar{g}_B$$

$$= 1.4 \times 10^{-27} T^{1/2} n_e n_i z^2 \bar{g}_B$$

where  $\bar{g}_B = \int \bar{g}_{eff} e^{-\frac{h\nu}{kT}} d\left(\frac{h\nu}{kT}\right)$   
frequency averaged of the velocity averaged Gaunt factor  $\approx 1.1-1.5$

非热分布是Maxwell分布  
 $h\nu \leq \frac{1}{2} m v^2$   
 $\Rightarrow v_{min} = \sqrt{\frac{2h\nu}{m}}$   
所以非热分布  $x = \frac{v}{v_{min}}$   
 $\frac{mv^2}{2kT} = \frac{mv_{min}^2}{2kT} x^2$

• Thermal Bremsstrahlung

Free-Free Absorption (自由-自由吸收)

free-free emission  $\nu \pi j_{\nu}^{eff} = \frac{dW}{dt dV d\nu}$

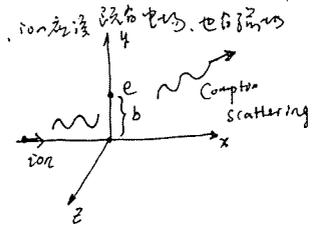
free-free absorption  $\alpha_{\nu}^{ff} = \frac{j_{\nu}^{ff}}{B_{\nu}(T)}$ , Planck func  $B_{\nu}(T) \approx 3.7 \times 10^8 T^{-1/2} z^2 n_e n_i \nu^{-3} (1 - e^{-h\nu/kT})^{-1} \bar{g}_{eff}$

for  $h\nu \gg kT$ ,  $\alpha_{\nu}^{ff} \propto \nu^{-3}$   
absorption 与  $\nu^2$  成正比, 发射为  $\nu^{-3}$   
for  $h\nu \ll kT$ ,  $\alpha_{\nu}^{ff} = 0.018 T^{-3/2} z^2 n_e n_i \nu^{-2} \bar{g}_{eff} \propto \nu^{-2}$   
吸收与  $\nu^2$  成正比, 发射也存在

Relativistic Bremsstrahlung (free-free) = Compton scattering of the virtual quanta of the ion's electrostatic field as seen in electron's frame.

"method of virtual quanta" 虚光子方法

离子在电子参考系下, 离子静电场在电子看来是电磁波, 电子散射



electro static field  $\rightarrow$  radiation field (Compton 散射 (虚光子)). ion  $\sim c$  (离子, 电子参考系)  $\sim$  Thomson 散射

离子静电场的虚光子流

$$\frac{dW'}{dA d\omega'} = c |\vec{E}(\omega)|^2 = \frac{2^2}{\pi^2 b^2 c} \left(\frac{b'\omega'}{c}\right)^2 R_1^2 \left(\frac{b'\omega'}{c}\right)$$

$$\vec{E}(\omega) = \frac{1}{\pi} \int_{-\infty}^{\infty} \vec{E}_i(t) e^{i\omega t} dt = \frac{2vb}{\pi} \int_{-\infty}^{\infty} (x^2 v^2 + b^2 z^2)^{-3/2} e^{i\omega t} dt, \quad x = (1 - \beta^2)^{-1/2}$$

$$= \frac{2}{\pi} \int_0^{\infty} \left(1 + \frac{b\omega'}{v}\right) \frac{b\omega'}{v} R_1(x) dx$$

$R_1(x)$  modified Bessel function of the order 1

In the long-wavelength limit  $\hbar\omega' \ll mc^2$ , 这是 Thomson 散射

$$\frac{dW'}{d\omega'} = \frac{dW'}{dA d\omega'} \int d\sigma_T = \frac{dW'}{dA d\omega'} \sigma_T$$

$\uparrow$  Thomson 散射截面  
 $\frac{dW'}{d\omega'} = \frac{dW}{d\omega} b' = b, \quad \omega = \omega' (1 + \beta \cos \theta) \quad \omega = \omega'$   
 $\uparrow$  散射截面  
 $\sigma_T = \frac{2}{3} \pi \left(\frac{e^2}{mc^2}\right)^2$

In lab frame  $\frac{dW}{d\omega} = \frac{q^2 e^6}{3\pi^2 c^2 \epsilon_0^2 m^2} \left(\frac{b\omega}{v^2 c}\right)^2 f_{c1}^2 \left(\frac{b\omega}{v^2 c}\right)$    
 本书同轴元 (5.23) 的 typo. 抄了半  $\int_{b_{min}}^{b_0} K_1^2(x) \cdot x dx$    
 实际是在非相对论下的近似

If  $v \rightarrow c$ , and add  $\gamma$ , from NR to Relativistic formulae   
 Non-Relativistic.

for a flux of electrons

$$\frac{dW}{d\omega dt dV} = \int_{b_{min}}^{b_0} \frac{dW(b)}{d\omega} \cdot 2\pi b db n_e v \cdot n_i \sim \frac{16\pi^2 e^6 n_e n_i}{3c^4 m^2} \ln\left(\frac{b_0}{\omega b_{min}}\right) \int_{b_{min}}^{b_0} K_1^2(x) \cdot x dx$$

$x = \frac{b\omega}{v^2 c}$    
 $\omega \ll \omega_c \rightarrow \ln\left(\frac{0.68 \omega_c}{\omega b_{min}}\right)$    
 $\omega \gg \omega_c \rightarrow \ln\left(\frac{0.68 \omega_c}{\omega b_{min}}\right)$

In the high-frequency,  $h\nu \gg mc^2$  (同轴元?)

$$\frac{dW'}{d\omega'} = \frac{dW}{d\omega dA'} \cdot \delta \rightarrow \text{Compton Scattering cross-section} \text{ Klein-Nishina cross-section}$$

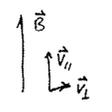
$$\frac{dW}{d\omega dV} = 1.4 \times 10^{-27} \gamma^2 z^2 n_e n_i \frac{1}{v} (1 + 4 \times 10^{-10} T)$$

相对论修正   
 total power per volume   
 relativistic correction (Novikov + Thorne 1973)

from ①  $\frac{d}{dt}(v\gamma c^2) = 0$ ,  $\gamma$  is constant  $\Rightarrow v$  is constant

from ②  $LHS = \frac{d}{dt}(\gamma m \vec{v}) = \gamma m \frac{d\vec{v}}{dt} \Rightarrow \frac{d\vec{v}}{dt} = \frac{q}{\gamma mc} \vec{v} \times \vec{B}$

相对论修正  $\vec{v} \perp \vec{B}$  的近似:  $\vec{v} = \vec{v}_{\parallel} + \vec{v}_{\perp}$

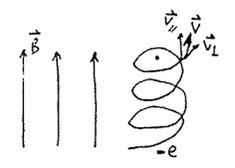


if  $v_{\parallel} \frac{d\vec{v}_{\parallel}}{dt} = 0 \Rightarrow \vec{v}_{\parallel}$  is constant

$$\frac{d\vec{v}_{\perp}}{dt} = \frac{q}{\gamma mc} \vec{v}_{\perp} \times \vec{B} \Rightarrow \dot{\vec{v}}_{\perp} \perp \vec{v}_{\perp} \quad |\dot{\vec{v}}_{\perp}| = \text{constant} \quad |\ddot{\vec{a}}_{\perp}| = \text{constant}$$

if  $v_{\perp} \gg v_{\parallel}$  helical orbit

回旋频率  $\omega_B = \frac{qB}{\gamma mc}$    
 gyration frequency  $a_{\perp} = \left| \frac{d\vec{v}_{\perp}}{dt} \right| = v_{\perp} \omega_B$



Total Emission  $\propto \gamma^2 v_{\perp}^2$

$$P = \frac{2q^2 \gamma^4}{3c^3} \left( a_{\perp}^2 + \gamma^2 a_{\parallel}^2 \right) = \frac{2q^2 \gamma^4}{3c^3} \left( \frac{qB}{\gamma mc} \right)^2 \beta_{\perp}^2 c^2 \quad \beta_{\perp} = \frac{v_{\perp}}{c}$$

$\omega = \frac{v_{\perp}}{r_0} \Rightarrow r_0 = \frac{q^2}{mc^2}$  is classical radius

(见 Thomson 散射)

0409/2018 week 7 GB211

Synchrotron Radiation 同步辐射   
 (快速, 使用 Larmor 公式, 谱是单色   
 高速(相对论修正), 谱不是单色 (beam effect)  $\times 1$    
 辐射功率与加速度的平方成正比  $\propto F_{\perp}^2 \omega_c^2 \times 2$    
 总辐射功率  $\propto \omega^{-5} \times 3$

Particles accelerated by B-field can radiate

• Non-relativistic particles:  $\omega = \omega_c$ , cyclotron radiation   
 非相对论的加速辐射  $\Delta t \rightarrow \infty \quad \Delta \omega \rightarrow 0$

• Ultra-relativistic particles: spectrum of  $\omega$ , synchrotron radiation   
 超相对论的加速辐射  $\Delta t \sim \frac{1}{\omega_c}$ ,  $\omega_c \approx \frac{3}{2} \gamma^3 \omega_B \sin \theta$    
 临界频率  $\theta$  是 pitch angle  $\vec{v}$  与  $\vec{B}$  夹角

在两个方向上的辐射不对称性, 辐射主要集中在  $\theta = 0$  附近, 这是相对论效应

对于多粒子系统, 辐射有干涉, 辐射功率  $\propto \sin^2 \theta$    
 辐射功率与加速度的平方成正比  $\propto \beta^2 \sin^2 \theta$    
 但辐射的干涉  $\langle \beta^2 \rangle = \int (\beta \sin \theta)^2 \frac{d\Omega}{4\pi} = \frac{2}{3} \beta^2$

$$\langle P \rangle = \frac{2}{3} r_0^2 c \gamma^2 B^2 \frac{2}{3} \beta^2 = \frac{4}{3} \sigma_T c \beta^2 \gamma^2 U_B$$

$\sigma_T$  是 Thomson Scattering cross section  $\frac{8}{3} \pi r_0^2$

$U_B = \frac{1}{8\pi} B^2$  is magnetic field energy density.

辐射的干涉

$$\frac{dP}{d\Omega} = \frac{q^2}{4\pi c^3} \frac{(\dot{a}_{\perp}^2 + \dot{a}_{\parallel}^2)}{(1 - \beta \mu)^4} \sin^2 \Theta'$$

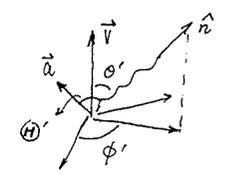
在  $\dot{a}_{\perp} \gg \dot{a}_{\parallel}$  的情况下,  $\cos \Theta' = \frac{\dot{a}' \cdot \vec{n}'}{|\dot{a}'| |\vec{n}'|} = \hat{e}_x \cdot \hat{n}' = \sin \theta' \cos \phi'$

$$\sin^2 \Theta' = 1 - \sin^2 \theta' \cos^2 \phi'$$

辐射的干涉  $\phi = \phi'$

$$\cos \mu = \frac{\mu' + \beta}{1 + \beta \mu'} \quad \beta \rightarrow -\beta \quad \mu' = \frac{\mu - \beta}{1 - \beta \mu}$$

$$\text{if } \mu \approx \sin \theta' = 1 - \mu'^2 = 1 - \left( \frac{\mu - \beta}{1 - \beta \mu} \right)^2 = \frac{4\beta \mu (1 - \beta \mu)}{(1 - \beta \mu)^2}$$



" , " 是在 rest frame   
 $\Theta'$ : angle between acceleration and the direction of emission

$$\sin^2 \theta' = 1 - \frac{\sin^2 \theta \cos^2 \phi}{1 - \beta^2 \mu^2}$$

$$\frac{dP}{d\Omega} = \frac{q^2 a_{\perp}^2}{4\pi c^3} \frac{1}{(1-\beta\mu)^4} \left( 1 - \frac{\sin^2 \theta \cos^2 \phi}{1 - \beta^2 \mu^2} \right)$$

在远场时

$$\mu = \cos \theta = 1 - \frac{\theta^2}{2}$$

$$\beta = (1 - v^2/c^2)^{1/2} \approx 1 - \frac{v^2}{2c^2}$$

$$1 - \beta\mu \approx \frac{1 + v^2/c^2}{2}$$

当  $\theta = 0$  时,  $1 - \beta\mu$  最大

当  $\theta = \frac{1}{2}$  时,  $1 - \beta\mu$  已经很大

所以辐射集中在  $\frac{1}{\gamma}$  范围内 (相对于速度方向)

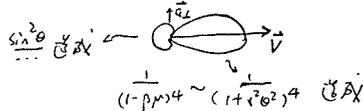
$$\frac{dP}{d\Omega} = \frac{q^2 a_{\perp}^2}{4\pi c^3} \frac{1}{(1 + v^2/c^2)^4} \left( 1 - \frac{4v^2 \cos^2 \theta}{(1 + v^2/c^2)^2} \right) = \frac{4q^2 a_{\perp}^2}{\pi c^3} \frac{1 - 2v^2 \cos^2 \theta + v^4/c^4}{(1 + v^2/c^2)^6}$$

$$\theta = 0 \text{ 时 } \propto \frac{1}{(1 + v^2/c^2)^6} \approx \frac{1}{\gamma^8}$$

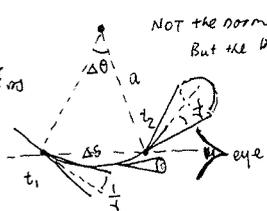
$$\theta = 90^\circ \text{ 时 } \propto \frac{(1 + v^2/c^2)^2}{(1 + v^2/c^2)^6} = \frac{1}{(1 + v^2/c^2)^4}$$

The factor is sharply peaked at  $\theta = 0$  with an angular scale  $\frac{1}{\gamma}$

This is the beam effect along  $\vec{v}$



双洛伦兹收缩: 在双洛伦兹收缩的



$$\Delta \theta = 2 \frac{1}{\gamma}$$

$$\Delta s = a \Delta \theta = \frac{2a}{\gamma}$$

$$a = \frac{\Delta s}{\Delta \theta} = \frac{v \Delta t}{\Delta \theta} = \frac{v^2}{\omega v} = \frac{v^2}{\omega} = \frac{v^2}{\omega_B \sin \theta} = \frac{v^2}{\frac{2}{\gamma} v \sin \theta} = \frac{\gamma v}{2 \sin \theta}$$

双洛伦兹收缩, 即 v 与 B 同方向

$$\text{到达时间 } \Delta t_A = \Delta t_2 - \Delta t_1, \text{ 由于洛伦兹收缩 } \Delta t_A \Delta \omega \gg 1 \text{ 即 } \Delta \omega \gg \frac{1}{\Delta t_A}$$

$$\Delta t = \frac{\Delta s}{v} = \frac{2}{\gamma \omega_B \sin \theta} \approx \Delta t_A, \text{ 所以 } \Delta \omega \gg \frac{1}{\Delta t_A} \gg \frac{\omega_B}{2\pi}$$

在远场时即洛伦兹收缩, 是洛伦兹收缩; 但为 beam effect, 现在  $\Delta t_A$  很小,  $\Delta \omega$  有下限

$$\text{洛伦兹收缩的因子 } \Delta t_A = \Delta t - \frac{\Delta s}{c} = \Delta t (1 - \frac{v}{c}) = \frac{2}{\gamma \omega_B \sin \theta} (1 - \frac{v}{c})$$

$$\text{所以 } 1 - (\frac{v}{c})^2 = 1 - \beta^2, \frac{v}{c} = (1 - \beta^2)^{1/2} \approx 1 - \frac{\beta^2}{2}, 1 - \frac{v}{c} \approx \frac{\beta^2}{2} \text{ for } \beta \gg 1$$

$$\text{所以 } \Delta t_A \approx \frac{1}{\gamma^2 \omega_B \sin \theta}$$

$$\text{所以 } \Delta t_A = \Delta t (1 - \frac{v}{c}) = \frac{1}{\gamma^2 \omega_B \sin \theta}$$

$$\frac{\Delta t_A}{\Delta t_{\text{period}}} = \frac{1/\gamma^2 \omega_B \sin \theta}{2\pi/\omega_B} \sim \frac{1}{\gamma^2} \ll 1$$

同轴时间

$$\text{定义 Critical frequency } \omega_c = \frac{3}{2} \gamma^2 \omega_B \sin \theta, \text{ 所以 } \Delta t_A = \frac{3}{2} \omega_c^{-1}$$

辐射谱的带宽  $\Delta \omega \sim 1, \text{ 辐射谱展宽}$

extend of the spectrum

$$\Delta \omega \sim \frac{2}{3} \omega_c$$

洛伦兹收缩的功率

$$\text{由于 } \Delta \theta \sim \frac{1}{\gamma}, \text{ 辐射功率 } E(t) = F(\gamma \theta)$$

$$\text{即 } \gamma \theta \text{ 决定了 } E(t)$$

由几何关系

$$\theta \approx \frac{s}{a}, \quad t = \frac{s}{v} (1 - \frac{v}{c})$$

$$\gamma \theta = \frac{s}{a} = \frac{1}{a} v t / (1 - \frac{v}{c}) \approx \frac{1}{a} \frac{v t}{1 - \frac{v}{c}} = \frac{4}{3} \omega_c t$$

所以  $E(t) \propto g(\omega_c t)$ , 洛伦兹收缩 Fourier Transform

$$\tilde{E}(\omega) \propto \int_{-\infty}^{\infty} g(\omega_c t) e^{i\omega t} dt, \text{ 令 } \xi = \omega_c t \text{ 则 } \tilde{E}(\omega) = \int_{-\infty}^{\infty} g(\xi) e^{i \frac{\omega}{\omega_c} \xi} d\xi$$

所以  $\tilde{E}(\omega)$  与  $\tilde{g}(\frac{\omega}{\omega_c})$  成正比, 即新  $\frac{\omega}{\omega_c}$  的函数; 洛伦兹收缩  $\tilde{P}(\omega) = \frac{dW}{d\Omega d\omega} \propto |\tilde{E}(\omega)|^2$

$$\tilde{P}(\omega) = \frac{dW}{d\Omega d\omega} = \frac{1}{4\pi} \int \frac{dW}{d\Omega d\omega} d\Omega \propto |\tilde{E}(\omega)|^2 = C_1 F(\frac{\omega}{\omega_c})$$

$$\text{洛伦兹收缩的功率 } P = \int_0^{\infty} \tilde{P}(\omega) d\omega = C_1 \omega_c \int_0^{\infty} F(x) dx, \quad x = \frac{\omega}{\omega_c}$$

$$\text{另一方面, 洛伦兹收缩的功率 } P = \frac{2}{3} \frac{q^2 B^2 v^2 \sin^2 \theta}{4\pi \epsilon_0 c^3} \text{ 所以 } C_1 \int_0^{\infty} F(x) dx = \frac{P}{\omega_c} = \frac{4}{3} \frac{q^2 B^2 v^2 \sin^2 \theta}{9\pi \epsilon_0 c^3}$$

$$\text{所以 } \tilde{P}(\omega) = \frac{2}{3} \frac{q^2 B^2 v^2 \sin^2 \theta}{4\pi \epsilon_0 c^3} F(\frac{\omega}{\omega_c})$$

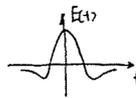
$\omega_c$  only dependent on  $\gamma$

Special Cases

$$\tilde{E}(\omega) \propto \omega^{-5}$$

power law, 洛伦兹收缩

洛伦兹收缩的 spectral index



洛伦兹收缩  $\Delta t_A \rightarrow \infty$

洛伦兹收缩

对于各向同性，若速度分布，distribution of velocity

$$N(v)dv = C v^{-p} dv, \quad v_1 < v < v_2$$

$$\begin{aligned} \text{总辐射功率 } P_{\text{tot}}(\omega) &= C \int_{v_1}^{v_2} \tilde{P}(\omega) v^{-p} dv \propto \int_{v_1}^{v_2} F\left(\frac{\omega}{v}\right) v^{-p} dv \propto \int_{v_1}^{v_2} F(x) \omega^{-\frac{p-1}{2}} \frac{dx}{x} \propto \omega^{-\frac{(p-1)}{2}} \int_{x_1}^{x_2} F(x) x^{\frac{p-3}{2}} dx \\ x &= \frac{\omega}{v} \cdot v_c \propto \frac{v}{v_c} \\ &= \frac{v^2 + 2g \beta \sin \theta}{2mc} \propto v^2 \\ x &\propto v^{-2} \end{aligned}$$

若  $\omega_1(v_1) \ll \omega \ll \omega_2(v_2)$ , 则  $x_2 \rightarrow 0, x_1 \rightarrow \infty$

$$P_{\text{tot}}(\omega) \propto \omega^{-\frac{(p-1)}{2}}$$

所以，在相对论和亚相对论条件下，若 velocity 分布为 power law index, 则  $P_{\text{tot}}(\omega)$  为 power law index.

功率谱可以写为  $\parallel$  和  $\perp$  方向之和

$$\frac{dW}{d\omega d\Omega} = \frac{dW_{\parallel}}{d\omega d\Omega} + \frac{dW_{\perp}}{d\omega d\Omega} \quad \text{设 } \frac{v}{c} \approx \beta$$

$$\text{沿 } \hat{e}_{\perp}, \frac{dW_{\perp}}{d\omega d\Omega} = \frac{2g^2 \omega^2}{4\pi^2 c} \left| \int \frac{t' dt'}{a} \exp \left[ \frac{i\omega}{2c} \left( \theta_1^2 t'^2 + \frac{c^2 t'^2 + 3}{3a^2} \right) \right] \right|^2$$

$$\theta_1 = 1 + v^2 \theta^2$$

$$\text{沿 } \hat{e}_{\parallel}, \frac{dW_{\parallel}}{d\omega d\Omega} = \frac{2g^2 \omega^2 \theta^2}{4\pi^2 c} \left| \int a t' \exp \left[ \frac{i\omega}{2c} \left( \theta_1^2 t'^2 + \frac{c^2 t'^2 + 3}{3a^2} \right) \right] \right|^2$$

$$\text{所以 } \frac{dW_{\perp}}{d\omega d\Omega} = \frac{2g^2 \omega^2}{4\pi^2 c} \left( \frac{a \theta_1^2}{1+c} \right)^2 \left| \int_{-\infty}^{\infty} y \exp \left[ \frac{3}{2} i \gamma \left( y + \frac{1}{3} \beta \right) \right] dy \right|^2, \quad \text{let } y = \frac{c t'}{a \theta_1^2}$$

$$\frac{dW_{\parallel}}{d\omega d\Omega} = \frac{2g^2 \omega^2 \theta^2}{4\pi^2 c} \left( \frac{a \theta_1^2}{1+c} \right)^2 \left| \int_{-\infty}^{\infty} \exp \left[ \frac{3}{2} i \gamma \left( y + \frac{1}{3} \beta \right) \right] dy \right|^2, \quad \theta \equiv \frac{\omega a \theta_1^2}{3c \theta^2}$$

or  $\frac{v}{c}$   
because cancelled by  $t$  in  $y$

$$\theta \approx 0, \quad \gamma \approx \gamma(\theta=0) = \frac{\omega}{2\omega_c}$$

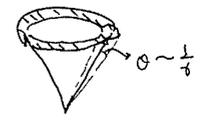
所以  $\frac{dW}{d\omega d\Omega}$  depends on  $\frac{\omega}{\omega_c}$  and  $\theta$

$$\text{所以 } \frac{dW_{\perp}}{d\omega d\Omega} = \frac{2g^2 \omega^2}{3\pi^2 c} \left( \frac{a \theta_1^2}{1+c} \right)^2 K_{\frac{2}{3}}^2(\gamma) \rightarrow \text{modified Bessel function}$$

$$\frac{dW_{\parallel}}{d\omega d\Omega} = \frac{2g^2 \omega^2 \theta^2}{3\pi^2 c} \left( \frac{a \theta_1^2}{1+c} \right)^2 K_{\frac{1}{3}}^2(\gamma)$$

由于相对论狭义相对论，速度接近  $c$  时，pitch angle

$$d\Omega = 2\pi \sin \theta d\theta$$



总辐射谱

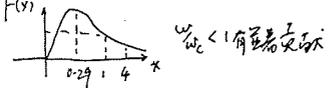
$$\frac{dW}{d\omega} = \int \frac{dW}{d\omega d\Omega} d\Omega = \int \left( \frac{dW_{\perp}}{d\omega d\Omega} + \frac{dW_{\parallel}}{d\omega d\Omega} \right) d\Omega = \frac{dW_{\perp}}{d\omega} + \frac{dW_{\parallel}}{d\omega}$$

$$\frac{dW_{\perp}}{d\omega} = \frac{2g^2 \omega^2 a^2 \sin \theta}{3\pi c^3 \gamma^4} \int_{-\infty}^{\infty} \theta_1^4 K_{\frac{2}{3}}^2(\gamma) d\theta = \frac{\sqrt{3} g^2 \omega^2 \sin \theta}{2c} (F(x) - G(x))$$

$$\frac{dW_{\parallel}}{d\omega} = \int_{-\infty}^{\infty} \theta_1^2 \theta^2 K_{\frac{1}{3}}^2(\gamma) d\theta = \dots (F(x) - G(x))$$

$$\frac{dW}{d\omega} \approx \dots \times 2F(x) \quad \text{其中 } F(x) = \int_x^{\infty} K_{\frac{5}{2}}(\gamma) d\gamma, \quad x = \frac{\omega}{\omega_c}$$

$$\left\{ \begin{aligned} & \frac{4}{\sqrt{\pi}} \left( \frac{x}{2} \right)^{\frac{1}{2}} \ll 1 \\ & \left( \frac{\pi}{2} \right)^{\frac{1}{2}} e^{-x/2} \gg 1 \end{aligned} \right.$$



0423 | 2018 week 9 68211 物理宇宙学系 辐射物理

hm R.8h 6.1 7.1

辐射同步辐射的总辐射功率的定量表达式

Spectrum of synchrotron radiation

沿  $\hat{n}$  方向的辐射谱

$$\frac{dW}{d\omega d\Omega} = \frac{2g^2 \omega^2}{4\pi^2 c} \left| \int \hat{n} \times (\hat{n} \times \hat{\beta}) \exp \left[ i\omega \left( t' - \frac{\hat{n} \cdot \vec{r}(t')}{c} \right) \right] dt' \right|^2$$

$$\text{其中 } t' = \frac{\hat{n} \cdot \vec{r}(t')}{c} = t' - \frac{a \cos \theta \sin \alpha \omega}{c}$$

由于  $\beta \rightarrow \omega$  由于 beam effect, 也只考虑  $\beta \rightarrow \omega$

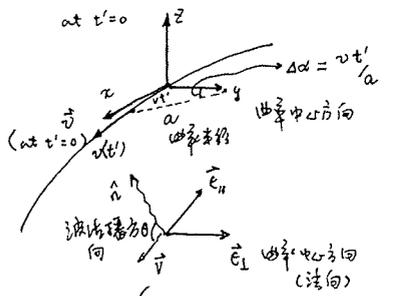
$$\approx t' - \frac{a}{c} \left( 1 - \frac{\omega^2}{2} \right) \left( \frac{v}{a} - \frac{1}{\beta} \left( \frac{v}{a} \right)^2 \right)$$

$$= t' \left( 1 - \frac{\omega^2}{2} \right) + \frac{\omega^2}{c} t'^2$$

$$\text{所以辐射谱为 } a = \frac{3}{2} r^3 \frac{v}{\omega_c} \approx \frac{3r^2}{2\omega_c}$$

$$\approx 2\gamma^{-2} t' + \frac{\omega^2}{2} t'^2 = (2\gamma^2)^{-1} \left( (1 + \gamma^2 \theta^2) t' + \frac{c^2 t'^2 + 3}{3a^2} \right)$$

$$\hat{n} \times (\hat{n} \times \hat{\beta}) = (\hat{n} \cdot \hat{\beta}) \hat{n} - \hat{\beta} = -\hat{e}_{\perp} \sin \alpha + \hat{e}_{\parallel} \cos \alpha \sin \theta$$



$$\hat{\beta}(t') = (\cos \alpha, \sin \alpha, 0)$$

$$\hat{n} = (\cos \theta, 0, \sin \theta)$$

$$\hat{e}_{\perp} = (0, 1, 0)$$

$$\hat{e}_{\parallel} = \hat{n} \times \hat{e}_{\perp} = (-\sin \theta, 0, \cos \theta)$$

波传播方向  $\hat{n}$   
辐射方向  $\hat{\beta}$   
沿  $\hat{n}$  方向  
沿  $\hat{\beta}$  方向  
沿  $\hat{e}_{\perp}$  方向  
沿  $\hat{e}_{\parallel}$  方向  
沿  $\hat{n}$  方向  
沿  $\hat{\beta}$  方向  
沿  $\hat{e}_{\perp}$  方向  
沿  $\hat{e}_{\parallel}$  方向  
沿  $\hat{n}$  方向  
沿  $\hat{\beta}$  方向  
沿  $\hat{e}_{\perp}$  方向  
沿  $\hat{e}_{\parallel}$  方向

在时间  $\frac{dW}{\omega} = T(\omega)$ , 平均功率

$$\frac{dW}{T d\Omega} = P(\omega) = \frac{\sqrt{3} g^3 B \sin \theta}{2 \pi m c^2} F\left(\frac{\omega}{\omega_c}\right)$$

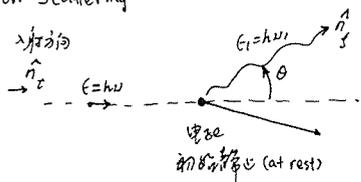
or  $\omega$ ?

Thomson 散射是经典电动力学, 只与加速度  $\ddot{x}$  有关, 与频率无关.

如果入射光频率很高, 电子会被冲击, 光子频率也会变化;  
 $h\nu = m_e c^2$

另外, 如果入射光频率很大, 散射截面也会随频率变化

### Compton Scattering



守恒定律  
 Conservation of momentum

$$P_{ei}^\mu + P_{fi}^\mu = P_{ef}^\mu + P_{rf}^\mu$$

$$\Rightarrow |P_{ef}^\mu|^2 = |P_{ei}^\mu + P_{fi}^\mu - P_{rf}^\mu|^2$$

$$\left(\frac{E}{c}\right)^2 + p^2 = -(mc)^2$$

4-momentum of  $\gamma$

$$P_{\gamma i}^\mu = \frac{E}{c} (1, \hat{n}_i) \quad P_{\gamma f}^\mu = \frac{E_1}{c} (1, \hat{n}_f)$$

4-momentum of electron

$$P_{e i}^\mu = (mc, \vec{0}) \quad P_{e f}^\mu = \left(\frac{E}{c}, \vec{p}\right)$$

metric  $(-1, 1, 1, 1)$

$$\left| \left( \frac{E}{c} - \frac{E_1}{c} + mc, \frac{E}{c} \hat{n}_i - \frac{E_1}{c} \hat{n}_f \right) \right|^2$$

$$= \left( \frac{E}{c} - \frac{E_1}{c} + mc \right)^2 + \left| \frac{E}{c} \hat{n}_i - \frac{E_1}{c} \hat{n}_f \right|^2$$

$$\Rightarrow -m^2 c^4 = -(E - E_1 + mc^2)^2 + |E \hat{n}_i - E_1 \hat{n}_f|^2$$

$$= -(E - E_1)^2 - m^2 c^4 - 2mc^2(E - E_1) + E^2 + E_1^2 - 2EE_1 \hat{n}_i \cdot \hat{n}_f$$

$\cos \theta$

$$\Rightarrow E E_1 (1 - \cos \theta) = m c^2 (E - E_1)$$

$$\Rightarrow E_1 = \frac{E}{1 + \frac{E}{m c^2} (1 - \cos \theta)}$$

此即散射角和散射光子能量的关系

$$E = \frac{hc}{\lambda}$$

$$\Rightarrow \lambda_1 = \lambda \left( 1 + \left( \frac{\lambda}{\lambda_c} \right)^{-1} (1 - \cos \theta) \right)$$

$\frac{1}{2} \times \frac{E}{m c^2} = \frac{h \nu}{m c^2} = \frac{\lambda_c}{\lambda}$ ,  $\lambda_c = \frac{h}{m c}$  \* Compton wave length (电子的德布罗意波长)  
 当  $\lambda < \lambda_c$  即  $E > m c^2$  时, Compton 散射明显

### Gamma-Ray

电子质量  $m_e$ ,  $\lambda_c \approx 0.024 \text{ \AA}$ , 波长与波长, 实验观测现象.

另外,  $\lambda_c$  只与频率有关.

散射角  $\Delta \lambda$  与  $\lambda$  有关

$$\Delta \lambda = \lambda_1 - \lambda = \lambda_c (1 - \cos \theta)$$

long of energy  $\frac{h}{m c}$

与散射角和入射光子能量有关, 也与散射角有关 (量子电动力学).  
 对于长波  $\lambda \rightarrow \infty$  时, 回到 Thomson 散射.

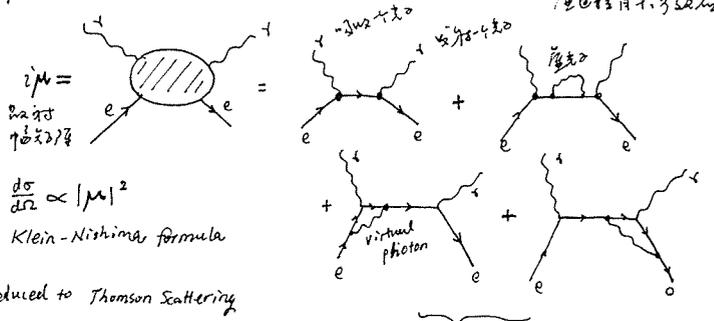
之前 Thomson 散射截面  $\sigma_T = \frac{8}{3} \pi r_0^2$ , 散射光子截面  $\frac{d\sigma}{d\Omega} \approx \frac{1}{2} r_0^2 (1 + \cos^2 \theta)$

现在,  $\omega > E_0$  时, 对 Compton 散射

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{unpol}} = \frac{1}{2} r_0^2 \frac{E_1^2}{E^2} \left( \frac{E}{E_1} + \frac{E_1}{E} - \sin^2 \theta \right) \Rightarrow \left( \frac{d\sigma}{d\Omega} \right)_{\text{KN}} = \frac{1}{2} r_0^2 \left( \frac{E_1}{E} + \left( \frac{E_1}{E} \right)^3 - \left( \frac{E_1}{E} \right)^2 \sin^2 \theta \right)$$

Note:  
 $\int \cos^2 \theta d\Omega = \frac{4}{3} \pi$   
 all  
 $r_0 = \frac{e^2}{m c^2}$

可以用那些过程描述 Compton 散射?



$\frac{d\sigma}{d\Omega} \propto |\mathcal{M}|^2$   
 Klein-Nishina formula

$E_1 \sim E$ , reduced to Thomson Scattering

$E \gg E_1$ ,  $\frac{E_1}{E} \ll 1$

$$\left( \frac{d\sigma}{d\Omega} \right)_{\text{KN}} \ll \frac{1}{2} r_0^2 (1 + \cos^2 \theta)$$

随着  $\nu$  的增大, 散射截面反而减小

Compton scattering becomes less efficient

当  $x = \frac{h\nu}{m c^2} = \frac{hc}{\lambda} \gg 1$ , 可以忽略散射截面.

$$\sigma = \sigma_T \cdot \frac{3}{4} \left( \frac{1+x}{x^3} \left\{ \frac{2x(1+x)}{1+2x} - \ln(1+2x) \right\} + \frac{1}{2x} \ln(1+2x) - \frac{1+3x}{(1+2x)^2} \right)$$

$$\begin{cases} \sigma_T (1 - 2x + \frac{26}{5} x^2 + \dots) & \text{for } x \ll 1 \text{ (non-relativistic)} \\ \frac{3}{8} \sigma_T x^{-1} \left( \ln 2x + \frac{1}{2} \right) \approx \frac{14}{3} \frac{\sigma_T}{x} & \text{for } x \gg 1 \text{ (ultra-relativistic)} \end{cases}$$

1-loop QED Correction



$$\frac{dE_1}{dt} = \frac{dE_1'}{dt'} = c\sigma_T n^2 \left(1 + \frac{1}{3}\beta^2\right) u_{ph}$$

The rate of decrease of initial photon energy in K

$$\frac{dE_1}{dt} = -c\sigma_T \int E n^2 p = -c\sigma_T u_{ph}$$

Compton scattering

$$P_{Compton} = \frac{dE_{rad}}{dt} = c\sigma_T u_{ph} \left[ \gamma^2 \left(1 + \frac{1}{3}\beta^2\right) - 1 \right]$$

$\downarrow \gamma^2 - 1 \equiv \beta^2 \gamma^2$   
 $= \frac{4}{3} c\sigma_T u_{ph} \gamma^2 \beta^2$

注: 电子的 Energy Transfer in K' is neglected.

$$P_{Compton} = \frac{4}{3} c\sigma_T u_{ph} \gamma^2 \beta^2 \left( 1 - \frac{63}{10} \frac{\langle E^2 \rangle}{mc^2 \langle E \rangle} \right)$$

在 K' 下 电子的能量转移是忽略的  
 如果忽略, 那么  
 在 K' 下 电子的能量转移是忽略的  
 $\sim \frac{\langle E^2 \rangle}{mc^2 \langle E \rangle}$  是修正  
 项

如电子有速度分布

Power law distribution of electron  $\gamma$  (UltraRelativistic)

$N(\gamma)d\gamma$  = electron number density in  $[\gamma, \gamma+d\gamma]$

$$P_{tot} = \int P_{Compton}(\gamma) N(\gamma) d\gamma \quad (\text{total power per volume})$$

$$\text{if } N(\gamma) = \begin{cases} C\gamma^{-p}, & \gamma_{min} < \gamma < \gamma_{max} \\ 0, & \text{otherwise} \end{cases}$$

$$P_{tot} \propto \int \gamma^{2-p} d\gamma \propto \gamma^{3-p} \Big|_{\gamma_{min}}^{\gamma_{max}} = \frac{4}{3} c\sigma_T u_{ph} C(2-p) \left( \gamma_{max}^{3-p} - \gamma_{min}^{3-p} \right)$$

Thermal distribution (of non-rel electrons)

$$\gamma \approx 1, \quad \langle \beta^2 \rangle = \langle v^2/c^2 \rangle = 3kT/mc^2$$

$$P_{tot} = n_e \langle P_{Compton} \rangle = n_e \frac{4}{3} c\sigma_T \frac{3kT}{mc^2} u_{ph} = \left( \frac{4kT}{mc^2} \right) c\sigma_T n_e u_{ph}$$

Energy Transfer for Repeated Scatterings in a finite thermal medium

CM Bao 讲过不是完全平衡

spectral distortion  $\rightarrow$  高能光子与电子在 Compton 散射中 能量转移造成

由  $\gamma$  parameter 描述 ( $> 10^9 K$ )

define the  $\gamma$  parameter

$\gamma$  parameter = whether a photon will significantly change its energy during repeated scattering

$$= \left( \text{average fractional energy change per scattering} \right) \times \left( \text{mean number of scatterings} \right)$$

If  $\gamma \geq 1$ , total energy of photon and spectrum will be significantly changed  
 $\gamma \ll 1$ , Not much changed

In non-rel ( $\gamma \ll mc^2$ )

在 K' 系下 电子的能量转移是忽略的

$$\text{In } K' \quad \langle \epsilon' \rangle = \frac{\epsilon'}{1 + \frac{\epsilon'}{mc^2} (1 - \cos \theta)} \approx \epsilon' \left( 1 - \frac{\epsilon'}{mc^2} (1 - \cos \theta) \right)$$

$$\frac{\Delta \epsilon'}{\epsilon'} = \frac{\epsilon'_1 - \epsilon'_2}{\epsilon'_1} = -\frac{\epsilon'}{mc^2} (1 - \cos \theta)$$

在 K' 系下 电子的能量转移是忽略的  
 任何角度散射都是各向同性的

$$\text{Average over angle } \frac{\Delta \epsilon'}{\epsilon'} = -\frac{\epsilon'}{mc^2} \quad (\text{in } K')$$

In lab frame

$$\frac{\Delta \epsilon}{\epsilon} = -\frac{\epsilon}{mc^2} + \alpha \frac{kT}{mc^2}$$

在 K' 系下 电子的能量转移是忽略的  
 在 lab 系下 电子的能量转移是忽略的  
 我们猜测, at least to the lowest order

$$N(\epsilon) = K \epsilon^2 e^{-\epsilon/kT} \quad (\text{Non-degenerate ultra-rel particles})$$

$\langle \Delta \epsilon \rangle = 0$  must be held

Plank 分布  
 光子与化学势为 0  $\rightarrow$  Bose 分布

$$\int \epsilon^2 \frac{dN}{d\epsilon} d\epsilon = 3kT \int \epsilon \frac{dN}{d\epsilon} d\epsilon$$

$$\langle \epsilon^2 \rangle = \int \epsilon^2 \frac{dN}{d\epsilon} d\epsilon / \int \frac{dN}{d\epsilon} d\epsilon = 12 (kT)^2$$

$$\langle \Delta \epsilon \rangle = -\frac{\langle \epsilon^2 \rangle}{mc^2} + \alpha \frac{kT}{mc^2} \langle \epsilon \rangle = \left( \frac{kT}{mc^2} \right)^2 (-12 + 3\alpha) \Rightarrow \alpha = 4$$

当  $\gamma$  和  $\alpha$  都远大于 1 时

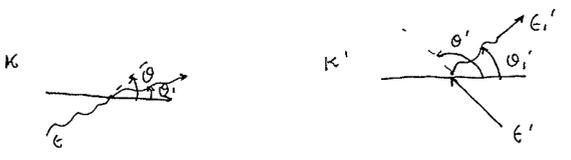
electrons in thermal equilibrium

$$\left( \frac{\Delta \epsilon}{\epsilon} \right)_{NR} = \frac{\epsilon}{mc^2} (4kT - \epsilon)$$

High temperature  $4kT > \epsilon$ , photons gain energy from electrons. (Inverse Compton Scattering)

Low temperature  $4kT < \epsilon$ , photon lose energy (电子散射光子, 光子损失能量)

In ultra-relativistic limit ( $\epsilon \gg 1$ ) (but  $\beta \ll mc^2$ )



$$\epsilon' = \epsilon \gamma (1 - \beta \cos \theta)$$

$$\epsilon_1 = \epsilon_1' \gamma (1 + \beta \cos \theta_1')$$

in  $K'$   $\epsilon' \approx \epsilon_1'$  (because  $\beta \ll mc^2$ )

$\epsilon_1 = \epsilon \gamma^2 (1 - \beta \cos \theta) (1 + \beta \cos \theta_1') = \epsilon \gamma^2 (1 - \beta \cos \theta + \beta \cos \theta_1' - \beta^2 \cos \theta \cos \theta_1')$

$\epsilon_1 \approx \epsilon \gamma^2 (1 - \beta^2 \cos \theta \cos \theta_1')$

$\langle \epsilon_1 \rangle = \epsilon \gamma^2 (1 - \beta^2 \langle \cos \theta \cos \theta_1' \rangle)$

$\langle \cos \theta \cos \theta_1' \rangle = -\langle \cos^2 \theta \rangle$

$\theta_1' \approx 180^\circ - \theta$

$$\langle \Delta \epsilon \rangle_R = \epsilon_1 - \epsilon = \epsilon (\gamma^2 (1 - \beta^2 \langle \cos^2 \theta \rangle) - 1)$$

$$\gamma^2 - 1 = \gamma^2 \beta^2$$

$$= \frac{4}{3} \epsilon \gamma^2 \beta^2$$

$$\beta \approx 1$$

$$\approx \frac{4}{3} \epsilon \beta^2$$

$$\langle \beta^2 \rangle = \frac{\langle E^2 \rangle}{(mc^2)^2} = 12 \left( \frac{kT}{mc^2} \right)^2$$

$$\langle \Delta \epsilon \rangle_R = 16 \epsilon \left( \frac{kT}{mc^2} \right)^2$$

角分布时，不同角度的光子... (80% 光子...)

讨论

mean number of scattering  $\approx \text{Max}(\tau_{es}, \tau_{es}^2)$

$\tau_{es} \approx \rho \tau_{es} R$

$\tau_{es} = \text{electron scattering opacity} = \sigma_T / m_p = 0.40 \text{ cm}^2 \cdot \text{g}^{-1}$

$R = \text{size of finite medium}$

$\tau_{es} \approx \rho \tau_{es} R$

$\tau_{es}^2 \approx \rho^2 \tau_{es}^2 R^2$

$\tau_{es} \approx \rho \tau_{es} R$  (if  $\rho \tau_{es} R \gg 1$ )

(y parameter)<sub>NR</sub>  $\approx \frac{4kT}{mc^2} \text{Max}(\tau_{es}, \tau_{es}^2)$  (if  $kT \gg \epsilon$ )

(y parameter)<sub>Relativistic</sub>  $\approx 16 \left( \frac{kT}{mc^2} \right)^2 \text{Max}(\tau_{es}, \tau_{es}^2)$

散射过程... (Boltzmann 方程)

Kompaneets Equation ~ Boltzmann 方程... (Repeated Scatterings by non-relativistic electrons)

let  $n(\omega) = \text{photon phase space density}$

$\uparrow$  assume isotropic

$n(\vec{p})$

Reaction Equation  $\gamma + e \rightleftharpoons \gamma + e$

for scattering  $\omega, \vec{p} \rightarrow \omega', \vec{p}'$

let  $f_e(\vec{p}) = \text{phase space density of electrons}$

Boltzmann equation for  $n(\omega)$  due to scatterings

$$\frac{\partial n(\omega)}{\partial t} = c \int d\vec{p} \int d\omega' \int d\Omega' \left[ f_e(\vec{p}') n(\omega') (1 + n(\omega)) - f_e(\vec{p}) n(\omega) (1 + n(\omega')) \right]$$

electron / photon scattering cross-section

Scattering into  $\omega$  by photons of  $\omega'$

Pauli exclusion!

Boltzmann Eq. can be expanded to 2nd order  $\Rightarrow$  Fokker-Planck eq.

non relativistic thermal distribution of electrons

For non-relativistic electron in thermal equilibrium Kompaneets equation (1957)

$f_e(E) = n_e \left( \frac{2\pi m_e kT}{h^2} \right)^{-3/2} e^{-E/kT}$

$E = \frac{p^2}{2m}$ ,  $n_e$  电子数密度 (electron number per unit volume)

Maxwell 分布

$e^{-\frac{mv^2}{2kT}}$

Pauli exclusion

Define energy transfer of electrons

$\Delta = \frac{h(\omega_1 - \omega)}{kT} \ll 1$

$n(\omega_1) = n(\omega) + (\omega_1 - \omega) \frac{\partial n(\omega)}{\partial \omega} + \frac{1}{2} (\omega_1 - \omega)^2 \frac{\partial^2 n(\omega)}{\partial \omega^2} + \dots$  (\*)

$f_e(E_1) = f_e(E) + (E_1 - E) \frac{\partial f_e}{\partial E} + \frac{1}{2} (E_1 - E)^2 \frac{\partial^2 f_e}{\partial E^2} + \dots$  (\*\*)

Note:  $f_e(E) \approx n_e e^{-E/kT}$

$\frac{\partial f_e}{\partial E} = -\frac{1}{kT} f_e$

$\frac{\partial^2 f_e}{\partial E^2} = \frac{1}{(kT)^2} f_e$

For electron,  $\omega_1 - \omega = -(\omega_1 - \omega)$  且  $f_e(E) \propto e^{-E/kT}$

令  $\frac{\partial f_e}{\partial E} = -\frac{1}{kT} f_e$

$(E_1 - E) \frac{\partial f_e}{\partial E} = -f_e(E) \frac{h(\omega_1 - \omega)}{kT}$

$= f_e(E) \Delta$



色散关系 dispersion relation

$$k = c^{-1} \sqrt{\omega^2 - \omega_p^2} \quad \text{或} \quad \omega^2 = \omega_p^2 + c^2 k^2$$

好像 plasma 模型 - 一个带电粒子的质量  
Plasma 中传播的电子波速可看  $\sqrt{c^2 - \omega_p^2}$  - 一种粒子

For  $\omega < \omega_p$ ,  $k = \frac{i}{c} \sqrt{\omega_p^2 - \omega^2}$  (pure imaginary)

$$e^{i\vec{k} \cdot \vec{r}} = e^{-\frac{1}{c} \sqrt{\omega_p^2 - \omega^2} \cdot r}$$

- 衰减与频率有关, scale of decrease  $\sim \frac{2\pi c}{\omega_p}$
- $\omega_p$  can be viewed as a cut-off frequency to forbid  $\omega < \omega_p$  propagation.

语言  $\omega_p > m, n$  有关

- 这部分的衰减率  $\sigma = \frac{ine^2}{m\omega}$  仍是 pure-imaginary  
 $i \approx e^{i\frac{\pi}{2}}$ ,  $j = \sigma \vec{E}$  和  $\vec{j} \cdot \vec{E}$  之间存在  $\frac{\pi}{2}$  的相位差

mechanical work rate  $\omega = -e\vec{j} \cdot \vec{E} \propto \vec{j} \cdot \vec{E}$   
电场对电荷做功

$$\langle \omega \rangle = 0 \quad (\text{因为角 } e^{i\frac{\pi}{2}} \text{ 因子})$$

不过是不做功, 波在表面反射回去  
(波反射)

- 这方法可测地球的电离层高度



测得  $\omega_p$  即可估计  $n_e$   
脉冲的返回时间可测电离层的高度

For  $\omega > \omega_p$ , 电磁波传播

phase velocity  $v_{ph} = \frac{\omega}{k} = \frac{c}{n_r} > c$

index of refraction  
折射率  $n_r = \sqrt{\epsilon} = \sqrt{1 - \frac{\omega_p^2}{\omega^2}} < 1$

group velocity  $v_g = \frac{\partial \omega}{\partial k} = \frac{c^2 k}{\omega} = c n_r < c$   
能量传播速度

沿着传播方向加  $\vec{B}_0$  时, 磁场有 Faraday 旋转效应

Propagation along a magnetic field (Faraday rotation)

Assume external  $B_0$  field  $\rightarrow$  B-field in E-M wave  
Motion of electron

$$m\vec{v} = -e\vec{E} - \frac{e}{c} \vec{v} \times \vec{B}_0 \quad (\text{因为 } 2\pi \nu \ll \omega \text{ 所以 } \frac{e}{c} \vec{v} \times \vec{B}_0 \ll e\vec{E})$$

Assume  $\vec{B}_0$  along the propagation direction of EM wave

Consider circular propagation

$$\vec{E}(r) = E e^{-i\omega t} (\vec{e}_1 \mp i \vec{e}_2) \quad \text{"-" right circular polarization, "+" left ...}$$

$$\vec{B}_0 = B_0 \vec{e}_3$$

$\vec{v}$  在  $\vec{e}_1, \vec{e}_2, \vec{e}_3$  平面, 即  $\vec{e}_3 \perp \vec{e}_1, \vec{e}_2$ , let  $\vec{v} = v_1 \vec{e}_1 + v_2 \vec{e}_2$ , 代入方程得

$$-i m \omega (v_1 \vec{e}_1 + i v_2 \vec{e}_2) = -e E (\vec{e}_1 \mp i \vec{e}_2) - \frac{e B_0}{c} (v_1 \vec{e}_2 + i v_2 \vec{e}_1)$$

$$-i m \omega v_1 = -e E - i \frac{e B_0}{c} v_2$$

$$m \omega v_2 = \pm i e E + \frac{e B_0}{c} v_1$$

对于 "+" 在右旋:  $v_2 = v_1$   
对于 "-" 在左旋:  $v_2 = -v_1$

$$v_1 = \frac{-ie E}{m} \frac{1}{\omega \pm \omega_B}, \quad \omega_B = \frac{e B_0}{m c} \quad \text{回旋频率 cyclotron freq.}$$

$$\vec{v} = v_1 (\vec{e}_1 \mp i \vec{e}_2) = \frac{-ie}{m(\omega \pm \omega_B)} E (\vec{e}_1 \mp i \vec{e}_2) = \frac{e}{m(\omega \pm \omega_B)} \vec{E}$$

Current  $\vec{j} = n(-e)\vec{v} = \frac{ine^2}{m(\omega \pm \omega_B)} \vec{E}$ , 电荷率  $\sigma = \frac{ine^2}{m(\omega \pm \omega_B)}$

Use Current Conservation

$$\rho = \sigma \omega^{-1} k \cdot \vec{E}$$

介电常数  $\epsilon_{RL} = 1 - \frac{4\pi e}{i\omega} = 1 - \frac{4\pi n e^2}{\omega m(\omega \pm \omega_B)} = 1 - \frac{\omega_p^2}{\omega(\omega \pm \omega_B)}$

同样, group velocity

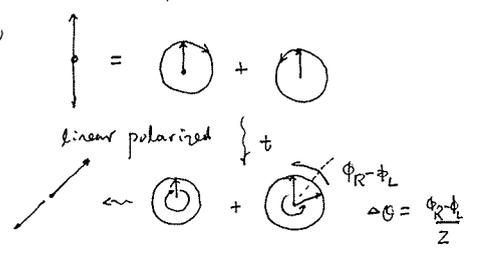
$$v_g = c \sqrt{\epsilon} \quad k_{RL} = \frac{\omega}{c} \sqrt{\epsilon_{RL}} \quad \text{左右旋波在磁场上不同}$$

线性极化波可以看成两个圆极化波在左旋和右旋波

对于它们的 k 不一样

(L 和 R 波波长)

波包在传播过程中, 左右旋波在磁场上不同, 导致波包在传播过程中发生旋转



20

Rotation angle

$$\Delta\theta = (\phi_R - \phi_L)_{1/2} = \frac{1}{2} \int_0^d (k_R - k_L) ds = \frac{1}{2} \int_0^d ((\omega^2) \omega_p^2 \omega_B ds = \frac{2\pi e^2}{m^2 c^2 \omega^2} \int_0^d n B_{||} ds = \Delta\theta_F$$

$\omega$  增加  $\Delta\theta$  减小  
 $\omega$  减小  $\Delta\theta$  增加

If  $\omega \gg \omega_p, \omega \gg \omega_B, k_{RL} = \frac{\omega}{c} [1 - \frac{1}{2} \frac{\omega_p^2}{\omega^2} (1 \mp \frac{\omega_B}{\omega})]$  (Faraday Rotation)

If  $n_r < 1, v_{ph} > c$  则有 Cherenkov radiation.

$$\Delta k = k_R - k_L = (\omega^2 c)^{-1} \omega_p^2 \omega_B (\text{determine } \Delta\theta)$$

随着光的传播, Rotation 逐渐累积:  $\downarrow / / \rightarrow$ ;  $d = d_1 = 3, 4$ ;  $n$  和  $B_{||}$  随位置变化,  $\Delta\theta \propto d$ .

Faraday Rotation  $\Delta\theta \propto \omega^{-2}$ ; 测量  $\Delta\theta \sim \omega$  relation 可以估计光路中的  $n, B$  等性质  
 在 Interstellar Medium 中, 偏振光的传播, 可以探测  $B$

Cherenkov Radiation

真空中以恒定速度运动  $\Rightarrow$  no radiation  
 vacuum uniformly move

在电介质中 dielectric  $\begin{cases} v < v_{ph} \Rightarrow \text{No} & (\text{in plasma 中, 总是如此}) \\ c > v > v_{ph} \Rightarrow \text{Yes, radiation} \end{cases}$

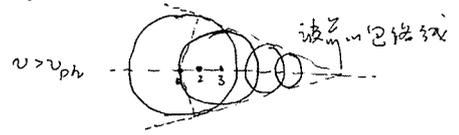
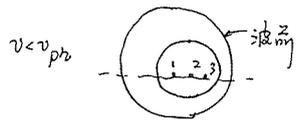
If  $n_r > 1, v_{ph} < c$  (某些情况下满足  $v > v_{ph}$ )

在真空中传播的势  $\phi = [\frac{q}{4\pi R}]$

在介质中可写 modified "Lienard-Wiechart" potential,  $A = (-\beta v \omega) \rightarrow A = (-\beta n_r v \omega)$

where  $\cos\theta = (n_r)^{-1}$ .  $A=0$  的球面  $L-W$  势函数  $\phi \rightarrow \infty$   
 这在物理中不可能

在真空中,  $\phi \propto \frac{1}{R}, E \propto \frac{1}{R^2}$  而介质的和; 但介质的因为  $\phi \rightarrow \infty$  in validates the argument against  $\frac{1}{R^2}$   
 $\Rightarrow$  可以产生 radiation



$\frac{c}{n_r} \neq \Delta\theta$

$\cos\theta = \frac{c}{n_r v} = \frac{1}{\beta n_r}$

量子力学与电磁学 → 量子电动力学  
 量子力学与辐射 → 量子电动力学

本书的内容见 Frank & Shu 的讲义. 网页上可下载.

Non-relativistic Quantum theory of Radiative Process (Shu Chai)

Justification of Non-rel treatment

Bohr Model  $\frac{1}{2} m v^2 = \frac{e^2}{2a_0}$ , where  $a_0 = \frac{\hbar^2}{m e^2}$  is Bohr radius.

$\Rightarrow \frac{v}{c} \approx \frac{1}{137} = \alpha = \frac{e^2}{\hbar c}$

$\Rightarrow \frac{v}{c} \ll 1$ , 所以非相对论近似成立

For typical radiative process transition,  $\frac{v}{c} \approx \frac{e^2}{2a_0}$

$\alpha = \frac{v}{c} \approx \frac{e^2}{2a_0 \hbar c} = \frac{1}{2} \frac{e^2}{a_0}$

$\Rightarrow \alpha a_0 = \frac{1}{2} \alpha \ll 1$ , 所以非相对论近似成立  
 multiple expansion hold

for radiative transition in typical atom and nucleus.

For many nuclei system, effective coupling,  $Z\alpha$ ,  $Z$  = nucleus charge

when  $Z \approx 100$ ,  $Z\alpha \sim O(1)$ , multiple expansion fail.

这时不可用非相对论近似.

For a single particle in EM field

$L = \frac{1}{2} m \dot{\vec{x}}^2 - q\phi(\vec{x}, t) + q\dot{\vec{x}} \cdot \vec{A}(\vec{x}, t)$

Proof: solve the Euler-Lagrangian eq.  $\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\vec{x}}} \right) - \frac{\partial L}{\partial \vec{x}} = 0$   
 to obtain Lorentz force  $m\ddot{\vec{x}} = q(\vec{E} + \dot{\vec{x}} \times \vec{B})$

Define Canonical momentum

$\vec{p} = \frac{\partial L}{\partial \dot{\vec{x}}} = m\dot{\vec{x}} + \frac{q\vec{A}}{c}$

与作业是洛伦兹方程  
 Qian 经典电动力学 6.4 | 2018  
 RBL 第一章到第八章. 量子力学之前

Lagrangian transformation

$H = \dot{\vec{x}} \cdot \vec{p} - L = \frac{1}{2} m \dot{\vec{x}}^2 + q\phi = \frac{1}{2m} \left| \vec{p} - \frac{q}{c} \vec{A}(\vec{x}, t) \right|^2 + q\phi(\vec{x}, t)$

$q = -e$  for electrons:  $H(\vec{x}, \vec{p}) = \frac{1}{2m} \left| \vec{p} + \frac{e}{c} \vec{A}(\vec{x}, t) \right|^2 - e\phi(\vec{x}, t)$

The electrons interact only with

- (a) an electrostatic field associated with an external charge distribution (e.g. nucleus or the rest of atom)
- (b) vacuum radiation fields (辐射场)

(i.e. neglect the self-interaction of an electron, external B-field, etc.)

The gauge  $\nabla \cdot \vec{A} + \frac{1}{c} \frac{\partial \phi}{\partial t} = 0 \Leftrightarrow \partial_\mu A^\mu = 0$  (Lorentz gauge, 规范条件)  
 自洽, 同量于电力场的  
 高斯定律

$\nabla \cdot \vec{A} = 0$  (Coulomb gauge, 不是规范, 所以选了洛伦兹规范)

对非相对论情况, 选后者有好处.

Poincaré's Theorem

can be decomposed to  
 For any vector field  $\vec{A} = \vec{A}_{||} + \vec{A}_{\perp}$

$\vec{A}_{||} = \nabla \phi$ , i.e.  $\nabla \times \vec{A}_{||} = 0$  (无旋)

$\vec{A}_{\perp} = \nabla \times \vec{Q}$ , i.e.  $\nabla \cdot \vec{A}_{\perp} = 0$  (无散)

We can always make EM field  $\vec{A}$  satisfies  $\vec{A}_{||} = 0$ , s.t.

- (1) Scalar potential  $\phi$  carries the Coulomb field due to the static charge distribution  $\rho_e(\vec{x})$
- (2) Vector potential  $\vec{A}$  carries the vacuum radiation fields, i.e.  $\vec{A}$  represents a radiation field.  $\vec{A}$  携带辐射场的部分

Proof: suppose  $\phi, \vec{A}$  do NOT fill the bill, make a gauge transformation

$\begin{cases} \vec{A}' = \vec{A} + \nabla \psi \\ \phi' = \phi - \frac{1}{c} \frac{\partial \psi}{\partial t} \end{cases}$   
 保证  $\nabla \cdot \vec{A}' = 0$

lhs =  $\nabla \cdot \vec{A} + \nabla^2 \psi = 0 \Rightarrow \nabla^2 \psi = -\nabla \cdot \vec{A}$

是 Poisson 方程. 求解  $\psi(\vec{x}, t) = \frac{1}{4\pi} \int \frac{\nabla' \cdot \vec{A}(\vec{x}', t')}{|\vec{x} - \vec{x}'|} d^3x'$   
 so we always find such a scalar field  $\psi$ .

For such a choice of gauge

$$\nabla^2 \phi' = \nabla^2 \phi - \frac{1}{c} \frac{\partial}{\partial t} (\nabla^2 \psi) = \nabla^2 \phi + \frac{1}{c} \frac{\partial}{\partial t} (\nabla \cdot \vec{A}) = -\nabla \cdot \underbrace{(-\nabla \phi - \frac{1}{c} \frac{\partial \vec{A}}{\partial t})}_{\vec{E}} = -\nabla \cdot \vec{E}$$

$$= -4\pi \rho_e(\vec{x}, t) = -4\pi \rho_e(\vec{x})$$

static

So  $\phi'$  has the Coulomb solution  $\phi'(\vec{x}) = \int \frac{\rho_e(\vec{x}') d^3x'}{|\vec{x} - \vec{x}'|}$   
(i.e. static)

$$\nabla^2 \vec{A}' = -\nabla \times (\nabla \times \vec{A}') + \nabla (\nabla \cdot \vec{A}') = -\nabla \times \vec{B} = -\frac{1}{c} \frac{\partial \vec{E}}{\partial t} = -\frac{1}{c} \frac{\partial}{\partial t} (-\nabla \phi' - \frac{1}{c} \frac{\partial \vec{A}'}{\partial t})$$

Coulomb gauge

$$= \frac{1}{c^2} \frac{\partial^2 \vec{A}'}{\partial t^2}, \text{ since } \frac{\partial \phi'}{\partial t} = 0$$

$$\nabla^2 \vec{A}' - \frac{1}{c^2} \frac{\partial^2 \vec{A}'}{\partial t^2} = 0$$

$\vec{A}'$  satisfies a wave equation, and represents a vacuum radiation field.

$$\nabla \cdot \vec{A}' = 0 \Rightarrow \left. \begin{array}{l} \nabla \cdot \vec{A}_{||}' = 0 \\ \nabla \times \vec{A}_{||}' = 0 \end{array} \right\} \Rightarrow \left. \begin{array}{l} \vec{A}_{||}' = 0 \\ \vec{A}_{\perp}' = \vec{A}' \end{array} \right.$$

Structure and interaction Hamiltonians

In Coulomb gauge

$$H = \frac{1}{2m_0} \left| \vec{p} + \frac{e}{c} \vec{A}(\vec{x}, t) \right|^2 - e\phi(\vec{x})$$

$$= \underbrace{\frac{1}{2m_0} |\vec{p}|^2 - e\phi(\vec{x})}_{H_{st} = H_0 \text{ (static)}} + \underbrace{\frac{e}{2m_0 c} (\vec{p} \cdot \vec{A} + \vec{A} \cdot \vec{p}) + \frac{e^2}{2m_0 c^2} \vec{A} \cdot \vec{A}}_{H_{int} \text{ (interaction)}} + H_2 \propto A^2$$

Here

$H_{st}$ : static part that determines the structure of the electronic equilibrium state.

$H_{int}$ : perturbation part due to interaction with the radiation field.

$H_1 \propto \vec{A}$ , leads to 1-photon processes

$H_2 \propto \vec{A}^2$ , leads to 2-photon processes

(至少两个光子, 但极罕见)  
两个光子同时被吸收  
两个光子同时被吸收, 或两个光子同时发射

Note  $\frac{H_1}{H_0} \sim \frac{e p A / m_0 c}{\frac{1}{2} m_0 v^2} \sim \frac{\sqrt{\frac{e^2}{2} a_0 \cdot m_0} \frac{e}{2 m_0 c} \cdot E \cdot l}{\frac{1}{2} m_0 v^2} \sim \frac{e^2}{2 a_0} \frac{1}{c} \sqrt{\frac{1}{4 m_0 a_0}} \frac{a}{2 a_0} E \sim \text{see below}$

$\frac{H_2}{H_1} \ll 1 : \frac{H_2}{H_1} \sim \frac{\frac{e^2}{2 m_0 c^2} A^2}{\frac{e p A}{m_0 c}} = \frac{e A}{2 p c} = \frac{e A}{2 m_0 v c} = \frac{\alpha^2 a_0 A}{2 e v / c}, \alpha = \frac{e^2}{\hbar c}$   
 $\alpha^2 a_0 = \frac{e^2}{m_0 c}$   
 $\frac{v}{c} \sim \alpha$

From  $\vec{B} = \nabla \times \vec{A}, B = kA, A = k^{-1}B, k \sim \frac{\omega}{2a_0}$   
 $A \sim 2a_0 \alpha^{-1} B$   
 $\sim 2a_0 \alpha^{-1} E$  ( $B = E$  for vacuum radiation field)

$$\frac{H_2}{H_1} \sim \frac{\alpha a_0}{2e} \frac{2a_0 E}{\alpha} = \frac{a_0^2 E}{e}$$

For photon absorption processes, energy density in vacuum radiation field

$$\frac{1}{8\pi} (B^2 + E^2) = \frac{1}{4\pi} E^2 = n_{ph} \times \hbar \omega \sim \hbar \omega \sim \frac{e^2}{2a_0} \text{ typical photon energy}$$

↑  
photon number density

$$E^2 \sim 4\pi n_{ph} \frac{e^2}{2a_0}$$

$$= 2\pi n_{ph} \frac{e^2}{a_0}$$

$$\frac{H_2}{H_1} = \frac{a_0^2}{e} E \sim \frac{a_0^2}{e} \sqrt{\frac{2\pi n_{ph} e^2}{a_0}} = \sqrt{2\pi n_{ph} a_0^3}$$

$$\frac{H_2}{H_1} \ll 1, \text{ if } 2\pi n_{ph} a_0^3 \ll 1$$

i.e.  $n_{ph} \ll \frac{a_0^{-3}}{2\pi} \sim 10^{24} \text{ cm}^{-3}$

In comparison,  $n_{ph} \sim \frac{\alpha T^4}{k_B T} = \alpha T^3 / k_B \sim 10^{14} \text{ cm}^{-3} \ll 10^{24} \text{ cm}^{-3}$   
For hydrogen atoms in a star  $T > 10^4 \text{ K}$

$$\frac{H_2}{H_1} \ll 1 \text{ always satisfied}$$

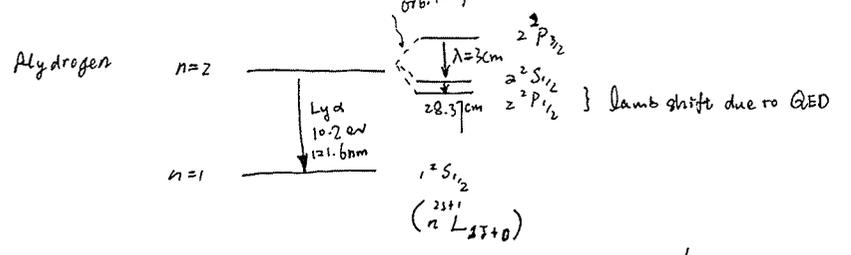
Note:  $p_d \sim \alpha T^4$

$\frac{H_1}{H_0} = \frac{\frac{e}{m_0 c} p A}{\frac{1}{2} m_0 v^2} = \frac{2e p A}{p c} = \frac{2\hbar^2}{H_1} \ll 1$

所以, 可以忽略相互作用部分, 把与外界耦合看成微扰。

$$\approx \frac{5.6 \times 10^{-8} \text{ W} \cdot \text{m}^{-2}}{c} \left(\frac{T}{\text{K}}\right)^4$$

Note: Exception when  $H_1 \approx 0$  (21842) 单光子过程被压低, 双光子过程被提升 (两个光子过程比单光子过程更可能发生) 轨道-自旋耦合 (orbital-spin coupling) 与磁相互作用 (magnetic interaction); J=2 跃迁



选择定则 (electric dipole) 选择定则

选择定则 (电偶极跃迁) (electric dipole) 选择定则

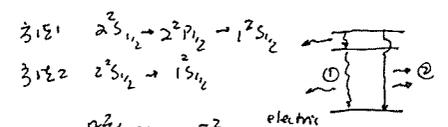
$$\Delta L = 0, \pm 1 \text{ (except for } \Delta L = 0, m=0 \text{) allowed}$$

$$\Delta J = 0, \pm 1 \text{ (except for } J=0 \rightarrow 0 \text{)}$$

$$\Delta S = 0$$

例如  $2P \rightarrow 1S$  可以 ( $2^2P_{3/2} \rightarrow 1^2S_{1/2}, 2^2P_{1/2} \rightarrow 1^2S_{1/2}$ )  
 $2S \rightarrow 1S$  不可以

但是, 光子总是成对地从  $2S \rightarrow 1S$  过程产生, 正如后边完全处在  $2S$  态。



电偶极跃迁  $n^2q \rightarrow n^2p \rightarrow 1^2S_{1/2}$

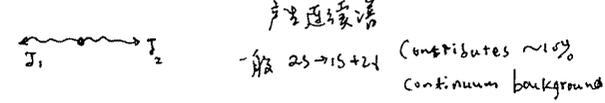
$$W_{21} \approx W_{21 \rightarrow 2p} \approx 10^{-21} W_{2p \rightarrow 1S} \text{ (第一级跃迁最快)}$$

$$W_{21} \approx \alpha^3 W_{2p \rightarrow 1S} \approx 10^{-7} W_{2p \rightarrow 1S} \gg W_{21}$$

$W_{21} \propto \alpha^3$  for quadrupole transitions

在这种背景下  $2S \rightarrow 1S$  Double photon decay wins.

由于两个光子满足  $\begin{cases} j_1 + j_2 = 0 \\ s_1 + s_2 = 0 \\ E_1 + E_2 = 10.2eV \end{cases}$  Quantum entanglement. 光子可以连续产生。



在量子力学框架下求解 Hamiltonian, Radiation Transitions

$$H = H_{01} + H_1 + H_2$$

"

$$H_0 \text{ (unperturbed)}$$

$$H_{12} \gg H_1 \gg H_2$$

这里  $H = H^0 + H'(t)$   
 atomic Hamiltonian  $\sim$  perturbation  
 indep of  $t$ : due to external  $H(t) = -\frac{e}{2m} (\mathbf{A} \cdot \mathbf{p} + \mathbf{p} \cdot \mathbf{A})$   
 $H^0 = \frac{p^2}{2m} + e\phi(\mathbf{r})$  radiation field

For  $H^0$ , write  $H^0 \phi_{ik} = E_{ik} \phi_{ik}$

Time evolution  $\psi(t) = \sum_k a_{ik} \phi_{ik} e^{-\frac{i}{\hbar} E_{ik} t}$  薛定谔方程的解, 但是与结构中  
 的源比; 没有的初始

From state  $i$  to state  $f$

$$H'_{fi}(t) = \int d^3x \phi_f^\dagger(\mathbf{r}) H'(t, \mathbf{r}) \phi_i(\mathbf{r}) = \langle \phi_f | H' | \phi_i \rangle$$

做波包-波包的发射/吸收是在一段时间内

$$H'_{fi}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} H'_{fi}(t') e^{i\omega t'} dt'$$

$\omega = \frac{E_f - E_i}{\hbar}$  光子能量与跃迁能量  $\sim T$  内是匹配的  
 $T$  is the duration of perturbation

Probability per unit time for a transition from  $i \rightarrow f$

$$W_{fi} = \frac{4\pi^2}{\hbar^2 T} |H'_{fi}(\omega_{fi})|^2$$

光子与波包的相互作用结果

$H'_{fi}$  积分是  $\int_0^T$  的积分决定  
 跃迁几率  $T$  和光子频率  $\omega$

下面  $\rightarrow$  ZVTC 用  $H' = \frac{e}{mc} \mathbf{A} \cdot \mathbf{p}$  代入  $H'_{fi}(t)$  的表达式进行求解。

2018 05 21 辐射机制 6B211

For External EM field,

$$\vec{A}(\mathbf{r}, t) = \vec{A}(t) e^{i\mathbf{k} \cdot \mathbf{r}} \text{ (做波包-波包)}$$

$\vec{p} \leftrightarrow -i\hbar \nabla$

Hamiltonian  $H' = -\frac{e}{mc} \vec{A} \cdot \sum_j \vec{p}_j = \frac{ie\hbar}{mc} \vec{A} \cdot \sum_j \nabla_j = \frac{ie\hbar}{mc} e^{i\mathbf{k} \cdot \mathbf{r}} \sum_j \nabla_j$  是电偶极跃迁的结果  
 (忽略  $\mathbf{A} \cdot \mathbf{p}$  项为高阶项)  
 对每个光子  $j$

$$H'_{fi}(t) = \frac{ie\hbar}{mc} \vec{A}(t) \cdot \langle f | e^{i\mathbf{k} \cdot \mathbf{r}} \sum_j \nabla_j | i \rangle$$

$$H'_{fi}(\omega) = \frac{ie\hbar}{mc} \vec{A}(\omega_{fi}) \cdot \langle f | e^{i\mathbf{k} \cdot \mathbf{r}} \sum_j \nabla_j | i \rangle$$

Suppose  $\vec{A} = A\vec{l}$ ,  $\vec{l}$  is a unit vector

$$W_{fi} = \frac{4\pi^2 e^2}{r^3 c^2} |A(\omega_{fi})|^2 \left| \langle f | e^{i\vec{k}\cdot\vec{r}} \sum_j q_j | i \rangle \right|^2$$

电磁波的能量流密度

Intensity  $I = \langle \vec{s} \cdot \hat{n} \rangle = \frac{c}{4\pi r^2} \int_{-\infty}^{\infty} |E(\omega)|^2 dt = \frac{c}{2T} \int_{-\infty}^{\infty} |\tilde{E}(\omega)|^2 d\omega = \frac{c}{T} \int_0^{\infty} |\tilde{E}(\omega)|^2 d\omega$

Define  $\mathcal{J}(\omega) = \frac{dW}{dt dA d\omega} = \frac{c}{T} |\tilde{E}(\omega)|^2 = \frac{\omega^2}{Tc} |A(\omega)|^2$

$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} \Rightarrow \tilde{E}(\omega) = i\omega \tilde{A}(\omega) = \frac{i\omega}{c} \tilde{A}(\omega) \vec{l}$

辐射场的表达式

所以辐射可以写为

$W_{fi} = \frac{4\pi^2 e^2}{m^2 c^3 \omega_{fi}^2} \mathcal{J}(\omega_{fi}) \left| \langle f | e^{i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j | i \rangle \right|^2$

一定是偶极子, 电荷守恒, 电荷守恒的表达式 (电荷守恒的表达式)

可以写成  $W_{fi} = W_{if}$

因为  $\langle i | e^{i\vec{k}\cdot\vec{r}} \sum_j q_j | f \rangle = \int d^3x \phi_i^* e^{i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j \phi_f$

$= \int d^3x \vec{l} \cdot \sum_j q_j (\phi_i^* e^{i\vec{k}\cdot\vec{r}} \phi_f) - \int d^3x \phi_f e^{i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j \phi_i^*$

Surface term  $\stackrel{\approx}{=} 0$   $-\int d^3x \phi_i^* \phi_f \sum_j \vec{l} \cdot i\vec{k} e^{i\vec{k}\cdot\vec{r}}$

$= -\int d^3x \phi_f e^{i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j \phi_i^*$

$= -\langle f | e^{-i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j | i \rangle^*$

$e^{i\vec{k}\cdot\vec{r}}$  与经典电动力学可以近似为偶极子 dipole 近似

$\vec{k} \rightarrow$  波的传播方向  
- 是 incoming - 是 outgoing

$e^{i\vec{k}\cdot\vec{r}} = 1 + i\vec{k}\cdot\vec{r} - \frac{1}{2}(\vec{k}\cdot\vec{r})^2 + \dots$

dipole quadrupole octupole

Since  $k \cdot r \sim Z\alpha \ll 1$

其中最低阶跃迁是电偶极跃迁 lowest order  $\rightarrow$  electric dipole transition

1st higher order: electric quadrupole + magnetic dipole transition

电场的四阶和磁场的偶极阶跃迁?

讨论  $\vec{r} \times \vec{v} \propto -\frac{e}{c} \vec{v} \times \vec{v} \sim 0$  在最低阶

$\vec{f} = -e\vec{g} = -e(\vec{g}_{static} + \vec{E}_{em}) = -e\vec{E}_{em}$

Electric dipole  $e^{i\vec{k}\cdot\vec{r}} \approx 1$

讨论偶极子近似

$\langle f | e^{i\vec{k}\cdot\vec{r}} \vec{l} \cdot \sum_j q_j | i \rangle = \int d^3x \phi_f^* (\vec{l} \cdot \sum_j q_j) \phi_i = \sum_j i\vec{k} \cdot \vec{r} (\vec{l} \cdot \vec{p}_j) | i \rangle$

$= \sum_j \frac{m_j}{\hbar} \int d^3x \phi_f^* \vec{l} \cdot (\vec{r}_j H_0 - H_0 \vec{r}_j) \phi_i d^3x$

$= \sum_j \frac{m_j}{\hbar} (\vec{E}_j + \vec{E}_j^*) \int d^3x \phi_f^* \vec{l} \cdot \vec{r}_j \phi_i d^3x$

$= \sum_j \frac{m_j}{\hbar} (\omega_i - \omega_f) (\vec{l} \cdot \vec{r}_j)_{fi}$

其中  $H_0 = \frac{\sum p_j^2}{2m} = e\phi(\vec{r}_1, \dots, \vec{r}_N)$

而  $[\vec{r}_j, \vec{p}_j^2] = 2i\hbar \vec{p}_j \Rightarrow \vec{k} \cdot [\vec{r}_j, H_0] = \frac{i}{\hbar} \vec{k} \cdot \vec{p}_j$

注意: 这是求和

多极展开

$W_{fi} = \frac{4\pi^2}{m^2 c} \mathcal{J}(\omega_{fi}) \frac{m^2}{\omega_{fi}^2} \omega_{fi}^2 |\langle \vec{l} \cdot \vec{d} \rangle_{fi}|^2 = \frac{4\pi^2}{c\hbar^2} \left| \left( \vec{l} \cdot \frac{\vec{d}}{e} \right)_{fi} \right|^2 \mathcal{J}(\omega_{fi})$

平方的次序可以调换

where  $\vec{d} \equiv e \sum_j \vec{r}_j$

注: 偶极子近似

For unpolarized radiation, and for atoms with random orientations.

$|\langle \vec{l} \cdot \vec{d} \rangle_{fi}|^2 = \frac{1}{3} |d_{fi}|^2$

因为  $\vec{l} \cdot \vec{d} \propto \mu$ ,  $\langle \mu^2 \rangle = \frac{1}{3}$

这是  $|d_{fi}|^2 = |d_{x,fi}|^2 + |d_{y,fi}|^2 + |d_{z,fi}|^2$

$\langle W_{fi} \rangle = \frac{4\pi^2}{3c\hbar^2} |d_{fi}|^2 \mathcal{J}(\omega_{fi})$

可以求 Einstein - A B 系数

(假设波是连续)

原子跃迁不连续

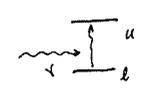
(相对是连续到量子化能量守恒)

Einstein B-coefficient

A  $\rightarrow$  自发辐射 (自由辐射)

不用半经典理论 (但可由量子力学导出)

B 是半经典, 可以理解为量子化半经典理论



假设  $\langle W_{\mu l} \rangle = B_{\mu l} \mathcal{J}(\omega_{\mu l})$

与波交换

low to upper

吸收光子

$\mathcal{J}_{\mu l} = \frac{1}{4\pi} \mathcal{J}(\omega_{\mu l}) = \frac{1}{2T} \mathcal{J}(\omega_{\mu l})$

per unit frequency interval

$\mathcal{J}(\omega) = \frac{dW}{dt dA d\omega} = \frac{1}{2T} \mathcal{J}(\omega)$

所以  $\langle W_{\mu l} \rangle = \frac{1}{2} B_{\mu l} \mathcal{J}(\omega_{\mu l})$

$B_{\mu l} = \frac{2}{\mathcal{J}(\omega_{\mu l})} \langle W_{\mu l} \rangle = \frac{2}{\mathcal{J}(\omega_{\mu l})} \frac{4\pi^2}{3c\hbar^2} |d_{\mu l}|^2 \mathcal{J}(\omega_{\mu l}) = \frac{8\pi^2}{3c\hbar^2} |d_{\mu l}|^2 = \frac{8\pi^2}{3c\hbar^2} |d_{\mu l}|^2$

For non-degenerate levels

$$B_{ul} = B_{lu} \quad A_{ul} = \frac{4\omega_{ul}^3}{3c^3 \hbar e^2} |d_{ul}|^2$$

For degenerate levels

$$B_{lu} = \frac{8\pi^2}{3c^3 \hbar e^2} \frac{1}{g_u} \sum_u \sum_l |d_{lu}|^2$$

↑  
平均 upper 子能级, 即  $\sum_l$  求平均 (对  $l$  求平均)

$$B_{ul} = \frac{8\pi^2}{3c^3 \hbar e^2} \frac{1}{g_l} \sum_u \sum_l |d_{ul}|^2$$

$$A_{ul} = \frac{64\pi^4 \omega_{ul}^3}{3\hbar c^3 g_u} \sum_l |d_{ul}|^2$$

Absorption  
Define Oscillator strength  $f_{lu}$  such that

Emission oscillator strength  $f_{ul} < 0$

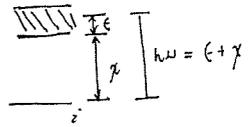
$$B_{lu} = \frac{4\pi^2 e^2}{\hbar \omega_{lu} m c} f_{lu}$$

$$g_l B_{lu} = g_u B_{ul} \quad B_{ul} = \frac{4\pi^2 e^2}{\hbar \omega_{lu} m c} f_{ul}$$

$$f_{lu} = \frac{2m}{3\hbar^2 g_l e^2} (E_u - E_l) \sum_l |d_{lu}|^2 e^{-2}$$

$$g_l f_{lu} = -g_u f_{ul} \quad (l_{ul} = -l_{lu})$$

For transition between  $l$  and the Continuum



probability  $\frac{df}{dE} dE$

Continuum oscillator strength

$$f_c = \int_0^\infty \frac{df}{dE} dE = \int_{\omega_0}^\infty \frac{df}{d\omega} d\omega$$

↑  
 $\hbar\omega_0 = \gamma$

• Selection Rules

$$W_{fi} \propto |\langle f | \mathbf{e} \cdot \vec{r} | i \rangle|^2$$

electric dipole  $\vec{d}_{fi} = e \int \phi_f^* \sum_j \vec{r}_j \phi_i d^3x$ . 若  $\vec{d}_{fi} = 0$  则  $W_{fi} = 0$

① Laporte's Rule:  $\vec{d}_{fi} = 0$  if state  $i$  and  $f$  have the same parity

$$\phi(\vec{r}) \rightarrow \phi(-\vec{r}) = \pm \phi(\vec{r})$$

$$\sum \vec{r}_j \rightarrow \sum (-\vec{r}_j) \quad \phi_f^* \phi_i(-\vec{r}) = \phi_f^* \phi_i(\vec{r})$$

$$\text{parity } (-1)^{\sum l_i} = (-1)^L$$

所以  $\Delta L \neq 0$  才允许跃迁

② change by only one orbital (one-electron jump rule)

$$\vec{d}_{fi} = e \int \psi_f^* \psi_i \vec{r} d^3x$$

$$= e \int \psi_f^* \psi_i (\text{change } d^3x)$$

If only one-electron jump allowed,

To evaluate  $\vec{d}_{fi}$  between one-electron states

$$\langle i | \vec{r} | f \rangle = 0 \Rightarrow m_l = m_l' \quad \text{角动量量子数不改变}$$

$$\langle i | r R_{nl} | f \rangle \propto d_{fi}$$

$$\langle i | r Y_{lm}^* | f \rangle \propto d_{fi} \Rightarrow d_{fi} \text{ is non-zero if } \Delta l = \pm 1$$

↑  
 $\Delta m = 0, \pm 1$

③ For many electron atoms, total quantum number

electric dipole for L-S Coupling

$$\Delta S = 0$$

$$\Delta L = 0, \pm 1 \quad (L=0 \text{ is not allowed})$$

$$\Delta J = 0, \pm 1 \quad (\text{except } J=0 \rightarrow 0)$$

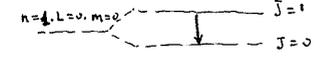
higher multiple transition

$$\Delta J = 0, \pm 1 \quad (\text{except } J=0 \rightarrow 0)$$

electric quadrupole  
magnetic dipole  $\vec{r} \cdot \vec{r} \cdot \vec{r}$  } require parity is changed. 角动量量子数 L

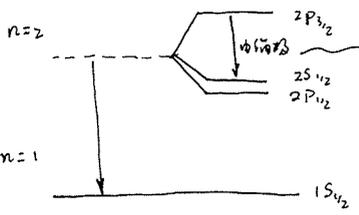
↑  
角动量量子数  $l$  奇, no parity

e.g. 21cm 谱线



21cm is forbidden by electric dipole transition, but allowed by magnetic dipole transition.

e.g.



但是它是 radio 波段的重要谱线  
可探测 super massive 自转黑洞的辐射

④ Transition Rate 则决定谱线的强度, 下面介绍

Transition Rates 跃迁率

10.4  
10.5  
10.6

Hydrogen-like ions (H, He II, Li III, etc)

Bound-bound transition  $\hbar\omega = Z^2 R_y (\frac{1}{n_1^2} - \frac{1}{n_2^2})$ ,  $R_y = \frac{e^2}{2a_0} \approx$  Rydberg Constant 13.6 eV

Bound-free transition  $\hbar\omega = R_y \frac{1}{n^2} + \frac{1}{2} m v^2$   
free electron kinetic energy

Bound-bound transition for Hydrogen

$B_{\mu\nu} = \frac{8\pi^3}{3c^3 \hbar} |\vec{d}_{\mu\nu}|^2$  (dipole approx)

power to upper absorption B-coeff  $\vec{d}_{\mu\nu} \equiv e \int \phi_\nu^* \sum_j \vec{r}_j \phi_\mu d^3x \propto \int R_{n_\nu}^{(r)} R_{n_\mu}^{(r)} r dr$  ( $\Delta l = 1$ )

$\phi(r) = R_{nl}(r) Y_{lm}(\hat{r})$   
known function

absorption oscillator strength  $f_{\mu\nu}$

$B_{\mu\nu} = \frac{4\pi^2 e^2}{\hbar^2 m c} f_{\mu\nu}$

For Lyman Series ( $n=1$ )

$f_{ifin} = \frac{2^9 n^5 (n-1)^{2n-4}}{3(n+1)^{2n+4}}$

Bound-free transition for Hydrogen (连续吸收)

aka photoionization

(光致电离)

Differential transition Rate

$dW = \frac{4\pi^2 e^2}{m^2 c} \frac{J(\omega)}{\omega^2} \left| \langle f | e^{i\vec{k}\cdot\vec{r}} \vec{e} \cdot \vec{v} | i \rangle \right|^2 \times \frac{dn}{dp d\Omega}$   
the number of free electrons in (p, p+dp) and solid angle dΩ  
continuum state f

$\hbar\omega = R_y + \frac{p^2}{2m}$   
incident photons free electron

By definition  $J(\omega) = \frac{dW}{dt dA d\omega} = \frac{\hbar\omega n}{dt dA d\omega} = \frac{\hbar^2 \omega n}{dt dA (\hbar d\omega)} = \frac{\hbar^2 m \omega n}{p dt dA dp} = \frac{m \hbar^2 \omega}{p} \frac{dn}{dt dA dp}$

$\hbar\omega d\omega = \frac{p}{m} dp$

在自由电子连续吸收下,  $p_x = \frac{\hbar}{L} n_x$

$p^2 dp d\Omega = d^3p = \frac{\hbar^3}{V} dn \Rightarrow \frac{dn}{dp d\Omega} = \frac{V}{\hbar^3} p^2$

单位面积单位频率 dW 为

$dW = \frac{4\pi^2 e^2}{m^2 c} \frac{m \hbar^2}{\omega p} \frac{dW}{dt dA dp} \left| \langle f | e^{i\vec{k}\cdot\vec{r}} \vec{e} \cdot \vec{v} | i \rangle \right|^2 \frac{V p^2}{\hbar^3} dp d\Omega$

$\sigma_{bf} = \frac{dW}{d\Omega} \rightarrow [T]^{-1} = \frac{\alpha v V}{2\pi\omega} \left| \langle f | e^{i\vec{k}\cdot\vec{r}} \vec{v} | i \rangle \right|^2$   
incident photon  $\rightarrow \omega$

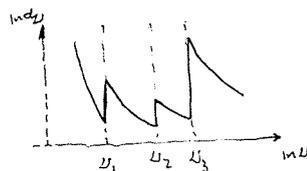
$\alpha = \frac{e^2}{\hbar c}$ ,  $v = \frac{p}{m}$  velocity of free electron

$\sigma_{bf} = \int d\Omega dW = \frac{(2\pi)^2 \alpha^2 v^2 c^2}{3 a_0^3 \omega^2} \text{ for } \hbar\omega \gg R_y$

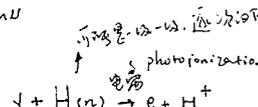
In general

$\sigma_{bf} = \frac{3/2 \pi^2 m e^{10} Z^4}{3 \sqrt{3} c \hbar^2 \omega^2} \frac{1}{\omega^2} g(\omega, n, l, Z)$   
bound-free Gaunt factor

$\alpha_n = N_n \sigma_{bf}$   
atomic density at the absorption level n



光致电离逆过程 (Recombination)



Radiative Recombination

满足 Milne relation:

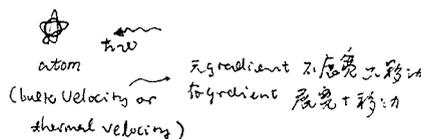
Connection between the rates for photoionization and recombination

Line Broadening Mechanism  $\phi(\omega)$

- Doppler/thermal broadening
- Natural broadening
- Collisional broadening
- Combinant broadening

Doppler (thermal) broadening

原子有相对光运动, 光频率有 shift



$\omega_{BF} \leftarrow \omega$  for an observer due to Doppler shift  
 $\omega - \omega_0 = \omega_0 \frac{v}{c}$

Thermal broadening spreads the line out, but does not change total strength.

Maxwellian distribution

number of atoms  $ndv_z \propto \exp(-\frac{m_e v_z^2}{2kT}) dv_z$  (Maxwellian distribution)

$v_z = \frac{c}{\lambda_0} (\nu - \nu_0)$ ,  $dv_z = \frac{cd\nu}{\lambda_0^2}$

The strength of emission in  $[\nu, \nu+d\nu] \propto \exp[-\frac{m_e c^2}{2\lambda_0^2 kT} (\nu - \nu_0)^2] d\nu$

Line profile  $\phi(\nu) = \exp[-\frac{(\nu - \nu_0)^2}{(\Delta\nu_D)^2}] \frac{1}{\sqrt{\pi} \Delta\nu_D}$ . Doppler width  $\Delta\nu_D = \frac{\nu_0}{c} \sqrt{\frac{2kT}{m_e}} \propto \sqrt{T} \frac{\nu_0}{c}$

normalization  $\int \phi(\nu) d\nu = 1$

$\frac{\Delta\nu_D}{\nu_0} \propto \sqrt{T/m_e}$

Line center cross section

$\sigma_{\nu_0} = B_{12} \frac{h\nu_0}{4\pi} \phi(\nu_0) = \frac{\pi e^2}{mc} f_{12} \frac{1}{\sqrt{\pi} \Delta\nu_D} = 1.16 \times 10^{-14} \left(\frac{\lambda_0}{\text{Å}}\right) \sqrt{A_{21} f_{12}} (\Delta\nu_D)^{-1/2}$

absorption oscillator strength

See page 6

Non-thermal Doppler broadening due to bulk velocity (e.g. Turbulence)

When the scale of turbulence  $\ll$  m.f.p.  $\frac{1}{2}$  of  $\tau_{coll} \rightarrow \delta v$

$\phi(\nu)$  is gaussian,  $\Delta\nu_D = \frac{\nu_0}{c} \xi$

R.M.S of turbulence velocities

$\frac{\Delta\nu}{\nu_0} = \left( \frac{\Delta\nu_{D(T)}}{\nu_0} + \frac{\Delta\nu_{D(turb)}}{\nu_0} \right)^{1/2} = \frac{\nu_0}{c} \left( \frac{2kT}{m_e} + \xi^2 \right)^{1/2}$

Note:  $\xi$  is the r.m.s. of the bulk velocity

$\Delta\nu_0 = [\Delta\nu_{D(T)}^2 + \Delta\nu_{D(turb)}^2]^{1/2}$

When the scale of turbulence  $\gg$  m.f.p.

$\nu - \nu_{RF} = \nu \frac{v_z}{c}$   $\vec{x} \rightarrow \vec{v}_z(\vec{x})$

$\nu$  is constant,  $v_z$  varies with  $\vec{x}$

$d\nu = \frac{\nu_{RF}}{c} dv_z$

$\nu_{RF} = \frac{c}{\lambda} \approx \nu (1 - \frac{v_z}{c}) \Rightarrow \frac{d\nu_{RF}}{d\nu} = 1 - \frac{v_z}{c} - \nu \frac{dv_z}{d\nu} \frac{d\nu}{d\nu}$ ,  $\frac{d\nu_{RF}}{d\nu} = -\frac{\nu}{c} \frac{dv_z}{d\nu}$

$\phi(\nu) = \delta(\nu_{RF} - \nu) = \frac{\delta(\nu - \nu_0)}{|\frac{d\nu_{RF}}{d\nu}|}$

$\delta(\nu - \nu_0)$  is the position of emission, NOT redshift

$\vec{x} \leftrightarrow \vec{v}_z \leftrightarrow \nu_{RF}$

$\delta(\nu - \nu_0) = \frac{c}{\lambda_0} \left| \frac{d\nu_z}{d\nu} \right|_{\nu_0}$

Natural Broadening (due to uncertainty principle)

$\Delta E \Delta t \sim \hbar$

$\uparrow$  duration spread in energy

For spontaneous decay, rate  $\Gamma_n = \sum_n' A_{nn'}$  (downward energy state  $n'$ )

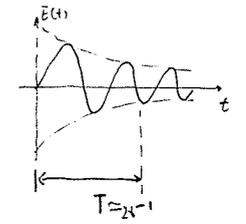
$\Delta t_n \approx \frac{1}{\Gamma_n}$ ,  $\Delta E_n \approx \frac{\hbar}{\Delta t} = \hbar \Gamma_n$

For a state  $n$ ,  $\psi = \psi_n e^{-(\gamma_n + i\omega_n)t} \propto e^{-\Gamma_n t/2} e^{-i\omega_n t}$  (spread in  $\omega$ )

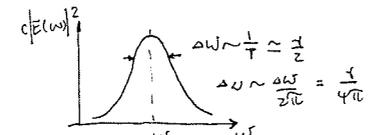
Electric field  $E(t) \propto \langle \psi | e^{i\mathbf{k}\cdot\mathbf{r}} \hat{\mathbf{r}} \cdot \mathbf{v} | \psi \rangle \propto e^{-\Gamma t/2}$

electric field  $E(t) \propto e^{-\Gamma t/2} \sin \omega t$

line central frequency



Fourier space

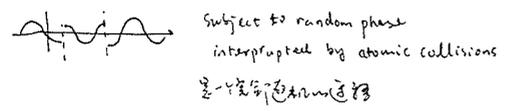
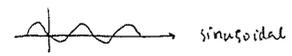


$\phi(\omega) = \frac{(\Delta\omega)^2 / \Delta\nu}{(\omega - \omega_0)^2 + (\Delta\omega)^2} \frac{1}{\pi} = \frac{1}{4\pi^2} \frac{1}{(\omega - \omega_0)^2 + (\frac{\Gamma}{4\pi})^2}$  (Lorentz profile)

$\int \phi(\omega) d\omega = 1$

Collisional Broadening

Collisional broadening, phase is interrupted



$\nu_{col} =$  collisional frequency

on average, each atom experiences  $\nu_{col}$  collisions per unit time.

$\Delta t = \frac{1}{\nu_{col}}$

$\phi(\omega) \approx$  natural broadening  $\phi(\omega) = \frac{\Gamma_{nat}^2}{(\omega - \omega_0)^2 + (\frac{\Gamma}{4\pi})^2}$ ,  $\Gamma = \Gamma_{nat} + 2\nu_{col}$

Combined broadening (Doppler + Lorentz profile)

∴ 总的谱线 broadening 将是 Lorentz 和 Gaussian 的组合

$\phi(\omega) = \tilde{\phi}(\omega - \omega_0) = \frac{\Gamma^2}{4\pi^2} \frac{1}{(\omega - \omega_0)^2 + (\frac{\Gamma}{4\pi})^2}$       $n_0$  to:  $\frac{v_z}{c} = \frac{\omega - \omega_0}{\omega_0}$  再经 natural broadening  $\omega' \rightarrow \omega$

$\rightarrow \int_{-\infty}^{\infty} \frac{\Gamma^2}{4\pi^2} \frac{1}{(\omega - \omega_0 - \omega_0 \frac{v_z}{c})^2 + (\frac{\Gamma}{4\pi})^2} \cdot (\frac{m}{2\pi kT})^{1/2} \exp(-\frac{mv_z^2}{2kT}) dv_z$      i.e. The average of the Lorentz profile over the random velocity states.  
 (即谱线总宽由于谱线自然宽度)

Define the Voigt function

$H(a, u) \equiv \frac{a}{\pi} \int \frac{e^{-y^2} dy}{a^2 + (u-y)^2}$  , 2.1  $\phi(\omega) = (\Delta\omega_D)^{-1} \pi^{-1/2} H(a, u)$       $\phi(\omega)_{\text{thermal}} \approx \phi(\omega)_{\text{natural}}$   
 (即谱线总宽)

For  $a \ll 1$ , i.e.  $\Gamma \ll \Delta\omega_D$   
 (即谱线总宽主要靠  $\Gamma$  决定)

$a = \frac{\Gamma}{4\pi\Delta\omega_D}$ ,  $u = \frac{\omega - \omega_0}{\Delta\omega_D}$   
 $\Gamma = 4 + 2\Gamma_{\text{coll}}$   
 高测 wings by Lorentz profile. i.e. exponential tail

Molecular structure

Bohr-Oppenheimer Approximation: separate the motion of electrons and nuclei.

$$\frac{m_{\text{electron}}}{m_{\text{nuclei}}} \sim \frac{0.5 \text{ MeV}}{> 1 \text{ GeV}} \sim (10^{-4} \sim 10^{-5})$$

在讨论电子的运动时, 可以认为电子是在静止的不变核周围运动;

电子的运动对原子核的影响可以用有效的势能描述, 总的来说是使核被束缚在一起.

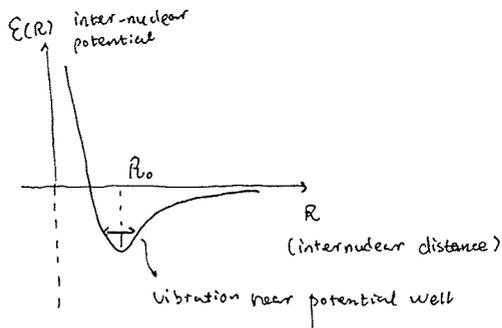
分子体系的总能量 = 电子能量 + 核动能 + 核势能  
 (核-核) (核-核) (核-核)  
 (径向自由度) (角向自由度)

• Electron energy

$$p \sim \frac{\hbar}{a}, \quad E_{\text{electron}} \approx \frac{\hbar^2}{2ma^2} \sim 0 \text{ (eV)}$$

$a$ : typical molecular size  $\sim 1 \text{ \AA}$

• Vibration energy



$$E \sim \frac{1}{2} M \omega^2 g^2$$

$\omega$ : vibration frequency  
 $g = R - R_0 \sim a$

Solved by  $\frac{1}{2} M \omega^2 a^2 \approx \frac{\hbar^2}{2ma^2}$  (经典-量子对应)

$$\Rightarrow \omega = \frac{\hbar}{\sqrt{Mma^2}}$$

$$\Rightarrow E_{\text{vib}} \approx \hbar \omega \approx \frac{\hbar^2}{M a^2} \sim \frac{\hbar^2}{M} E_{\text{electron}} \sim 10^{-2} \text{ eV}$$

量子力学  $\rightarrow$  核的基态能量      核质量

下一周 Quiz (建议先看作业题)

基态考试 预计 6月25日(18周)  
 在基态考试: 2hr

• Rotational Energy

$$L \sim \hbar l, \quad l = 0, 1, 2, \dots$$

$$E_{\text{rot}} \approx \frac{L^2}{2I} = \frac{l(l+1)\hbar^2}{2I} \sim \frac{l(l+1)}{2} \frac{\hbar^2}{M a^2}$$

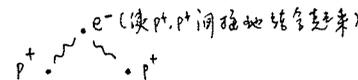
$I = Ma^2$       for small  $l \sim 1$  neglect  $a^2$

$E_{\text{electron}} \sim 10^{-4} \text{ eV}$

Total Energy  $E = E_{\text{electron}} + E_{\text{vibration}} + E_{\text{rotation}}$

1 :  $\sqrt{\frac{m}{M}}$  :  $\frac{m}{M}$   
 高能量      更高能量

<e.g> for  $\text{H}_2^+$  ion: 2 protons held together by 1 electron



在波函数表象下, Hamiltonian can be written by

$$H = -\frac{1}{2} \nabla^2 - \frac{1}{|\vec{r} - \vec{R}_A|} - \frac{1}{|\vec{r} - \vec{R}_B|} + \frac{1}{|\vec{R}_A - \vec{R}_B|}$$

Electron Kinetic energy

这里都是无量纲的量,  $m \rightarrow m/m_e$

Assume  $\psi(\vec{r}) = \alpha \psi_A(\vec{r}) + \beta \psi_B(\vec{r})$   
 Two hydrogen atomic states  
 Bohr-Oppenheimer Approx.

$$\begin{aligned} \hbar \rightarrow \hbar/m_e \\ r \rightarrow r/a \\ E \rightarrow E/2Ry \\ \alpha \approx \frac{\hbar^2}{me^2} \\ Ry \approx \frac{e^2}{2a_0} \end{aligned}$$

$$\begin{aligned} \psi_A(\vec{r}) &= \frac{1}{\sqrt{\pi}} e^{-|\vec{r} - \vec{R}_A|} \\ \psi_B(\vec{r}) &= \frac{1}{\sqrt{\pi}} e^{-|\vec{r} - \vec{R}_B|} \end{aligned} \quad \text{for ground state(s)}$$

The probability function is symmetric about  $A \leftrightarrow B$  (so are the wave functions  $|\psi(\vec{r})|^2$ )  
 $\Rightarrow \alpha = \beta$  or  $\alpha = -\beta$ .  
 because the observable  $\langle AL \rangle$ , should be symmetric under  $A \leftrightarrow B$

$\Rightarrow$  Only allowed prob function

$$\psi_{\pm}(\vec{r}) = C_{\pm} (\psi_A(\vec{r}) \pm \psi_B(\vec{r}))$$

Normalisation  $\int |\psi_{\pm}|^2 d^3r = 1$

$$\Rightarrow C_{\pm} = [2 \pm 2S(R)]^{-1/2}$$

$$S(R) = \text{Re} \int \psi_A^* \psi_B d^3r \quad \text{for ground state} \quad (1 + R + \frac{1}{3} R^2) e^{-R}$$

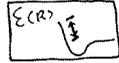
$R = |\vec{R}_A - \vec{R}_B|$  fixed (把核看作静止)

The Mean of Energy:

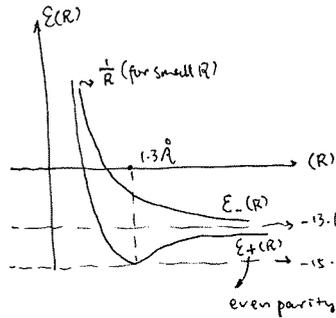
$$\langle H_{\pm} \rangle = \langle \psi_{\pm} | H | \psi_{\pm} \rangle = C^2 \left[ \langle \psi_A | H | \psi_A \rangle + \langle \psi_B | H | \psi_B \rangle \pm 2 \langle \psi_A | H | \psi_B \rangle \right]$$

$$\langle \psi_A | H | \psi_A \rangle = \langle \psi_B | H | \psi_B \rangle \stackrel{\text{for ground state}}{\approx} -\frac{1}{2} + (1+R)^{-1} e^{-2R}$$

当R很小时，这一项提供很大的修正，导致排斥



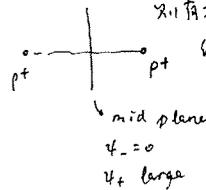
$$\langle \psi_A | H | \psi_B \rangle = \text{Re} \int \psi_A^* H \psi_B d^3r = \left(-\frac{1}{2} + \frac{1}{R}\right) S(R) - (1+R)e^{-R}$$



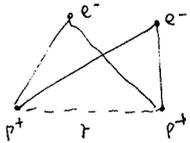
for anti-symmetric solution  $E_-(R)$ , no bound-state (odd parity)

对于反对称解，由于对称性，在mid-plane的波函数一定是0，所以电子很容易跑到一边去了，不能形成态；对于对称解，电子到一边去了，另一边也是，所以有大几率处在中间，可以使原子稳定下来

In experiment  $R_0 \approx 1.03 \text{ \AA}$   
 $\Delta E \approx -2.8 \text{ eV} \sim$  consistent with this selection



<e.g.> For  $H_2$  molecule:  $2H_2^+$  orbital states form  $A_2$  wave function (of electron)



$$\psi_s(1,2) = \frac{1}{2} \frac{1}{\sqrt{2}} \left[ \psi_A(r_1) + \psi_B(r_1) \right] \left[ \psi_A(r_2) + \psi_B(r_2) \right] \times \chi_s$$

$\psi_A, \psi_B$  are  $e^-$  in  $A_{1/2}^+$  and  $B_{1/2}^+$  orbitals.  
 $\chi_s = \frac{1}{\sqrt{2}} [|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle]$  is the symmetric spin wave function (singlet state).  
 The orbital part is symmetric, and the spin part is anti-symmetric.

Orbital part  $\propto [\psi_A(r_1)\psi_A(r_2) + \psi_B(r_1)\psi_B(r_2)] + [\psi_A(r_1)\psi_B(r_2) + \psi_A(r_2)\psi_B(r_1)]$

a  $H^-$  ion + a proton  
 可说电子不大，此种状态另  
 可写自由电子 + 自由proton + H,  
 所以往往忽略

two separated H atoms  
 两个分立的氢原子，加上交换项

忽略掉第一项，则有

$$\psi_s(1,2) \approx \frac{1}{\sqrt{2(1+S^2)}} \left( \psi_A(r_1)\psi_B(r_2) + \psi_A(r_2)\psi_B(r_1) \right) \times \chi_s$$

new normalization in terms of S.  
 even parity (from orbital part), odd parity (from spin part).  
 不重要

(Valence bond or London-Heitler method)

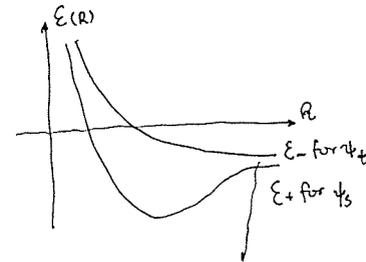
因为第一项是小量。

同理，构造  $\psi(1,2)$  也可以用两个  $\psi_-$ ，这样就有

$$\psi_-(1,2) \propto \left[ \psi_A(r_1)\psi_B(r_2) - \psi_A(r_2)\psi_B(r_1) \right] \times \chi_t$$

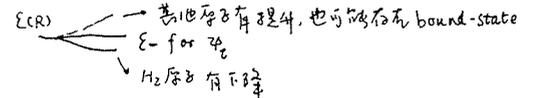
odd parity (from orbital part), even parity (from spin part).  
 $\chi_t = |\uparrow\downarrow\rangle$  or  $|\downarrow\uparrow\rangle$  or  $\frac{1}{\sqrt{2}} [|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle]$

最后的有键态  $E(R)$  是和  $H_2^+$  类似的



(为什么自旋对称态的能量反而高一些？和单电子系统不一样？是因为泡利原理)

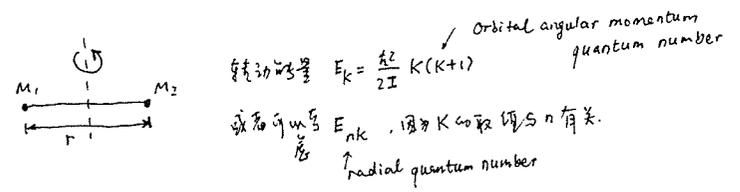
考虑更高级项以后，对于很大的R



前面已经看到  $E_{electron} > E_{vir} > E_{rot}$ ，可以知道 rotation 能级差大是更容易被发光的，所以可以存在纯转动、振动谱线。

Pure rotation Spectra for diatomic molecule

$H_2, CO, OH$  等都是典型的双原子分子



Selection Rules

$$\begin{cases} \Delta \Lambda = 0, \pm 1 \\ \Delta J = 0, \pm 1 \text{ (except } 0 \rightarrow 0) \\ \Delta J = 0 \text{ is not allowed if } \Lambda = 0 \rightarrow 0 \\ \Delta v \neq 0 \end{cases}$$

For dipole radiation (dipole approx.), need  $d \neq 0$   
 $d = \text{permanent dipole moment} = Z_1 e r_1 + Z_2 e r_2 + d_e$   
 ↑ electron contribution

更进一, 计算各种跃迁的几率, 就可以得到谱线强度. 一般来讲, 转动的能量级差小, 更容易激发, 所以观测 CO 的转动谱更为重要.

For homonuclear diatomic molecule ( $F_2, O_2, N_2$ ),  $d = 0$ , 不能由纯转动产生偶极跃迁.  $\Rightarrow$  No pure rotational spectra

In general,  $\Delta K = \pm 1$

$$\omega_K = \frac{E_{n, K+1} - E_{n, K}}{\hbar} = \frac{\hbar(K+1)}{\mu r_0^2} \left[ 1 - \frac{4\hbar^2(K+1)^2}{8\pi^2 \mu r_0^4} \right]$$

有效度  $r_0$ , 分子在最低能量时的平衡键长

当谱线强度变弱, 转动和振动的跃迁都可以激发, 但是 NO pure vibration spectra, because  $E_{vib} > E_{rot}$

Rotation-Vibration Spectra

$$E_{nv} = \hbar \omega_{nk} \left( v + \frac{1}{2} \right)$$

↑  
 E: vibrational energy  
 v: vibrational quantum number  
 0, 1, 2, ...

偶极跃迁选择定则:  $d \neq 0$   
 $\frac{d}{dr}(d) \Big|_{r=r_0} \neq 0$   
 $\Delta v = \pm 1$   
 $\begin{cases} K = \pm 1, \Lambda = 0 \\ K = \pm 1, 0, \Lambda \neq 0 \end{cases}$   
 这里  $\Lambda$  是电子的轨道角动量沿核轴分量  
 (electronic orbital angular momentum along the internuclear axis)  
 $\Lambda = 0, 1, 2$   
 $\Sigma, \Pi, \Delta, \Phi$  等等谱线, 名字都带奇数.

Electron-vibration-rotation spectra

总的来说, 分子系统能量 ( $E_{\text{electron}} + E_{\text{vib}} + E_{\text{rot}}$ )  
 分子的总能量考虑  
 $E_{nvJ} = V_{n0} + \frac{1}{2} \hbar^2 \Lambda^2 + \alpha_n \hbar^2 J(J+1) + \left( v + \frac{1}{2} \right) \hbar \omega_n$   
 ↑ total angular momentum  
 $\vec{J} = \vec{K} + \vec{L} = \text{nuclear rotation} + \text{electron orbital}$   
 component of  $\vec{L}$  along the internuclear axis



Final exam Monday 25 8:30 - 12:00 6B211  
(planned for 2.5 hr, just in case)

Late homework, no later than Monday June 18 11:59 a.m.

散射

Recap 光沿直线传播, 只有发射/吸收时

Radiative transfer function  $\frac{dI_\nu}{ds} = j_\nu - \alpha_\nu I_\nu$

而散射不属于吸收/发射, 同方向随机

Pure scattering  $I_\nu = I_\nu(t, \vec{x}, \hat{n}, \nu)$   
Most generally  $\left\{ \begin{array}{l} \text{direction } \hat{n} \text{ changes} \\ \text{frequency } \nu \text{ changes (eg. Compton)} \end{array} \right.$  非弹性散射

Redistribution function  $R(\hat{n}_1, \hat{n}_2, \nu_1, \nu_2)$  较复杂

若只考虑 elastic scattering  $\nu$  unchanged.  
(also known as coherent scattering, monochromatic scattering)

再假设 散射后各射光各向同性  
i.e. "scattering coefficient"  $\sigma_\nu$

$j_\nu = \sigma_\nu J_\nu$        $J_\nu = \frac{1}{4\pi} \int I_\nu d\Omega$   
↑                      ↓  
各向同性的      入射光  
出射光

若只有散射, 无纯吸收/发射.

$\frac{dI_\nu}{ds} = -\sigma_\nu I_\nu + j_\nu = -\sigma_\nu (I_\nu - J_\nu)$       入射光 unknown  $\therefore$  无法解出, 可求解.

可处理的随机游走 或 特殊情况的近似解.

随机游走 Random walk.

$\vec{R} = \sum \vec{r}_i$

$l_*^2 \equiv \langle R^2 \rangle = \langle r_1^2 \rangle + \langle r_2^2 \rangle + \dots + \langle r_N^2 \rangle + 2\langle r_1 \cdot r_2 \rangle + \dots$   
 $= N \cdot l^2$  (l: mean free path)  $\underbrace{\text{cross-term}}_{\approx 0 \text{ for forward-backward symmetry}}$

$l_*$ : root mean square of net displacement  
also called effective mean path / diffusion length / thermal  
 $\Rightarrow l_* = \sqrt{N} l$  即光子产生到湮灭的路径

需估算  $N$ : 媒介长为  $L$ , 则  $\tau = L/l$  (i.e.  $f = \frac{L}{\tau}$ )

For  $\tau \gg 1$ ,  $N = (l_*/l)^2 \approx (L/l)^2 = \tau^2$

For  $\tau \ll 1$ , 看纯散射几率  $(1-e^{-\tau}) \approx \tau$        $N \approx \tau \rightarrow \tau^2$

In general  $N \approx \max\{\tau, \tau^2\}$

若散射同时有吸收/发射 ( $j_\nu = \alpha_\nu B_\nu$ )

$\frac{dI_\nu}{ds} = \cancel{\alpha_\nu I_\nu + j_\nu} - \alpha_\nu I_\nu + \alpha_\nu B_\nu - \sigma_\nu (I_\nu - J_\nu)$

$= -(\alpha_\nu + \sigma_\nu) I_\nu + \alpha_\nu B_\nu + \sigma_\nu J_\nu$       可定义 source function  
 $= -(\alpha_\nu + \sigma_\nu)(I_\nu - S_\nu)$        $S_\nu = \frac{\alpha_\nu B_\nu + \sigma_\nu J_\nu}{\alpha_\nu + \sigma_\nu}$   
extinction coefficient

For pure scattering  $l_\nu$  (m.f.p) =  $\sigma_\nu^{-1}$ , pure absorption  $l_\nu = \alpha_\nu^{-1}$

For combined case  $l_\nu = (\alpha_\nu + \sigma_\nu)^{-1}$

$E_\nu \equiv \frac{\alpha_\nu}{\alpha_\nu + \sigma_\nu}$ ,  $1 - E_\nu = \frac{\sigma_\nu}{\alpha_\nu + \sigma_\nu}$   
simple scattering albedo

$\frac{1}{\tau} = \frac{\alpha_\nu}{\alpha_\nu + \sigma_\nu} = E_\nu$   
 $N \approx E_\nu^{-1}$        $l_*^2 = l^2 N = \frac{l^2}{E}$

$\Rightarrow S_\nu = (1 - E_\nu) J_\nu + E_\nu B_\nu$        $\Rightarrow l_* = [l_\nu (\alpha_\nu + \sigma_\nu)]^{-1/2}$   
散射贡献      吸收贡献

$\tau_*$ : effective optical depth  $\tau_* = L/l_*$

$\tau_* \ll 1$  ( $l_* \gg L$ ) effectively thin 逃逸前只散射无吸收

Luminosity  $L_v = 4\pi j_v \cdot V = 4\pi \alpha_v B_v V$  热平衡辐射

$\tau_* \gg 1$  ( $l_* \ll L$ ) effectively thick 大部分光子被媒介吸收

则  $l_*$  尺度范围内媒介达到热平衡

Luminosity  $L_v = 4\pi (\alpha_v B_v) \cdot A \cdot l_*$   $A$  截面积

$$\alpha_v l_* = \left( \frac{\alpha_v}{\alpha_v + \sigma_v} \right)^{1/2} = \sqrt{\epsilon} \Rightarrow L_v = 4\pi \sqrt{\epsilon} B_v A$$

以上是随机游走的处理

以下近似解 ( $\tau = 1$ )

Radiative Diffusion

光: 假设  $I_\nu$  等只与  $z$  有关且变化慢

Rosseland Approximation

$$ds = dz/\mu$$

$$\Rightarrow \mu \frac{\partial I_\nu(z, \mu)}{\partial z} = -(\alpha_\nu + \sigma_\nu)(I_\nu - S_\nu) \Rightarrow I_\nu = \dots$$

$$F = \int_0^\infty F_\nu d\nu \quad F_\nu = \int I_\nu \mu d\mu$$

近似解  $F(z) = -\frac{16\sigma T^3}{3\alpha_R} \cdot \frac{\partial T}{\partial z}$  eg. of radiative diffusion

↑ 光流的能流密度      ↑ 温度梯度

Eddington approximation